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# Drug and Alcohol Testing Results 2004 Annual Report

November 2006



**The FTA Drug and Alcohol Management Information System**

**Office of Safety and Security**

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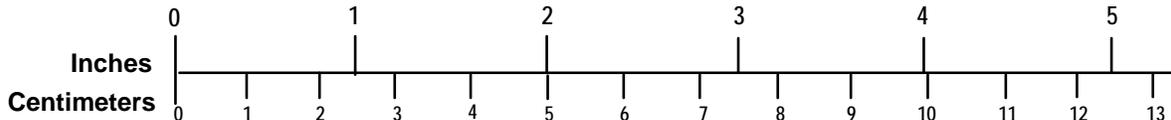
# METRIC/ENGLISH CONVERSION FACTORS

## ENGLISH TO METRIC

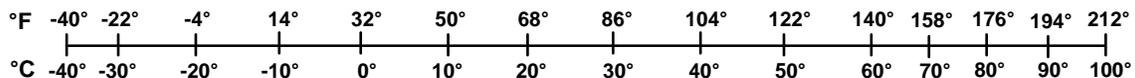
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|   |   |
|---|---|
| <p><b>LENGTH (APPROXIMATE)</b></p> <p>1 inch (in) = 2.5 centimeters (cm)<br/>                     1 foot (ft) = 30 centimeters (cm)<br/>                     1 yard (yd) = 0.9 meter (m)<br/>                     1 mile (mi) = 1.6 kilometers (km)</p>   | <p><b>LENGTH (APPROXIMATE)</b></p> <p>1 millimeter (mm) = 0.04 inch (in)<br/>                     1 centimeter (cm) = 0.4 inch (in)<br/>                     1 meter (m) = 3.3 feet (ft)<br/>                     1 meter (m) = 1.1 yards (yd)<br/>                     1 kilometer (km) = 0.6 mile (mi)</p>  |
| <p><b>AREA (APPROXIMATE)</b></p> <p>1 square inch (sq in, in<sup>2</sup>) = 6.5 square centimeters (cm<sup>2</sup>)<br/>                     1 square foot (sq ft, ft<sup>2</sup>) = 0.09 square meter (m<sup>2</sup>)<br/>                     1 square yard (sq yd, yd<sup>2</sup>) = 0.8 square meter (m<sup>2</sup>)<br/>                     1 square mile (sq mi, mi<sup>2</sup>) = 2.6 square kilometers (km<sup>2</sup>)<br/>                     1 acre = 0.4 hectare (he) = 4,000 square meters (m<sup>2</sup>)</p>   | <p><b>AREA (APPROXIMATE)</b></p> <p>1 square centimeter (cm<sup>2</sup>) = 0.16 square inch (sq in, in<sup>2</sup>)<br/>                     1 square meter (m<sup>2</sup>) = 1.2 square yards (sq yd, yd<sup>2</sup>)<br/>                     1 square kilometer (km<sup>2</sup>) = 0.4 square mile (sq mi, mi<sup>2</sup>)<br/>                     10,000 square meters (m<sup>2</sup>) = 1 hectare (ha) = 2.5 acres</p>                                  |
| <p><b>MASS - WEIGHT (APPROXIMATE)</b></p> <p>1 ounce (oz) = 28 grams (gm)<br/>                     1 pound (lb) = 0.45 kilogram (kg)<br/>                     1 short ton = 2,000 pounds (lb) = 0.9 tonne (t)</p>   | <p><b>MASS - WEIGHT (APPROXIMATE)</b></p> <p>1 gram (gm) = 0.036 ounce (oz)<br/>                     1 kilogram (kg) = 2.2 pounds (lb)<br/>                     1 tonne (t) = 1,000 kilograms (kg) = 1.1 short tons</p>   |
| <p><b>VOLUME (APPROXIMATE)</b></p> <p>1 teaspoon (tsp) = 5 milliliters (ml)<br/>                     1 tablespoon (tbsp) = 15 milliliters (ml)<br/>                     1 fluid ounce (fl oz) = 30 milliliters (ml)<br/>                     1 cup (c) = 0.24 liter (l)<br/>                     1 pint (pt) = 0.47 liter (l)<br/>                     1 quart (qt) = 0.96 liter (l)<br/>                     1 gallon (gal) = 3.8 liters (l)<br/>                     1 cubic foot (cu ft, ft<sup>3</sup>) = 0.03 cubic meter (m<sup>3</sup>)<br/>                     1 cubic yard (cu yd, yd<sup>3</sup>) = 0.76 cubic meter (m<sup>3</sup>)</p> | <p><b>VOLUME (APPROXIMATE)</b></p> <p>1 milliliter (ml) = 0.03 fluid ounce (fl oz)<br/>                     1 liter (l) = 2.1 pints (pt)<br/>                     1 liter (l) = 1.06 quarts (qt)<br/>                     1 liter (l) = 0.26 gallon (gal)<br/>                     1 cubic meter (m<sup>3</sup>) = 36 cubic feet (cu ft, ft<sup>3</sup>)<br/>                     1 cubic meter (m<sup>3</sup>) = 1.3 cubic yards (cu yd, yd<sup>3</sup>)</p> |
| <p><b>TEMPERATURE (EXACT)</b></p> <p><math>[(x-32)(5/9)] \text{ } ^\circ\text{F} = y \text{ } ^\circ\text{C}</math></p>   | <p><b>TEMPERATURE (EXACT)</b></p> <p><math>[(9/5)y + 32] \text{ } ^\circ\text{C} = x \text{ } ^\circ\text{F}</math></p>   |

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# 1. Testing Requirements

## 1.1 Required Testing Program

Safety-sensitive employees must undergo testing for five illegal substances with the use of six different test types. Employees who perform safety-sensitive functions must also be tested for alcohol use in each of those test types, except for pre-employment testing. Employers are required to conduct four types of tests of employees who perform safety-sensitive functions, and are permitted to conduct two other types of tests for drugs and alcohol (return-to-duty and follow-up).

Table 1 lists the employee categories, test types, and drug types that fall under the auspices of the FTA Drug and Alcohol Testing Program. For more detailed descriptions of each of these categories, refer to the glossary in Appendix C. You may also refer to FTA and DOT drug and alcohol regulations on the FTA Office of Safety and Security Web site at: <http://transit-safety.volpe.dot.gov/Safety/datesting/regulations/default.asp>. For additional guidance, another excellent source is the Office of Drug and Alcohol Policy and Compliance (ODAPC) Web site: <http://www.dot.gov/ost/dapc/index.html>.

**Table 1. Safety-Sensitive Employee Categories, Test Types, and Drug Types**

|   |
|---|
| <b>Safety-Sensitive Employee Categories</b> |
| • Revenue vehicle operation                 |
| • Revenue vehicle and equipment maintenance |
| • Revenue vehicle control/Dispatch          |
| • CDL/Non-revenue vehicle                   |
| • Armed security personnel                  |
| <b>Test Types</b>                           |
| • Pre-Employment                            |
| • Random                                    |
| • Post-Accident                             |
| • Reasonable Suspicion                      |
| • Return-to-Duty                            |
| • Follow-Up                                 |
| <b>Drug Types</b>                           |
| • Marijuana                                 |
| • Cocaine                                   |
| • Phencyclidine (PCP)                       |
| • Opiates                                   |
| • Amphetamines                              |

## 1.2 Regions Required to Test

The FTA is comprised of 10 regions, which are identified in Figure 1. The data provided by these regions have facilitated the comparison of drug and alcohol test results and the identification of regional trends. Table 2 lists the states and territories in each FTA region.



**Figure 1. The 10 FTA Regions Required to Test for Drugs and Alcohol**

**Table 2. U.S. States and Territories by Region**

| Region | States   |
|--------|--|
| 1      | Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont                                  |
| 2      | New Jersey, New York, U.S. Virgin Islands  |
| 3      | Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia                          |
| 4      | Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee, Puerto Rico |
| 5      | Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin  |
| 6      | Arkansas, Louisiana, New Mexico, Oklahoma, Texas   |
| 7      | Iowa, Kansas, Missouri, Nebraska   |
| 8      | Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming   |
| 9      | American Samoa, Arizona, California, Guam, Hawaii, Nevada, Northern Mariana Islands                      |
| 10     | Alaska, Idaho, Oregon, Washington  |

## 1.3 Covered Employees and Employers

A covered employee is any person, including an applicant, transferee, or volunteer who performs or will perform a safety-sensitive function for an entity subject to 49 Code of Federal Regulations (CFR) Part 655. A covered employer is a recipient or other entity that provides a mass transportation service or performs a safety-sensitive function for such recipient or other entity. This includes Section 5311 State Nonurban subrecipients, Section 5307 Urbanized Area Formula grantees, Section 5309 Major Capital Investment recipients, and contractors. Table 3 provides a list of the number of covered employees and employers in each FTA region.

**Table 3. Number of Covered Employees and Employers by Region**

| <b>Region</b> | <b>Number of Covered Employees</b> | <b>Number of Covered Employers</b> |
|---------------|------------------------------------|------------------------------------|
| 1             | 12,794                             | 194                                |
| 2             | 53,651                             | 225                                |
| 3             | 29,884                             | 181                                |
| 4             | 30,487                             | 552                                |
| 5             | 39,163                             | 625                                |
| 6             | 19,006                             | 242                                |
| 7             | 9,197                              | 339                                |
| 8             | 8,381                              | 171                                |
| 9             | 44,221                             | 390                                |
| 10            | 14,452                             | 217                                |
| <b>Total</b>  | <b>261,236</b>                     | <b>3,136</b>                       |

#### **1.4 Percent Positive for Drugs and Alcohol**

When testing for drugs, the term “percent positive” refers to the number of verified positive results plus the number of refusal results divided by the number of test results. For alcohol tests, percent positive is the number of confirmation tests with results of 0.04 or greater plus the number of refusal results divided by the number of screening test results.



## 2. Random Drug and Alcohol Rates

### 2.1 Official Random Rates for 2004

Figures 2 and 3 show the official rates for random drug and alcohol tests used by the FTA Administrator in determining the random rates for 2005. Figure 2 shows the positive rate for random drug tests over the last 10 years (1995 to 2004). Figure 3 shows the violation rate for random alcohol tests over the same 10-year span.

### 2.2 Calculating Positive Rates and Violation Rates

The positive rate for drugs is calculated by taking the total number of verified positive tests; adding to that the total number of adulterated samples plus total number of substituted specimens, shy bladder instances (those without medical explanation), and other refusals; and dividing that number by the total number of drug test results. The violation rate for alcohol is calculated by taking the total number of confirmative test results greater than or equal to a breath alcohol level of 0.04, adding the total number of shy lung instances (those without medical explanation) plus the total number of other refusals, and dividing that number by the total number of screens conducted.

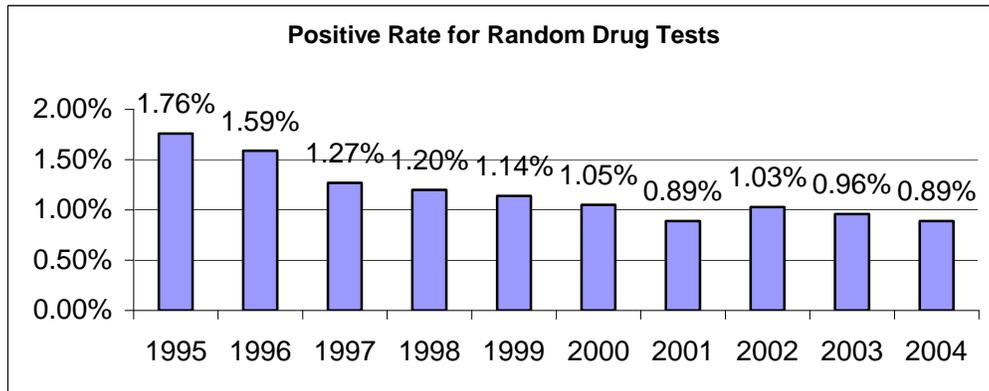
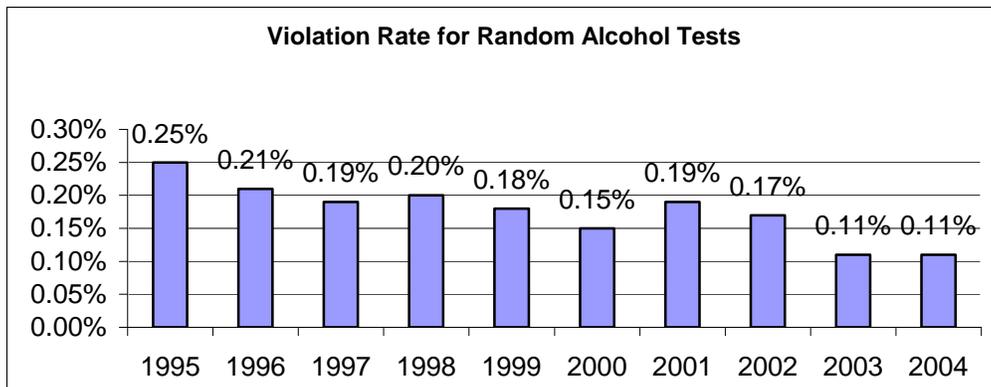


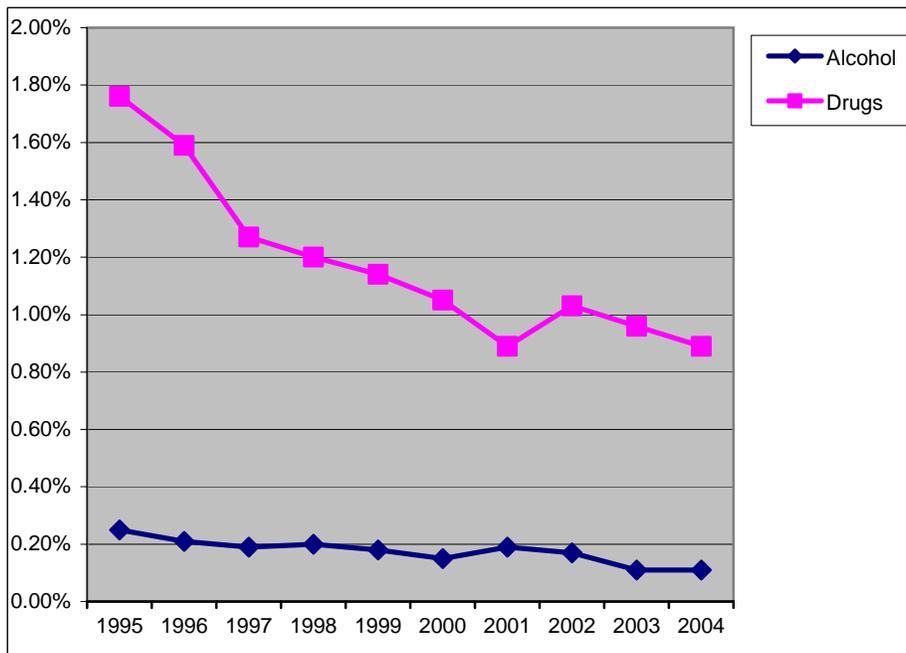
Figure 2. Positive Rate for Random Drug Tests (1995 to 2004)



**Figure 3. Violation Rate for Random Alcohol Tests (1995 to 2004)**

### 2.3 Official Positive Rate and Violation Rate Trends

As shown in Figure 4, the official positive rate dropped in 2004 to the lowest rate (0.89 percent) in the last three years. This drop is nearly 50 percent lower than the random positive rate recorded in 1995. The violation rate remained at a static level (0.11 percent) in 2004, lower than in all of the preceding years.



**Figure 4. Official Positive Rates and Violation Rates: 1995 to 2005**

### 3. Drug Test Results

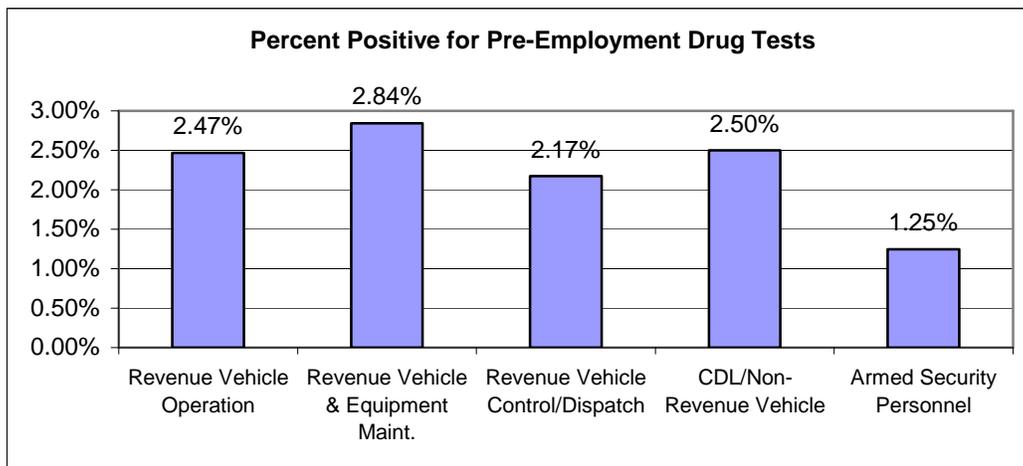
#### 3.1 Drug Test Results by Test Type

##### 3.1.1 Pre-Employment Drug Test Results

Table 4 provides results of pre-employment drug tests for all employee categories. Figure 5 illustrates the percent positive by employee category for all pre-employment drug tests.

**Table 4. Pre-Employment Drug Test Results by Employee Categories**

| Employee Category                  | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|------------------------------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|                                    |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| Revenue Vehicle Operation          | 60,377                       | 58,888                    | 1,404   | 943                    | 396                  | 16               | 37                   | 63                        | 8           | 4           | 11  | 62                                  | 123             |
| Revenue Vehicle & Equipment Maint. | 6,615                        | 6,427                     | 161   | 107                    | 36                   | 3                | 2                    | 14                        | 4           | 2           | 0   | 21                                  | 10              |
| Revenue Vehicle Control/Dispatch   | 2,254                        | 2,205                     | 44  | 37                     | 4                    | 0                | 2                    | 3                         | 0           | 0           | 0   | 5                                   | 15              |
| CDL/Non-Revenue Vehicle            | 1,360                        | 1,326                     | 33  | 24                     | 6                    | 0                | 1                    | 2                         | 0           | 0           | 0   | 1                                   | 5               |
| Armed Security Personnel           | 1,204                        | 1,189                     | 12  | 8                      | 4                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 3                                   | 0               |
| <b>TOTAL</b>                       | <b>71,810</b>                | <b>70,035</b>             | <b>1,654</b>                                    | <b>1,119</b>           | <b>446</b>           | <b>19</b>        | <b>42</b>            | <b>82</b>                 | <b>12</b>   | <b>6</b>    | <b>11</b>                                 | <b>92</b>                           | <b>153</b>      |



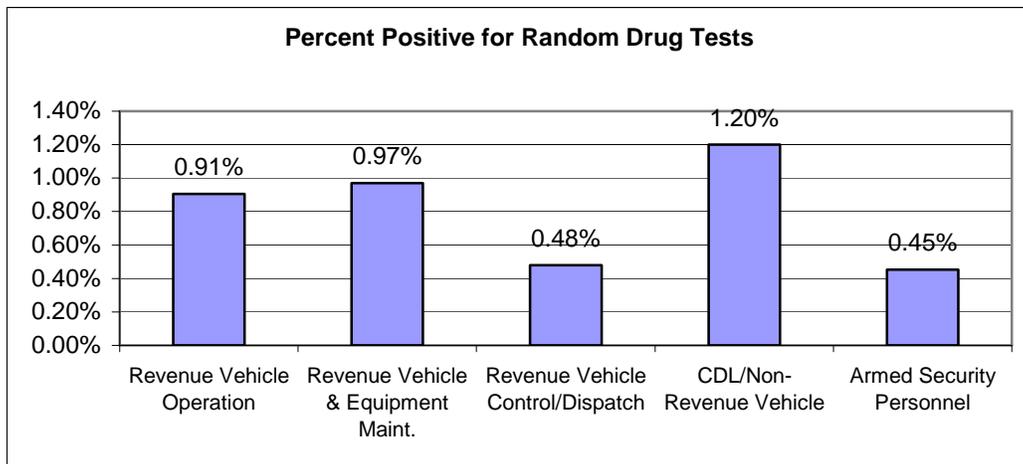
**Figure 5. Percent Positive for Pre-Employment Drug Tests by Employee Category**

### 3.1.2 Random Drug Test Results

Table 5 provides results of random drug tests for all employee categories. Figure 6 illustrates the percent positive by employee category for all random drug tests.

**Table 5. Random Drug Test Results by Employee Categories**

| Employee Category                  | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|------------------------------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|                                    |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| Revenue Vehicle Operation          | 96,734                       | 95,858                    | 776   | 387                    | 300                  | 2                | 29                   | 79                        | 9           | 6           | 17  | 68                                  | 185             |
| Revenue Vehicle & Equipment Maint. | 28,638                       | 28,370                    | 262   | 140                    | 101                  | 6                | 4                    | 17                        | 1           | 4           | 1   | 10                                  | 32              |
| Revenue Vehicle Control/Dispatch   | 9,806                        | 9,759                     | 38  | 25                     | 13                   | 1                | 3                    | 1                         | 0           | 0           | 0   | 9                                   | 14              |
| CDL/Non-Revenue Vehicle            | 3,415                        | 3,374                     | 37  | 24                     | 11                   | 0                | 3                    | 0                         | 0           | 1           | 2   | 1                                   | 5               |
| Armed Security Personnel           | 2,425                        | 2,414                     | 9   | 8                      | 2                    | 0                | 1                    | 0                         | 0           | 0           | 0   | 2                                   | 2               |
| <b>TOTAL</b>                       | <b>141,018</b>               | <b>139,775</b>            | <b>1,122</b>                                    | <b>584</b>             | <b>427</b>           | <b>9</b>         | <b>40</b>            | <b>97</b>                 | <b>10</b>   | <b>11</b>   | <b>20</b>                                 | <b>90</b>                           | <b>238</b>      |



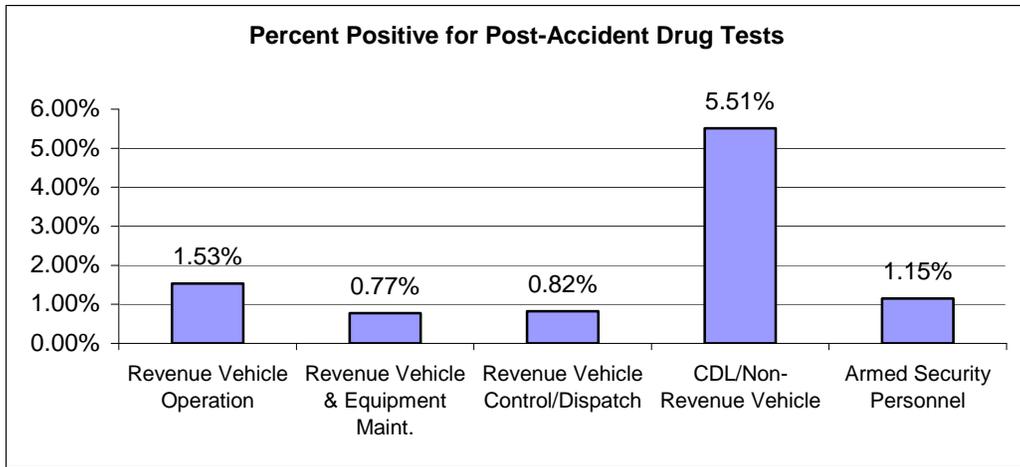
**Figure 6. Percent Positive for Random Drug Tests by Employee Category**

### 3.1.3 Post-Accident Drug Test Results

Table 6 provides results of post-accident drug tests for all employee categories. Figure 7 illustrates the percent positive by employee category for all post-accident drug tests.

**Table 6. Post-Accident Drug Test Results by Employee Categories**

| Employee Category                  | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|------------------------------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|                                    |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| Revenue Vehicle Operation          | 13,526                       | 13,319                    | 194   | 84                     | 88                   | 1                | 11                   | 14                        | 0           | 1           | 4   | 8                                   | 23              |
| Revenue Vehicle & Equipment Maint. | 648                          | 643                       | 5   | 2                      | 2                    | 0                | 0                    | 1                         | 0           | 0           | 0   | 0                                   | 0               |
| Revenue Vehicle Control/Dispatch   | 122                          | 121                       | 1   | 0                      | 1                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| CDL/Non-Revenue Vehicle            | 127                          | 120                       | 7   | 4                      | 3                    | 0                | 0                    | 1                         | 0           | 0           | 0   | 0                                   | 0               |
| Armed Security Personnel           | 87                           | 86                        | 0   | 0                      | 0                    | 0                | 0                    | 0                         | 1           | 0           | 0   | 0                                   | 0               |
| <b>TOTAL</b>                       | <b>14,510</b>                | <b>14,289</b>             | <b>207</b>                                      | <b>90</b>              | <b>94</b>            | <b>1</b>         | <b>11</b>            | <b>16</b>                 | <b>1</b>    | <b>1</b>    | <b>4</b>                                  | <b>8</b>                            | <b>23</b>       |



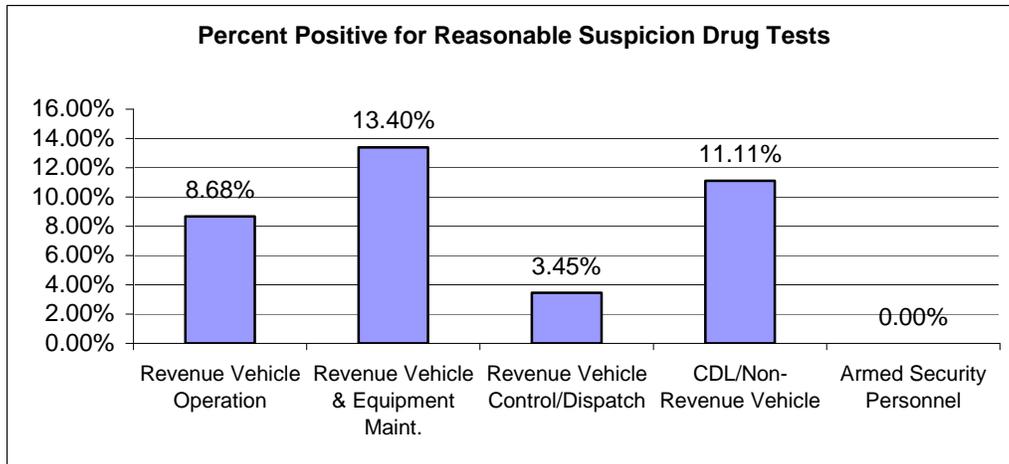
**Figure 7. Percent Positive for Post-Accident Drug Tests by Employee Category**

### 3.1.4 Reasonable Suspicion Drug Test Results

Table 7 provides results of reasonable suspicion drug tests for all employee categories. Figure 8 illustrates the percent positive by employee category for all reasonable suspicion drug tests.

**Table 7. Reasonable Suspicion Drug Test Results by Employee Categories**

| Employee Category                  | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|------------------------------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|                                    |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| Revenue Vehicle Operation          | 484                          | 442                       | 33  | 7                      | 20                   | 0                | 4                    | 2                         | 0           | 2           | 1   | 6                                   | 1               |
| Revenue Vehicle & Equipment Maint. | 97                           | 84                        | 12  | 6                      | 5                    | 0                | 0                    | 1                         | 0           | 0           | 0   | 1                                   | 0               |
| Revenue Vehicle Control/Dispatch   | 29                           | 28                        | 0   | 0                      | 0                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 1                                   | 1               |
| CDL/Non-Revenue Vehicle            | 9                            | 8                         | 1   | 1                      | 0                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| Armed Security Personnel           | 5                            | 5                         | 0   | 0                      | 0                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| <b>TOTAL</b>                       | <b>624</b>                   | <b>567</b>                | <b>46</b>                                       | <b>14</b>              | <b>25</b>            | <b>0</b>         | <b>4</b>             | <b>3</b>                  | <b>0</b>    | <b>2</b>    | <b>1</b>                                  | <b>8</b>                            | <b>2</b>        |



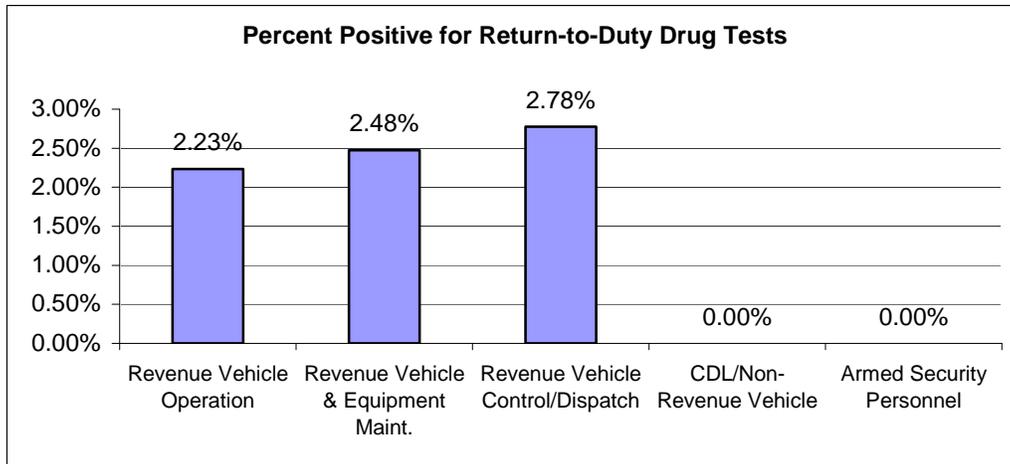
**Figure 8. Percent Positive for Reasonable Suspicion Drug Tests by Employee Category**

### 3.1.5 Return-to-Duty Drug Test Results

Table 8 provides results of return-to-duty drug tests for all employee categories. Figure 9 illustrates the percent positive by employee category for all return-to-duty drug tests.

**Table 8. Return-to-Duty Drug Test Results for All Employee Categories**

| Employee Category                  | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|------------------------------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|                                    |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| Revenue Vehicle Operation          | 1,119                        | 1,094                     | 25  | 13                     | 10                   | 0                | 1                    | 2                         | 0           | 0           | 0   | 0                                   | 1               |
| Revenue Vehicle & Equipment Maint. | 202                          | 197                       | 5   | 4                      | 1                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| Revenue Vehicle Control/Dispatch   | 36                           | 35                        | 0   | 0                      | 0                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 1                                   | 0               |
| CDL/Non-Revenue Vehicle            | 26                           | 26                        | 0   | 0                      | 0                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| Armed Security Personnel           | 9                            | 9                         | 0   | 0                      | 0                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| <b>TOTAL</b>                       | <b>1,392</b>                 | <b>1,361</b>              | <b>30</b>                                       | <b>17</b>              | <b>11</b>            | <b>0</b>         | <b>1</b>             | <b>2</b>                  | <b>0</b>    | <b>0</b>    | <b>0</b>                                  | <b>1</b>                            | <b>1</b>        |



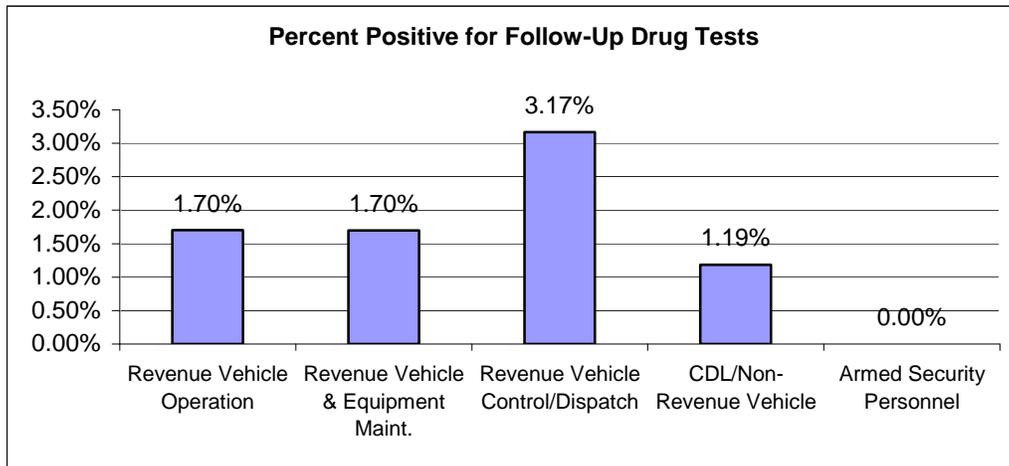
**Figure 9. Percent Positive for Return-to-Duty Drug Tests by Employee Category**

### 3.1.6 Follow-Up Drug Test Results

Table 9 provides results of follow-up drug tests for all employee categories. Figure 10 illustrates the percent positive by employee category for all follow-up drug tests.

**Table 9. Follow-Up Drug Test Results for All Employee Categories**

| Employee Category                  | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|------------------------------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|                                    |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| Revenue Vehicle Operation          | 5,173                        | 5,085                     | 82  | 35                     | 43                   | 1                | 0                    | 5                         | 1           | 0           | 2   | 3                                   | 12              |
| Revenue Vehicle & Equipment Maint. | 2,063                        | 2,028                     | 29  | 8                      | 17                   | 0                | 0                    | 4                         | 0           | 0           | 3   | 3                                   | 6               |
| Revenue Vehicle Control/Dispatch   | 221                          | 214                       | 6   | 4                      | 3                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 1                                   | 0               |
| CDL/Non-Revenue Vehicle            | 253                          | 250                       | 3   | 1                      | 2                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 2               |
| Armed Security Personnel           | 2                            | 2                         | 0   | 0                      | 0                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| <b>TOTAL</b>                       | <b>7,712</b>                 | <b>7,579</b>              | <b>120</b>                                      | <b>48</b>              | <b>65</b>            | <b>1</b>         | <b>0</b>             | <b>9</b>                  | <b>1</b>    | <b>0</b>    | <b>5</b>                                  | <b>7</b>                            | <b>20</b>       |



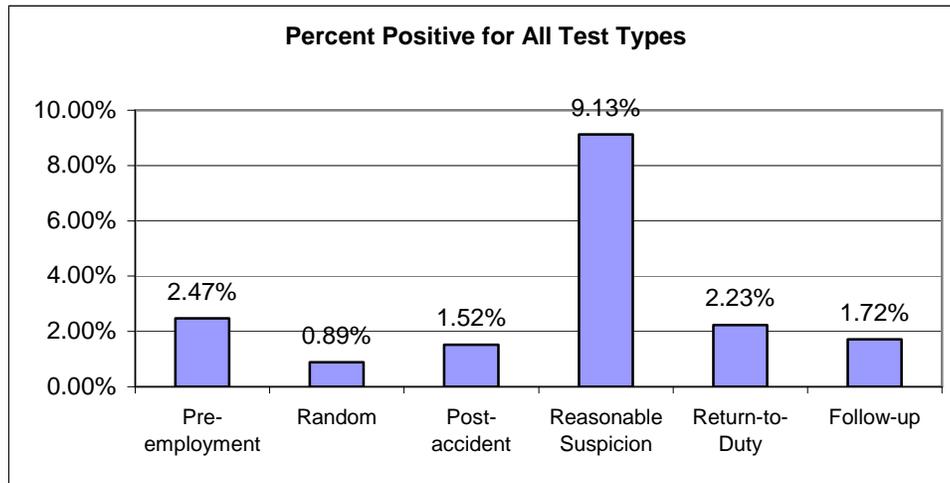
**Figure 10. Percent Positive for Follow-Up Drug Tests by Employee Category**

### 3.1.7 Drug Test Results by Test Type for All Employee Categories

Table 10 provides drug test results by test type for all employee categories. Figure 11 illustrates the percent positive for all employee categories by test type.

**Table 10. Drug Test Results by Test Type for All Employee Categories**

| Test Type       | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|-----------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|                 |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| Pre-Employment  | 71,810                       | 70,035                    | 1,654   | 1,119                  | 446                  | 19               | 42                   | 82                        | 12          | 6           | 11  | 92                                  | 153             |
| Random          | 141,028                      | 139,775                   | 1,122   | 584                    | 427                  | 9                | 40                   | 97                        | 10          | 11          | 20  | 90                                  | 238             |
| Post-Accident   | 14,510                       | 14,289                    | 207   | 90                     | 94                   | 1                | 11                   | 16                        | 1           | 1           | 4   | 8                                   | 23              |
| Reas. Suspicion | 624                          | 567                       | 46  | 14                     | 25                   | 0                | 4                    | 3                         | 0           | 2           | 1   | 8                                   | 2               |
| Return-to-Duty  | 1,392                        | 1,361                     | 30  | 17                     | 11                   | 0                | 1                    | 2                         | 0           | 0           | 0   | 1                                   | 1               |
| Follow-up       | 7,712                        | 7,579                     | 120   | 48                     | 65                   | 1                | 0                    | 9                         | 1           | 0           | 5   | 7                                   | 20              |
| <b>TOTAL</b>    | <b>237,076</b>               | <b>233,606</b>            | <b>3,179</b>                                    | <b>1,872</b>           | <b>1,068</b>         | <b>30</b>        | <b>98</b>            | <b>209</b>                | <b>24</b>   | <b>20</b>   | <b>41</b>                                 | <b>206</b>                          | <b>437</b>      |



**Figure 11. Percent Positive for All Employee Categories by Test Type**

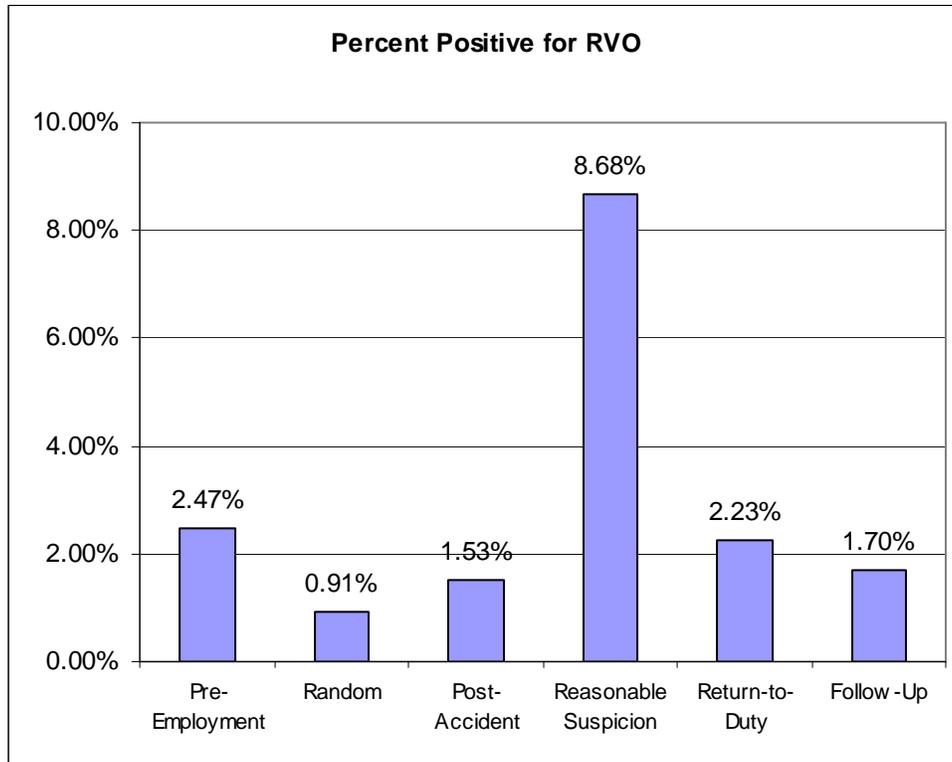
### 3.2 Drug Test Results by Employee Category

#### 3.2.1 Revenue Vehicle Operation

Table 11 provides drug test results for the revenue vehicle operation employee category. Figure 12 illustrates the percent positive for each test type under the revenue vehicle operation employee category.

**Table 11. Drug Test Results for Revenue Vehicle Operation**

| Test Type       | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|-----------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|                 |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| Pre-Employment  | 60,377                       | 58,888                    | 1,404   | 943                    | 396                  | 16               | 37                   | 63                        | 8           | 4           | 11  | 62                                  | 246             |
| Random          | 96,734                       | 95,858                    | 776   | 387                    | 300                  | 2                | 29                   | 79                        | 9           | 6           | 17  | 68                                  | 370             |
| Post-Accident   | 13,526                       | 13,319                    | 194   | 84                     | 88                   | 1                | 11                   | 14                        | 0           | 1           | 4   | 8                                   | 46              |
| Reas. Suspicion | 484                          | 442                       | 33  | 7                      | 20                   | 0                | 4                    | 2                         | 0           | 2           | 1   | 6                                   | 2               |
| Return-to-Duty  | 1,119                        | 1,094                     | 25  | 13                     | 10                   | 0                | 1                    | 2                         | 0           | 0           | 0   | 0                                   | 2               |
| Follow-up       | 5,173                        | 5,085                     | 82  | 35                     | 43                   | 1                | 0                    | 5                         | 1           | 0           | 2   | 3                                   | 24              |
| <b>TOTAL</b>    | <b>177,413</b>               | <b>174,686</b>            | <b>2,514</b>                                    | <b>1,469</b>           | <b>857</b>           | <b>20</b>        | <b>82</b>            | <b>165</b>                | <b>18</b>   | <b>13</b>   | <b>35</b>                                 | <b>147</b>                          | <b>690</b>      |



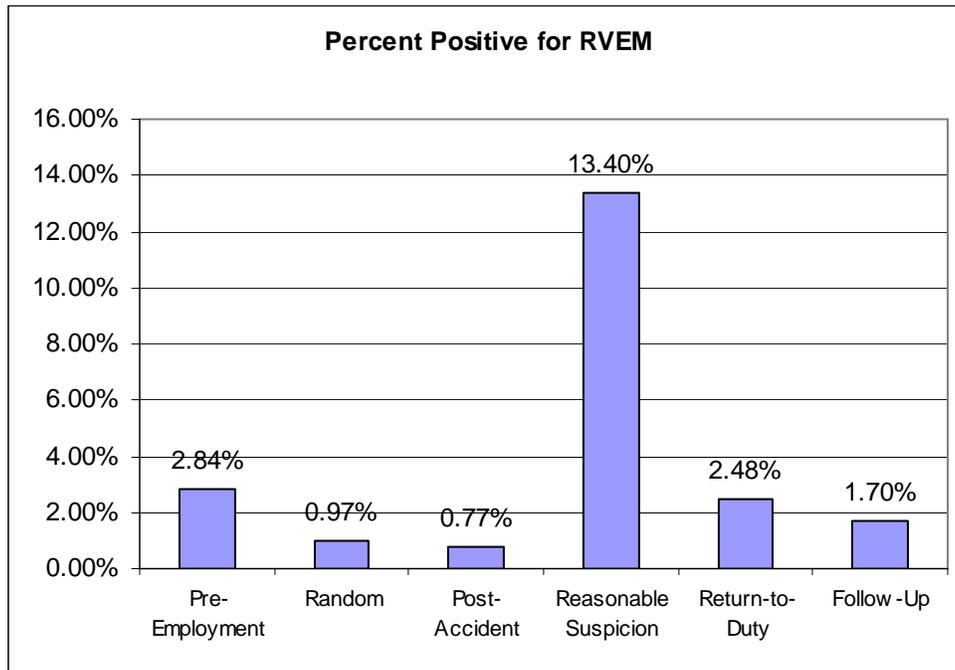
**Figure 12. Percent Positive for Revenue Vehicle Operation by Test Type**

### 3.2.2 Revenue Vehicle and Equipment Maintenance

Table 12 provides drug test results for the revenue vehicle and equipment maintenance employee category by test type. Figure 13 illustrates the percent positive for the revenue vehicle and equipment maintenance employee category by test type.

**Table 12. Drug Test Results for Revenue Vehicle and Equipment Maintenance**

| Test Type       | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|-----------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|                 |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| Pre-Employment  | 6,615                        | 6,427                     | 161   | 107                    | 36                   | 3                | 2                    | 14                        | 4           | 2           | 0   | 21                                  | 20              |
| Random          | 28,648                       | 28,370                    | 262   | 140                    | 101                  | 6                | 4                    | 17                        | 1           | 4           | 1   | 10                                  | 64              |
| Post-Accident   | 648                          | 643                       | 5   | 2                      | 2                    | 0                | 0                    | 1                         | 0           | 0           | 0   | 0                                   | 0               |
| Reas. Suspicion | 97                           | 84                        | 12  | 6                      | 5                    | 0                | 0                    | 1                         | 0           | 0           | 0   | 1                                   | 0               |
| Return-to-Duty  | 202                          | 197                       | 5   | 4                      | 1                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| Follow-up       | 2,063                        | 2,028                     | 29  | 8                      | 17                   | 0                | 0                    | 4                         | 0           | 0           | 3   | 3                                   | 12              |
| <b>TOTAL</b>    | <b>38,273</b>                | <b>37,749</b>             | <b>474</b>                                      | <b>267</b>             | <b>162</b>           | <b>9</b>         | <b>6</b>             | <b>37</b>                 | <b>5</b>    | <b>6</b>    | <b>4</b>                                  | <b>35</b>                           | <b>96</b>       |



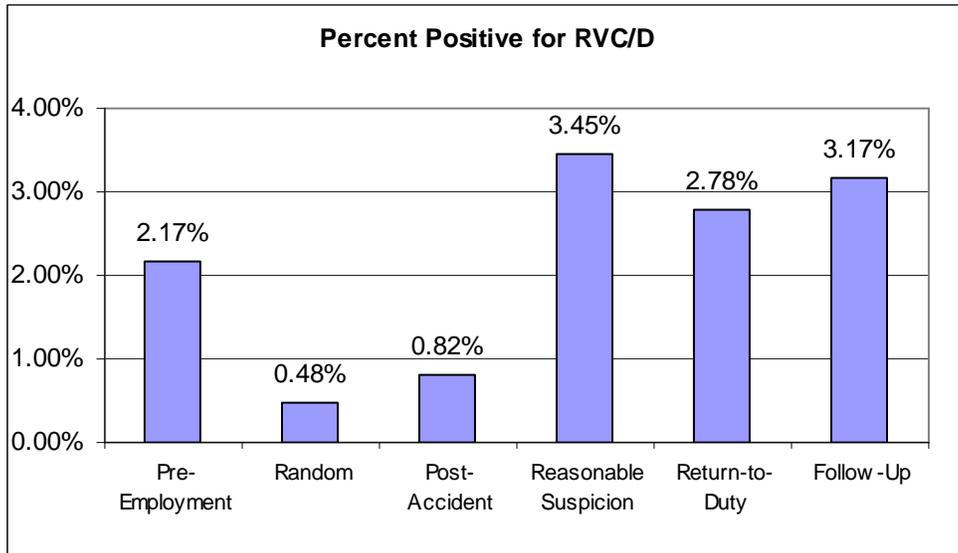
**Figure 13. Percent Positive for Revenue Vehicle and Equipment Maintenance by Test Type**

### 3.2.3 Revenue Vehicle Control/Dispatch

Table 13 provides drug test results for the revenue vehicle control/dispatch employee category by test type. Figure 14 illustrates the percent positive for the revenue vehicle control/dispatch employee category by test type.

**Table 13. Drug Test Results for Revenue Vehicle Control/Dispatch**

| Test Type       | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|-----------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|                 |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| Pre-Employment  | 2,254                        | 2,205                     | 44  | 37                     | 4                    | 0                | 2                    | 3                         | 0           | 0           | 0   | 5                                   | 30              |
| Random          | 9,806                        | 9,759                     | 38  | 25                     | 13                   | 1                | 3                    | 1                         | 0           | 0           | 0   | 9                                   | 28              |
| Post-Accident   | 122                          | 121                       | 1   | 0                      | 1                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| Reas. Suspicion | 29                           | 28                        | 0   | 0                      | 0                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 1                                   | 2               |
| Return-to-Duty  | 36                           | 35                        | 0   | 0                      | 0                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 1                                   | 0               |
| Follow-up       | 221                          | 214                       | 6   | 4                      | 3                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 1                                   | 0               |
| <b>TOTAL</b>    | <b>12,468</b>                | <b>12,362</b>             | <b>89</b>                                       | <b>66</b>              | <b>21</b>            | <b>1</b>         | <b>5</b>             | <b>4</b>                  | <b>0</b>    | <b>0</b>    | <b>0</b>                                  | <b>17</b>                           | <b>60</b>       |



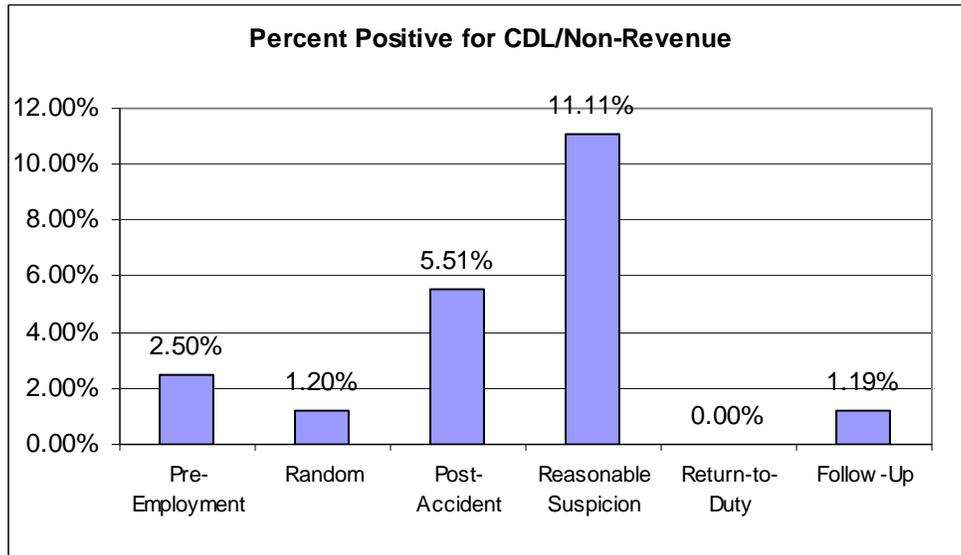
**Figure 14. Percent Positive for Revenue Vehicle Control/Dispatch by Test Type**

### 3.2.4 Commercial Driver’s License (CDL)/Non-Revenue Vehicle

Table 14 provides drug test results for the commercial driver’s license (CDL)/non-revenue vehicle employee category by test type. Figure 15 illustrates the percent positive for the CDL/non-revenue vehicle employee category by test type.

**Table 14. Drug Test Results for Commercial Driver’s License (CDL)/Non-Revenue Vehicle**

| Test Type       | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|-----------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|                 |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | “Shy Bladder” with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| Pre-Employment  | 1,360                        | 1,326                     | 33  | 24                     | 6                    | 0                | 1                    | 2                         | 0           | 0           | 0   | 1                                   | 10              |
| Random          | 3,415                        | 3,375                     | 37  | 24                     | 11                   | 0                | 3                    | 0                         | 0           | 1           | 2   | 1                                   | 10              |
| Post-Accident   | 127                          | 120                       | 7   | 4                      | 3                    | 0                | 0                    | 1                         | 0           | 0           | 0   | 0                                   | 0               |
| Reas. Suspicion | 9                            | 8                         | 1   | 1                      | 0                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| Return-to-Duty  | 26                           | 26                        | 0   | 0                      | 0                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| Follow-up       | 253                          | 250                       | 3   | 1                      | 2                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 4               |
| <b>TOTAL</b>    | <b>5,190</b>                 | <b>5,105</b>              | <b>81</b>                                       | <b>54</b>              | <b>22</b>            | <b>0</b>         | <b>4</b>             | <b>3</b>                  | <b>0</b>    | <b>1</b>    | <b>2</b>                                  | <b>2</b>                            | <b>24</b>       |



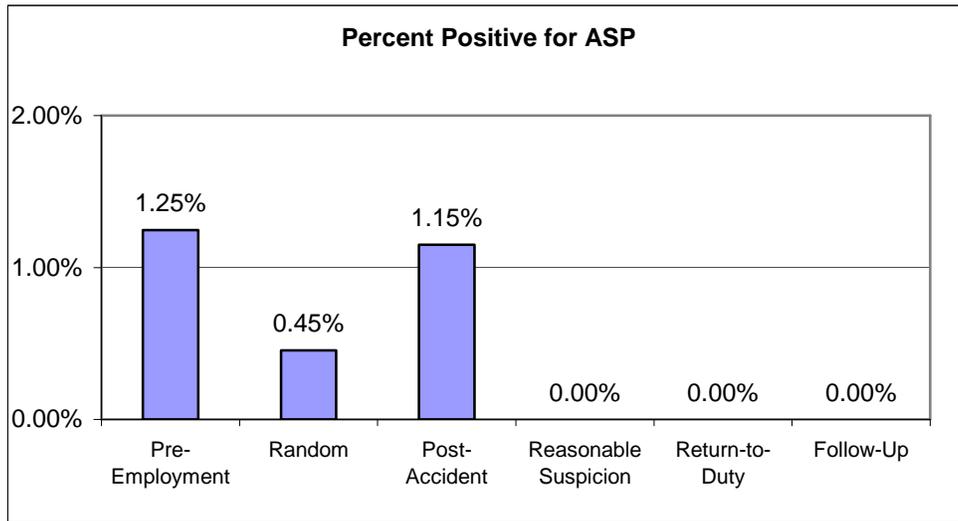
**Figure 15. Percent Positive for Commercial Driver’s License (CDL)/Non-Revenue Vehicle by Test Type**

### 3.2.5 Armed Security Personnel

Table 15 provides drug test results for the armed security personnel employee category by test type. Figure 16 illustrates the percent positive for the armed security personnel employee category by test type.

**Table 15. Drug Test Results for Armed Security Personnel**

| Test Type       | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|-----------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|                 |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| Pre-Employment  | 1,204                        | 1,189                     | 12  | 8                      | 4                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 3                                   | 0               |
| Random          | 2,425                        | 2,414                     | 9   | 8                      | 2                    | 0                | 1                    | 0                         | 0           | 0           | 0   | 2                                   | 4               |
| Post-Accident   | 87                           | 86                        | 0   | 0                      | 0                    | 0                | 0                    | 0                         | 1           | 0           | 0   | 0                                   | 0               |
| Reas. Suspicion | 5                            | 5                         | 0   | 0                      | 0                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| Return-to-Duty  | 9                            | 9                         | 0   | 0                      | 0                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| Follow-up       | 2                            | 2                         | 0   | 0                      | 0                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| <b>TOTAL</b>    | <b>3,732</b>                 | <b>3,705</b>              | <b>21</b>                                       | <b>16</b>              | <b>6</b>             | <b>0</b>         | <b>1</b>             | <b>0</b>                  | <b>1</b>    | <b>0</b>    | <b>0</b>                                  | <b>5</b>                            | <b>4</b>        |



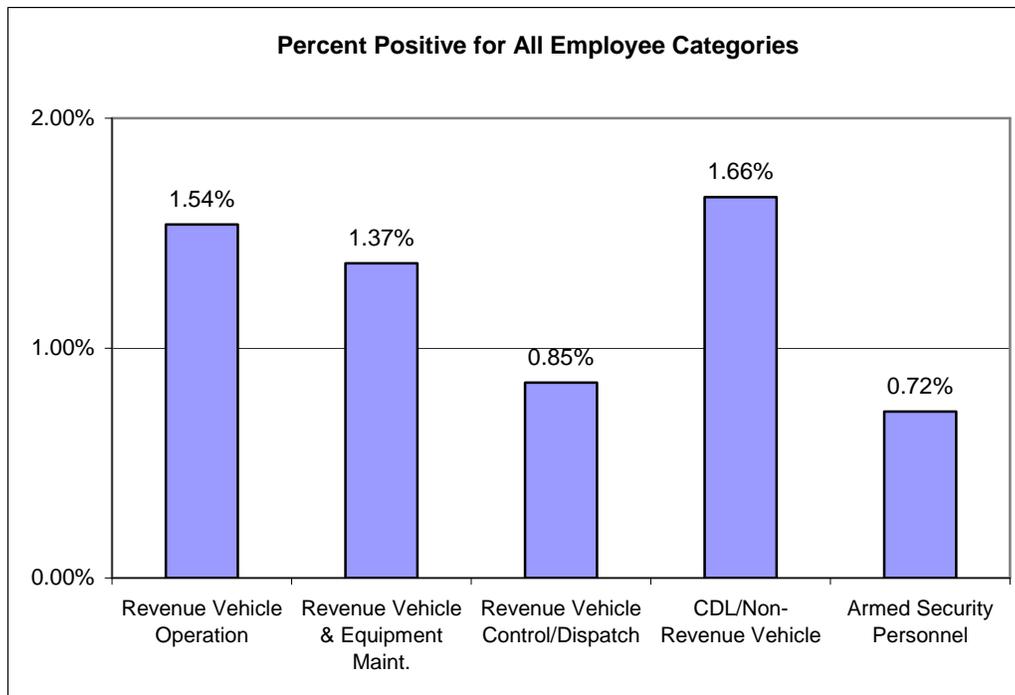
**Figure 16. Percent Positive for Armed Security Personnel by Test Type**

### 3.2.6 Drug Test Results for All Employee Categories

Table 16 provides drug test results for all test types by employee category. Figure 17 illustrates the percent positive for all test types by employee category.

**Table 16. Drug Test Results for All Test Types by Employee Category**

| Employee Category                         | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|---|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|   |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| Revenue Vehicle Operation                 | 177,413                      | 174,686                   | 2,514   | 1,469                  | 857                  | 20               | 82                   | 165                       | 18          | 13          | 35  | 147                                 | 345             |
| Revenue Vehicle and Equipment Maintenance | 38,273                       | 37,749                    | 474   | 267                    | 162                  | 9                | 6                    | 37                        | 5           | 6           | 4   | 35                                  | 48              |
| Revenue Vehicle Control/Dispatch          | 12,468                       | 12,362                    | 89  | 66                     | 21                   | 1                | 5                    | 4                         | 0           | 0           | 0   | 17                                  | 30              |
| CDL/Non-Revenue Vehicle                   | 5,190                        | 5,104                     | 81  | 54                     | 22                   | 0                | 4                    | 3                         | 0           | 1           | 2   | 2                                   | 12              |
| Armed Security Personnel                  | 3,732                        | 3,705                     | 21  | 16                     | 6                    | 0                | 1                    | 0                         | 1           | 0           | 0   | 5                                   | 2               |
| <b>TOTAL</b>                              | <b>237,076</b>               | <b>233,606</b>            | <b>3,179</b>                                    | <b>1,872</b>           | <b>1,068</b>         | <b>30</b>        | <b>98</b>            | <b>209</b>                | <b>24</b>   | <b>20</b>   | <b>41</b>                                 | <b>206</b>                          | <b>437</b>      |



**Figure 17. Percent Positive for All Test Types by Employee Category**

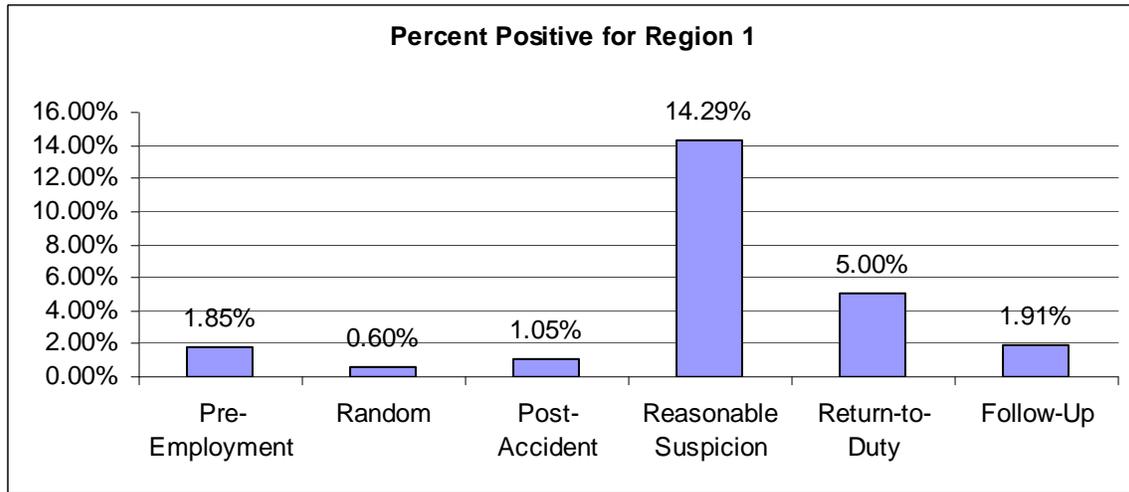
### 3.3 Drug Test Results by Region

#### 3.3.1 Drug Test Results for Region 1

Table 17 provides drug test results by test type and drugs detected for Region 1. Figure 18 illustrates the percent positive for all test types for Region 1.

**Table 17. Drug Test Results by Test Type and Drugs Detected for Region 1**

| Test Type       | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|-----------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|                 |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| Pre-Employment  | 2,813                        | 2,761                     | 51  | 40                     | 13                   | 1                | 0                    | 1                         | 0           | 0           | 0   | 1                                   | 4               |
| Random          | 6,626                        | 6,586                     | 38  | 19                     | 19                   | 0                | 0                    | 0                         | 0           | 0           | 0   | 2                                   | 7               |
| Post-Accident   | 475                          | 470                       | 5   | 3                      | 2                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 2               |
| Reas. Suspicion | 14                           | 12                        | 2   | 0                      | 1                    | 0                | 1                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| Return-to-Duty  | 40                           | 38                        | 2   | 2                      | 0                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| Follow-up       | 209                          | 205                       | 4   | 1                      | 3                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |



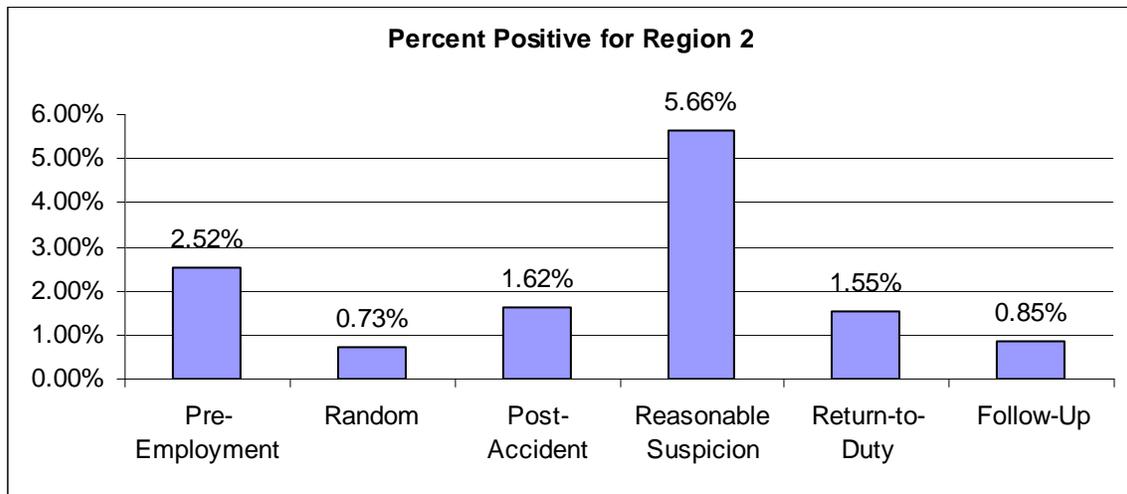
**Figure 18. Percent Positive for All Test Types for Region 1**

### 3.3.2 Drug Test Results for Region 2

Table 18 provides drug test results by test type and drugs detected for Region 2. Figure 19 illustrates the percent positive for all test types for Region 2.

**Table 18. Drug Test Results by Test Type and Drugs Detected for Region 2**

| Test Type       | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|-----------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|                 |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| Pre-Employment  | 10,536                       | 10,271                    | 258   | 162                    | 83                   | 6                | 5                    | 2                         | 2           | 1           | 0   | 4                                   | 20              |
| Random          | 28,414                       | 28,207                    | 191   | 84                     | 92                   | 8                | 5                    | 6                         | 1           | 4           | 2   | 9                                   | 34              |
| Post-Accident   | 2,222                        | 2,186                     | 34  | 12                     | 19                   | 1                | 1                    | 1                         | 0           | 1           | 0   | 1                                   | 3               |
| Reas. Suspicion | 106                          | 100                       | 4   | 2                      | 2                    | 0                | 0                    | 0                         | 0           | 2           | 0   | 0                                   | 0               |
| Return-to-Duty  | 194                          | 191                       | 3   | 2                      | 1                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 1               |
| Follow-up       | 1,874                        | 1,858                     | 12  | 5                      | 8                    | 0                | 0                    | 0                         | 0           | 0           | 2   | 2                                   | 7               |



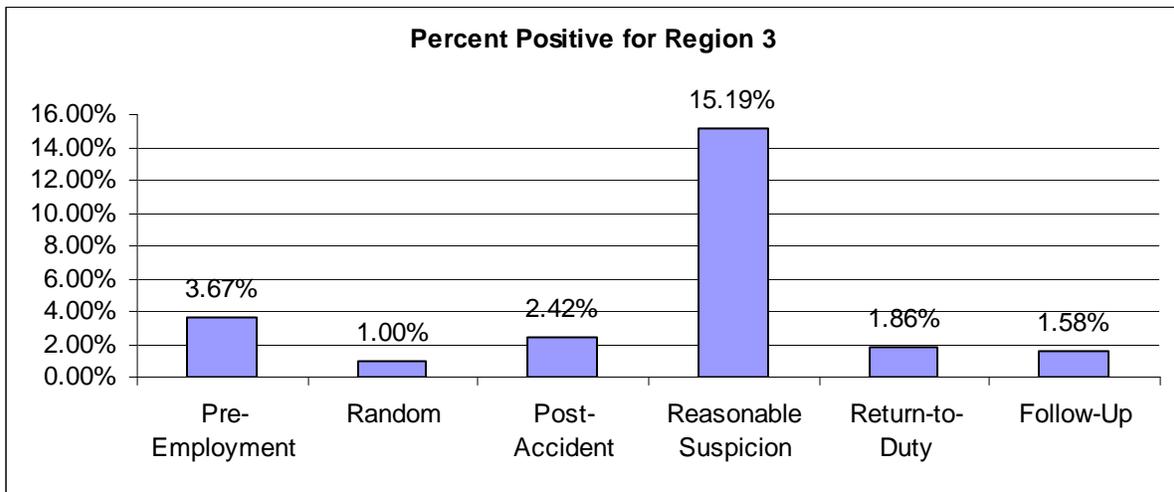
**Figure 19. Percent Positive for All Test Types for Region 2**

### 3.3.3 Drug Test Results for Region 3

Table 19 provides drug test results by test type and drugs detected for Region 3. Figure 20 illustrates the percent positive for all test types for Region 3.

**Table 19. Drug Test Results by Test Type and Drugs Detected for Region 3**

| Test Type       | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|-----------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|                 |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| Pre-Employment  | 8,948                        | 8,620                     | 298   | 195                    | 95                   | 7                | 8                    | 1                         | 0           | 0           | 0   | 30                                  | 10              |
| Random          | 16,090                       | 16,740                    | 150   | 72                     | 67                   | 1                | 13                   | 1                         | 0           | 0           | 3   | 16                                  | 31              |
| Post-Accident   | 1,280                        | 1,249                     | 28  | 19                     | 8                    | 0                | 3                    | 0                         | 0           | 0           | 0   | 3                                   | 4               |
| Reas. Suspicion | 79                           | 67                        | 8   | 3                      | 4                    | 0                | 1                    | 0                         | 0           | 0           | 1   | 3                                   | 1               |
| Return-to-Duty  | 215                          | 211                       | 3   | 3                      | 0                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 1                                   | 0               |
| Follow-up       | 1,266                        | 1,246                     | 19  | 6                      | 13                   | 0                | 0                    | 0                         | 0           | 0           | 0   | 1                                   | 2               |



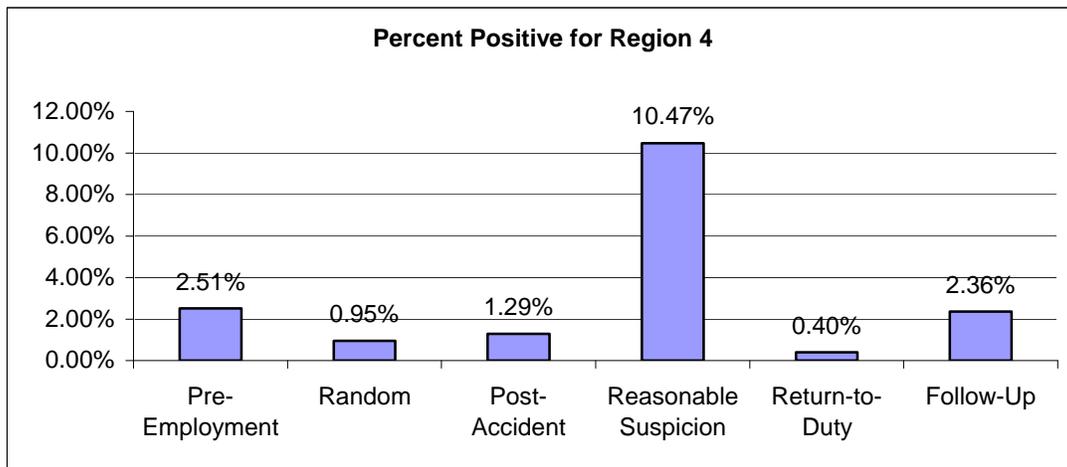
**Figure 20. Percent Positive for All Test Types for Region 3**

### 3.3.4 Drug Test Results for Region 4

Table 20 provides drug test results by test type and drugs detected for Region 4. Figure 21 illustrates the percent positive for all test types for Region 4.

**Table 20. Drug Test Results by Test Type and Drugs Detected for Region 4**

| Test Type       | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|-----------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|                 |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| Pre-Employment  | 9,814                        | 9,568                     | 224   | 146                    | 70                   | 1                | 1                    | 10                        | 3           | 2           | 0   | 17                                  | 14              |
| Random          | 16,534                       | 16,377                    | 141   | 72                     | 65                   | 0                | 6                    | 4                         | 2           | 2           | 2   | 10                                  | 28              |
| Post-Accident   | 2,782                        | 2,746                     | 32  | 13                     | 17                   | 0                | 0                    | 2                         | 1           | 0           | 0   | 3                                   | 4               |
| Reas. Suspicion | 86                           | 77                        | 7   | 0                      | 7                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 2                                   | 0               |
| Return-to-Duty  | 253                          | 252                       | 1   | 1                      | 0                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| Follow-up       | 592                          | 578                       | 14  | 5                      | 8                    | 0                | 0                    | 1                         | 0           | 0           | 0   | 0                                   | 3               |



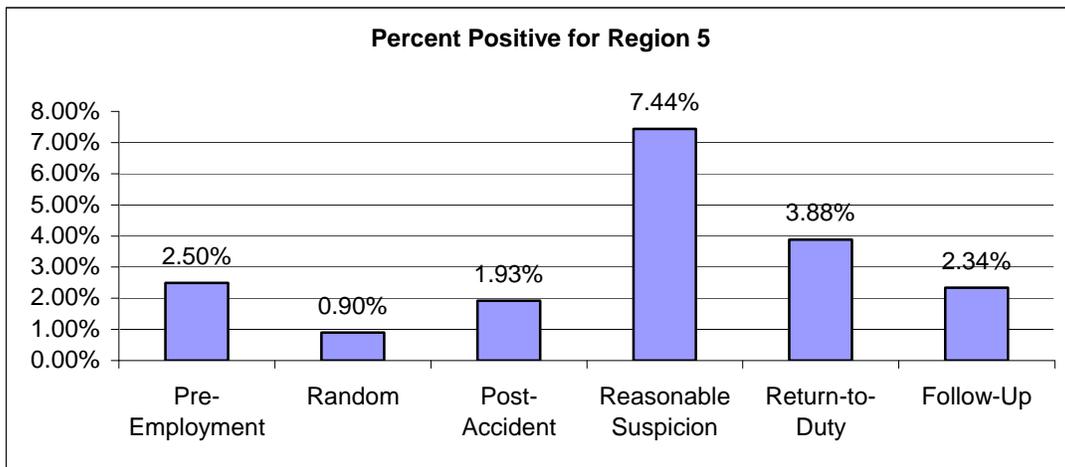
**Figure 21. Percent Positive for All Test Types for Region 4**

### 3.3.5 Drug Test Results for Region 5

Table 21 provides drug test results by test type and drugs detected for Region 5. Figure 22 illustrates the percent positive for all test types for Region 5.

**Table 21. Drug Test Results by Test Type and Drugs Detected for Region 5**

| Test Type       | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|-----------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|                 |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| Pre-Employment  | 10,017                       | 9,767                     | 231   | 158                    | 71                   | 2                | 9                    | 3                         | 3           | 1           | 8   | 7                                   | 9               |
| Random          | 21,423                       | 21,230                    | 172   | 97                     | 76                   | 0                | 3                    | 4                         | 1           | 1           | 3   | 16                                  | 27              |
| Post-Accident   | 2,493                        | 2,445                     | 46  | 12                     | 30                   | 0                | 3                    | 2                         | 0           | 0           | 2   | 0                                   | 4               |
| Reas. Suspicion | 121                          | 112                       | 7   | 2                      | 3                    | 0                | 2                    | 0                         | 0           | 0           | 0   | 2                                   | 0               |
| Return-to-Duty  | 232                          | 223                       | 9   | 4                      | 5                    | 0                | 0                    | 1                         | 0           | 0           | 0   | 0                                   | 0               |
| Follow-up       | 1,325                        | 1,294                     | 27  | 12                     | 13                   | 0                | 0                    | 3                         | 0           | 0           | 2   | 2                                   | 6               |



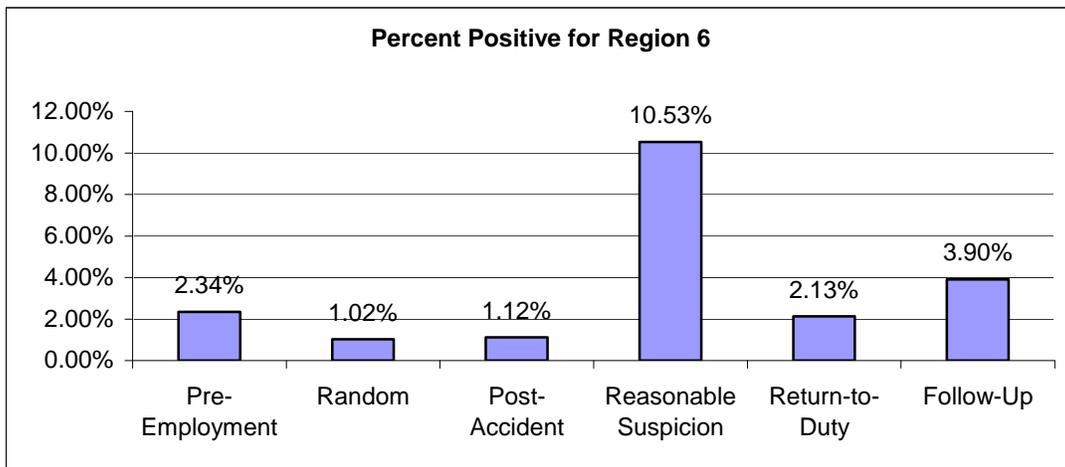
**Figure 22. Percent Positive for All Test Types for Region 5**

### 3.3.6 Drug Test Results for Region 6

Table 22 provides drug test results by test type and drugs detected for Region 6. Figure 23 illustrates the percent positive for all test types for Region 6.

**Table 22. Drug Test Results by Test Type and Drugs Detected for Region 6**

| Test Type       | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|-----------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|                 |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| Pre-Employment  | 5,210                        | 5,088                     | 113   | 84                     | 28                   | 1                | 1                    | 2                         | 1           | 1           | 0   | 7                                   | 13              |
| Random          | 10,784                       | 10,674                    | 105   | 59                     | 41                   | 0                | 3                    | 4                         | 0           | 2           | 1   | 2                                   | 18              |
| Post-Accident   | 1,247                        | 1,233                     | 14  | 7                      | 5                    | 0                | 1                    | 1                         | 0           | 0           | 0   | 0                                   | 0               |
| Reas. Suspicion | 38                           | 34                        | 4   | 1                      | 2                    | 0                | 0                    | 1                         | 0           | 0           | 0   | 0                                   | 0               |
| Return-to-Duty  | 94                           | 92                        | 2   | 1                      | 1                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| Follow-up       | 205                          | 197                       | 8   | 4                      | 4                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |



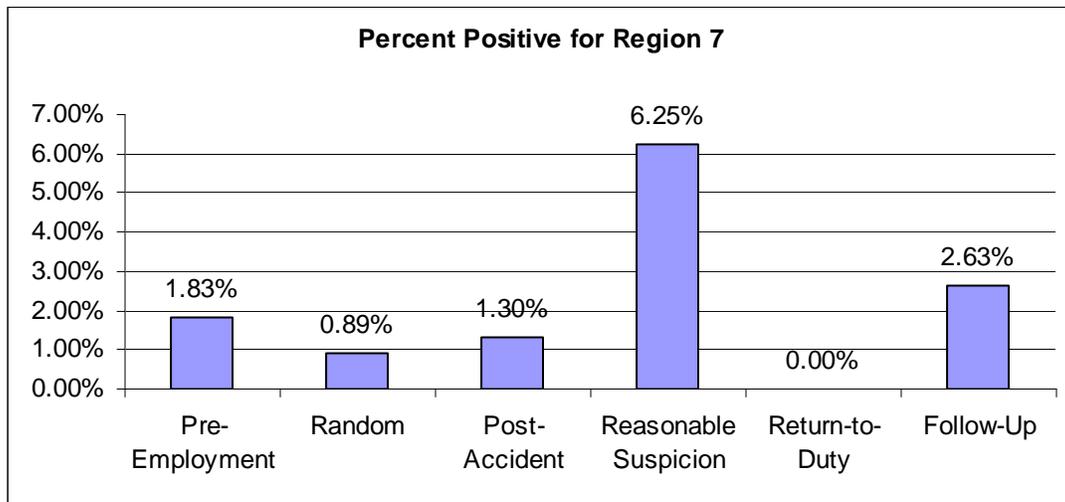
**Figure 23. Percent Positive for All Test Types for Region 6**

### 3.3.7 Drug Test Results for Region 7

Table 23 provides drug test results by test type and drugs detected for Region 7. Figure 24 illustrates the percent positive for all test types for Region 7.

**Table 23. Drug Test Results by Test Type and Drugs Detected for Region 7**

| Test Type       | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|-----------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|                 |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| Pre-Employment  | 3,005                        | 2,950                     | 50  | 36                     | 10                   | 0                | 1                    | 5                         | 1           | 0           | 0   | 4                                   | 8               |
| Random          | 4,717                        | 4,675                     | 38  | 23                     | 10                   | 0                | 1                    | 6                         | 1           | 0           | 2   | 1                                   | 14              |
| Post-Accident   | 460                          | 454                       | 5   | 2                      | 3                    | 0                | 0                    | 0                         | 0           | 0           | 1   | 0                                   | 0               |
| Reas. Suspicion | 32                           | 30                        | 2   | 1                      | 1                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| Return-to-Duty  | 34                           | 34                        | 0   | 0                      | 0                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| Follow-up       | 152                          | 148                       | 4   | 4                      | 0                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |



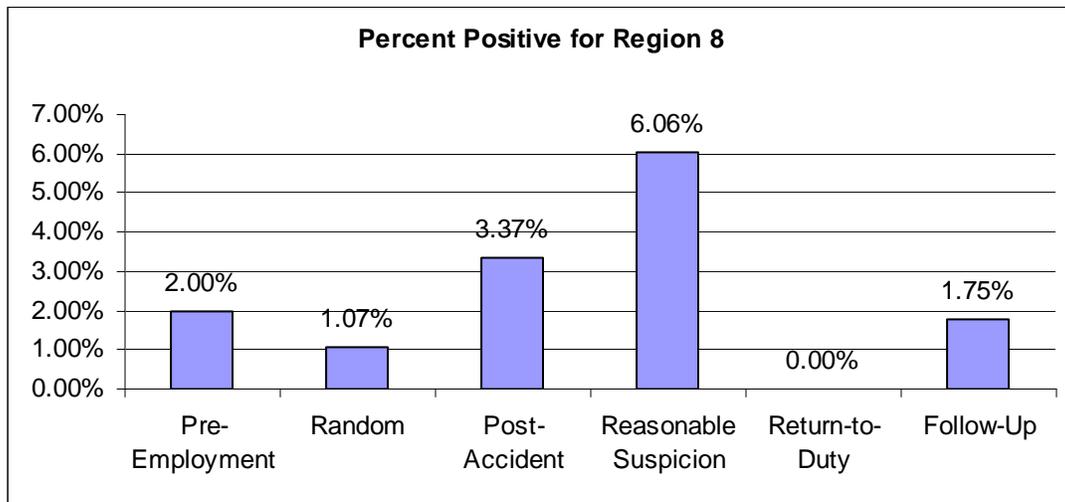
**Figure 24. Percent Positive for All Test Types for Region 7**

### 3.3.8 Drug Test Results for Region 8

Table 24 provides drug test results by test type and drugs detected for Region 8. Figure 25 illustrates the percent positive for all test types for Region 8.

**Table 24. Drug Test Results by Test Type and Drugs Detected for Region 8**

| Test Type       | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|-----------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|                 |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| Pre-Employment  | 2,949                        | 2,890                     | 56  | 37                     | 11                   | 0                | 3                    | 6                         | 0           | 0           | 1   | 2                                   | 9               |
| Random          | 4,470                        | 4,422                     | 38  | 28                     | 7                    | 0                | 0                    | 4                         | 1           | 1           | 4   | 4                                   | 7               |
| Post-Accident   | 297                          | 287                       | 10  | 8                      | 0                    | 0                | 0                    | 2                         | 0           | 0           | 0   | 0                                   | 1               |
| Reas. Suspicion | 33                           | 31                        | 2   | 2                      | 0                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| Return-to-Duty  | 28                           | 28                        | 0   | 0                      | 0                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| Follow-up       | 171                          | 168                       | 3   | 1                      | 2                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |



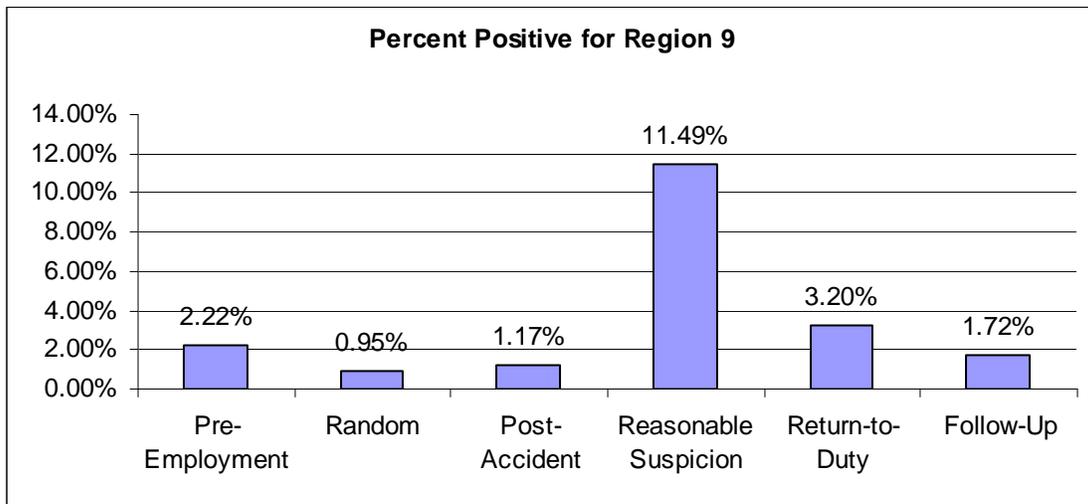
**Figure 25. Percent Positive for All Test Types for Region 8**

### 3.3.9 Drug Test Results for Region 9

Table 25 provides drug test results by test type and drugs detected for Region 9. Figure 26 illustrates the percent positive for all test types for Region 9.

**Table 25. Drug Test Results by Test Type and Drugs Detected for Region 9**

| Test Type       | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|-----------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|                 |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| Pre-Employment  | 15,262                       | 14,923                    | 321   | 218                    | 63                   | 1                | 12                   | 45                        | 2           | 1           | 2   | 13                                  | 55              |
| Random          | 23,544                       | 23,321                    | 193   | 93                     | 43                   | 0                | 9                    | 55                        | 4           | 1           | 2   | 23                                  | 58              |
| Post-Accident   | 2,736                        | 2,704                     | 30  | 14                     | 9                    | 0                | 1                    | 8                         | 0           | 0           | 1   | 1                                   | 2               |
| Reas. Suspicion | 87                           | 77                        | 9   | 2                      | 5                    | 0                | 0                    | 2                         | 0           | 0           | 0   | 1                                   | 1               |
| Return-to-Duty  | 250                          | 242                       | 8   | 3                      | 3                    | 0                | 1                    | 1                         | 0           | 0           | 0   | 0                                   | 0               |
| Follow-up       | 1,508                        | 1,482                     | 22  | 6                      | 10                   | 1                | 0                    | 5                         | 1           | 0           | 1   | 2                                   | 2               |



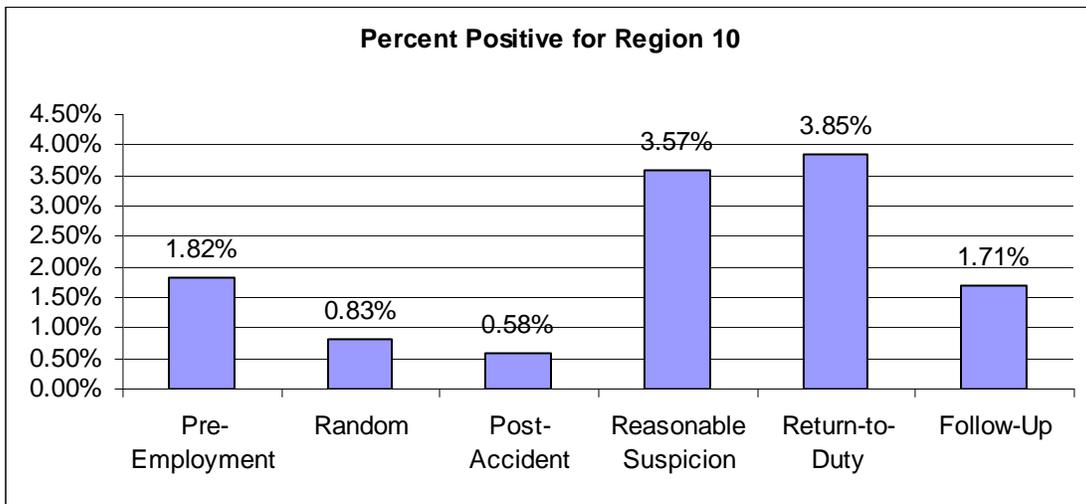
**Figure 26. Percent Positive for All Test Types for Region 9**

### 3.3.10 Drug Test Results for Region 10

Table 26 provides drug test results by test type and drugs detected for Region 10. Figure 27 illustrates the percent positive for all test types for Region 10.

**Table 26. Drug Test Results by Test Type and Drugs Detected for Region 10**

| Test Type       | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|-----------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|                 |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| Pre-Employment  | 3,249                        | 3,190                     | 52  | 43                     | 2                    | 0                | 2                    | 7                         | 0           | 0           | 0   | 7                                   | 11              |
| Random          | 7,596                        | 7,533                     | 55  | 37                     | 7                    | 0                | 0                    | 12                        | 0           | 0           | 1   | 7                                   | 14              |
| Post-Accident   | 518                          | 515                       | 3   | 0                      | 1                    | 0                | 2                    | 0                         | 0           | 0           | 0   | 0                                   | 3               |
| Reas. Suspicion | 28                           | 27                        | 1   | 1                      | 0                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| Return-to-Duty  | 52                           | 50                        | 2   | 1                      | 1                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |
| Follow-up       | 410                          | 403                       | 7   | 4                      | 4                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 0                                   | 0               |



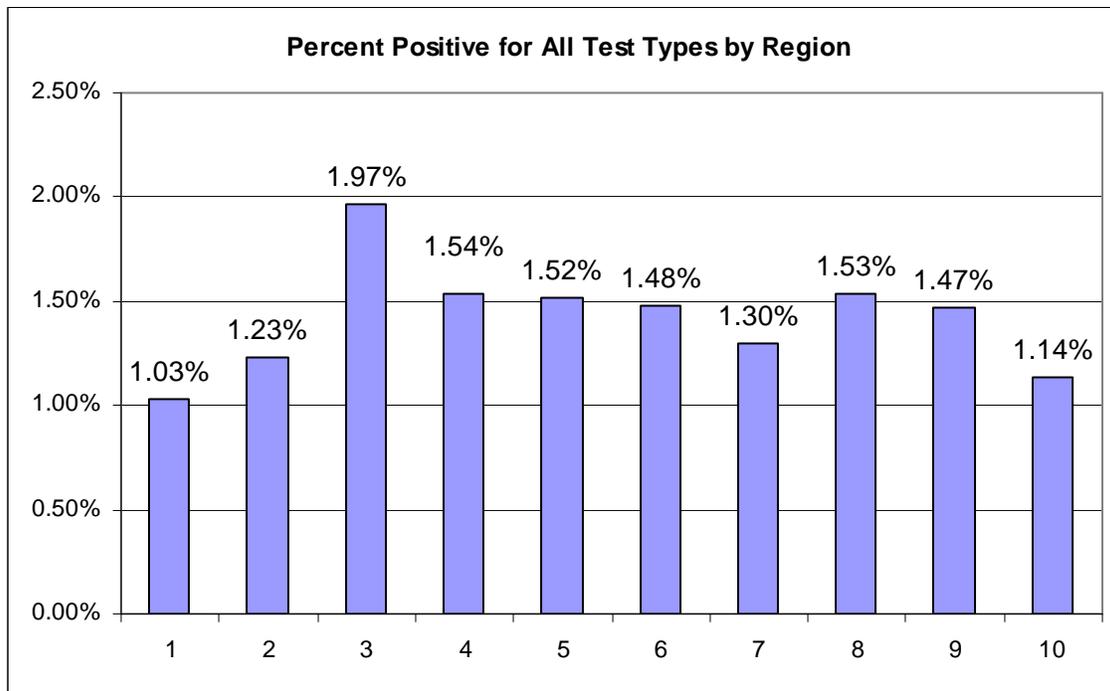
**Figure 27. Percent Positive for All Test Types for Region 10**

### 3.3.11 Drug Test Results for All Regions

Table 27 provides drug test results for all test types and drugs detected for each region. Figure 28 illustrates the percent positive for all test types by region.

**Table 27. Drug Test Results for All Regions**

| Region       | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|--------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|              |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| 1            | 10,177                       | 10,072                    | 102   | 65                     | 38                   | 1                | 1                    | 1                         | 0           | 0           | 0   | 3                                   | 13              |
| 2            | 43,346                       | 42,813                    | 502   | 267                    | 205                  | 15               | 11                   | 9                         | 3           | 8           | 4   | 16                                  | 65              |
| 3            | 28,697                       | 28,133                    | 506   | 298                    | 187                  | 8                | 25                   | 2                         | 0           | 0           | 4   | 54                                  | 48              |
| 4            | 30,061                       | 29,598                    | 419   | 237                    | 167                  | 1                | 7                    | 17                        | 6           | 4           | 2   | 32                                  | 49              |
| 5            | 35,611                       | 35,071                    | 492   | 285                    | 198                  | 2                | 17                   | 13                        | 4           | 2           | 15  | 27                                  | 46              |
| 6            | 17,578                       | 17,318                    | 246   | 156                    | 81                   | 1                | 5                    | 8                         | 1           | 3           | 1   | 9                                   | 31              |
| 7            | 8,400                        | 8,291                     | 99  | 66                     | 24                   | 0                | 2                    | 11                        | 2           | 0           | 3   | 5                                   | 22              |
| 8            | 7,948                        | 7,826                     | 109   | 76                     | 20                   | 0                | 3                    | 12                        | 1           | 1           | 5   | 6                                   | 17              |
| 9            | 43,387                       | 42,749                    | 583   | 336                    | 133                  | 2                | 23                   | 116                       | 7           | 2           | 6   | 40                                  | 118             |
| 10           | 11,853                       | 11,718                    | 120   | 86                     | 15                   | 0                | 4                    | 19                        | 0           | 0           | 1   | 14                                  | 28              |
| <b>Total</b> | <b>237,058</b>               | <b>233,589</b>            | <b>3,178</b>                                    | <b>1,872</b>           | <b>1,068</b>         | <b>30</b>        | <b>98</b>            | <b>208</b>                | <b>24</b>   | <b>20</b>   | <b>41</b>                                 | <b>206</b>                          | <b>437</b>      |



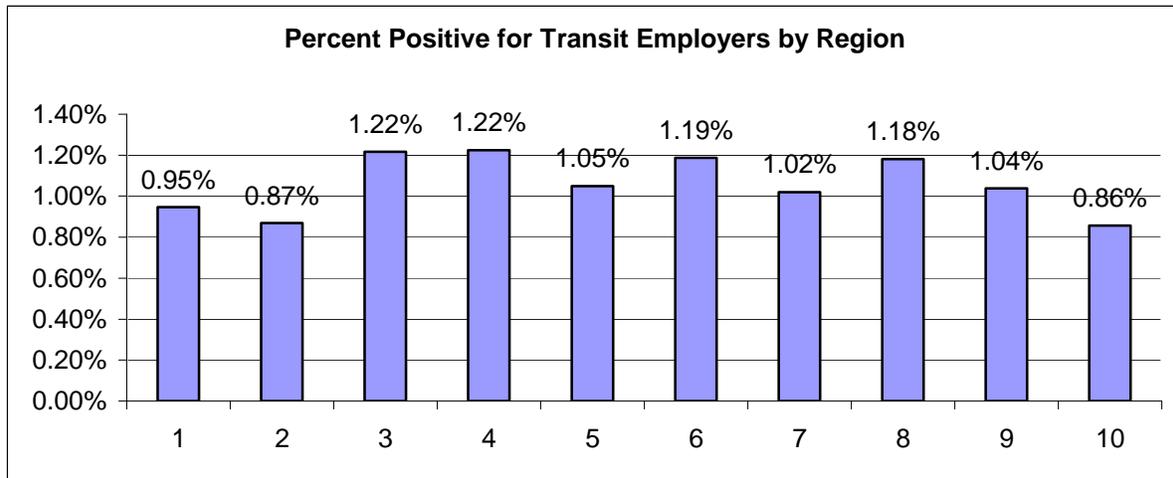
**Figure 28. Percent Positive for All Test Types by Region**

### 3.3.12 Drug Test Results for Transit Employers and Contractors

Table 28 provides drug test results for transit employers by region. Figure 29 illustrates the percent positive for transit employers by region. Table 29 provides drug test results for contractors by region. Figure 30 illustrates the percent positive for contractors by region.

**Table 28. Drug Test Results for Transit Employers by Region**

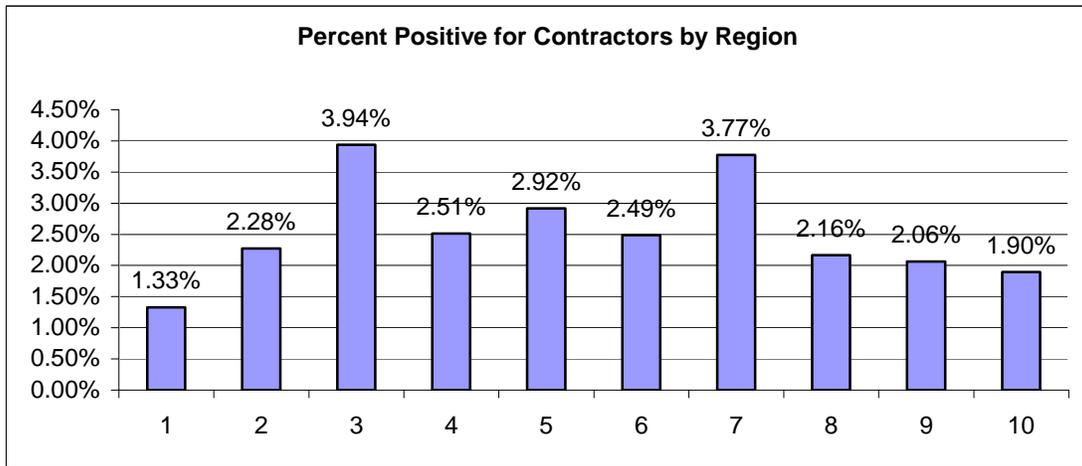
| Region       | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|--------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|              |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| 1            | 7,921                        | 7,846                     | 74  | 45                     | 29                   | 1                | 1                    | 1                         | 0           | 0           | 0   | 1                                   | 10              |
| 2            | 32,226                       | 31,946                    | 261   | 119                    | 122                  | 9                | 6                    | 7                         | 0           | 5           | 4   | 10                                  | 39              |
| 3            | 20,797                       | 20,544                    | 234   | 138                    | 83                   | 2                | 14                   | 1                         | 0           | 0           | 0   | 19                                  | 37              |
| 4            | 22,703                       | 22,425                    | 257   | 137                    | 115                  | 1                | 5                    | 7                         | 4           | 1           | 2   | 14                                  | 39              |
| 5            | 26,698                       | 26,418                    | 246   | 139                    | 99                   | 0                | 7                    | 10                        | 3           | 2           | 14  | 15                                  | 34              |
| 6            | 13,640                       | 13,478                    | 155   | 93                     | 55                   | 0                | 4                    | 6                         | 0           | 1           | 1   | 5                                   | 21              |
| 7            | 7,552                        | 7,475                     | 71  | 48                     | 16                   | 0                | 2                    | 6                         | 1           | 0           | 3   | 2                                   | 17              |
| 8            | 5,083                        | 5,023                     | 56  | 44                     | 6                    | 0                | 1                    | 5                         | 0           | 1           | 1   | 2                                   | 9               |
| 9            | 25,051                       | 24,791                    | 238   | 128                    | 78                   | 2                | 5                    | 40                        | 2           | 1           | 3   | 16                                  | 67              |
| 10           | 8,637                        | 8,563                     | 66  | 46                     | 11                   | 0                | 2                    | 10                        | 0           | 0           | 1   | 7                                   | 14              |
| <b>Total</b> | <b>170,308</b>               | <b>168,509</b>            | <b>1,658</b>                                    | <b>937</b>             | <b>614</b>           | <b>15</b>        | <b>47</b>            | <b>93</b>                 | <b>10</b>   | <b>11</b>   | <b>29</b>                                 | <b>91</b>                           | <b>287</b>      |



**Figure 29. Percent Positive for Transit Employers by Region**

**Table 29. Drug Test Results for Contractors by Region**

| Region       | Total Number of Test Results | Verified Negative Results | Verified Positive Results for One or More Drugs | Positive for Marijuana | Positive for Cocaine | Positive for PCP | Positive for Opiates | Positive for Amphetamines | Refusals    |             |   |                                     | Cancelled Tests |
|--------------|------------------------------|---------------------------|---|------------------------|----------------------|------------------|----------------------|---------------------------|-------------|-------------|---|-------------------------------------|-----------------|
|              |                              |                           |   |                        |                      |                  |                      |                           | Adulterated | Substituted | "Shy Bladder" with No Medical Explanation | Other Refusals to Submit to Testing |                 |
| 1            | 2,256                        | 2,226                     | 28  | 20                     | 9                    | 0                | 0                    | 0                         | 0           | 0           | 0   | 2                                   | 3               |
| 2            | 11,120                       | 10,867                    | 241   | 148                    | 83                   | 6                | 5                    | 2                         | 3           | 3           | 0   | 6                                   | 26              |
| 3            | 7,900                        | 7,589                     | 272   | 160                    | 104                  | 6                | 11                   | 1                         | 0           | 0           | 4   | 35                                  | 11              |
| 4            | 7,358                        | 7,173                     | 162   | 100                    | 52                   | 0                | 2                    | 10                        | 2           | 3           | 0   | 18                                  | 10              |
| 5            | 8,913                        | 8,653                     | 246   | 146                    | 99                   | 2                | 10                   | 3                         | 1           | 0           | 1   | 12                                  | 12              |
| 6            | 3,938                        | 3,840                     | 91  | 63                     | 26                   | 1                | 1                    | 2                         | 1           | 2           | 0   | 4                                   | 10              |
| 7            | 848                          | 816                       | 28  | 18                     | 8                    | 0                | 0                    | 5                         | 1           | 0           | 0   | 3                                   | 5               |
| 8            | 2,865                        | 2,803                     | 53  | 32                     | 14                   | 0                | 2                    | 7                         | 1           | 0           | 4   | 4                                   | 8               |
| 9            | 18,336                       | 17,958                    | 345   | 208                    | 55                   | 0                | 18                   | 76                        | 5           | 1           | 3   | 24                                  | 51              |
| 10           | 3,216                        | 3,155                     | 54  | 40                     | 4                    | 0                | 2                    | 9                         | 0           | 0           | 0   | 7                                   | 14              |
| <b>Total</b> | <b>66,750</b>                | <b>65,080</b>             | <b>1,520</b>                                    | <b>935</b>             | <b>454</b>           | <b>15</b>        | <b>51</b>            | <b>115</b>                | <b>14</b>   | <b>9</b>    | <b>12</b>                                 | <b>115</b>                          | <b>150</b>      |



**Figure 30. Percent Positive for Contractors by Region**

## 4. Alcohol Test Results

### 4.1 Alcohol Test Results by Test Type and Employee Category

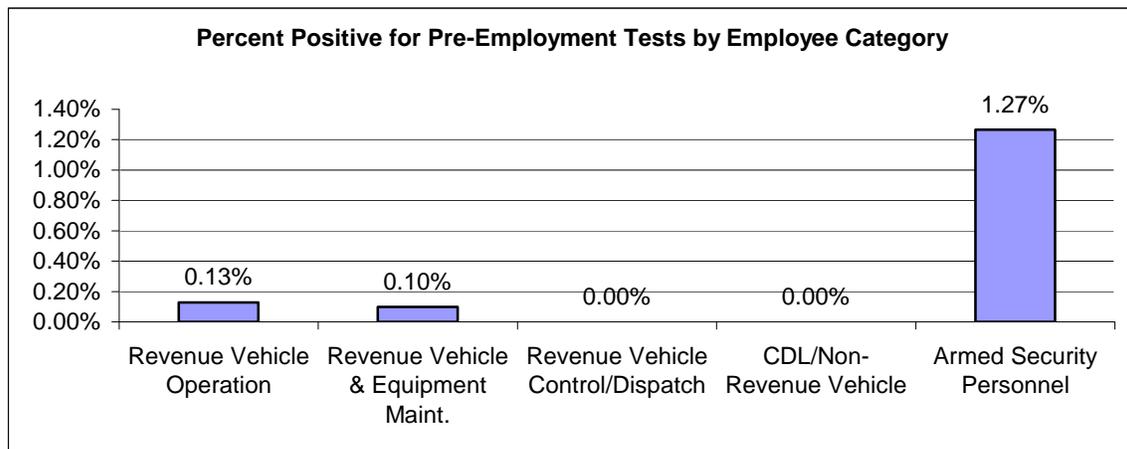
The following section provides alcohol test results by test type conducted and for each employee category.

#### 4.1.1 Pre-Employment Alcohol Test Results

Table 30 provides alcohol results for pre-employment tests for each employee category. Figure 31 illustrates the percent positive for pre-employment tests by employee category.

**Table 30. Pre-Employment Alcohol Test Results by Employee Category**

| Employee Category                  | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|------------------------------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Revenue Vehicle Operation          | 8,640                                  | 8,623                                   | 16   | 16                                  | 3   | 10  | 0                                      | 1                                   | 1               |
| Revenue Vehicle & Equipment Maint. | 1,022                                  | 1,021                                   | 0  | 0                                   | 0   | 0   | 1                                      | 0                                   | 0               |
| Revenue Vehicle Control/Dispatch   | 327                                    | 327                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| CDL/Non-Revenue Vehicle            | 169                                    | 169                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Armed Security Personnel           | 237                                    | 234                                     | 0  | 0                                   | 0   | 0   | 0                                      | 3                                   | 0               |
| <b>TOTAL</b>                       | <b>10,395</b>                          | <b>10,374</b>                           | <b>16</b>                                    | <b>16</b>                           | <b>3</b>                                      | <b>10</b>                                       | <b>1</b>                               | <b>4</b>                            | <b>1</b>        |



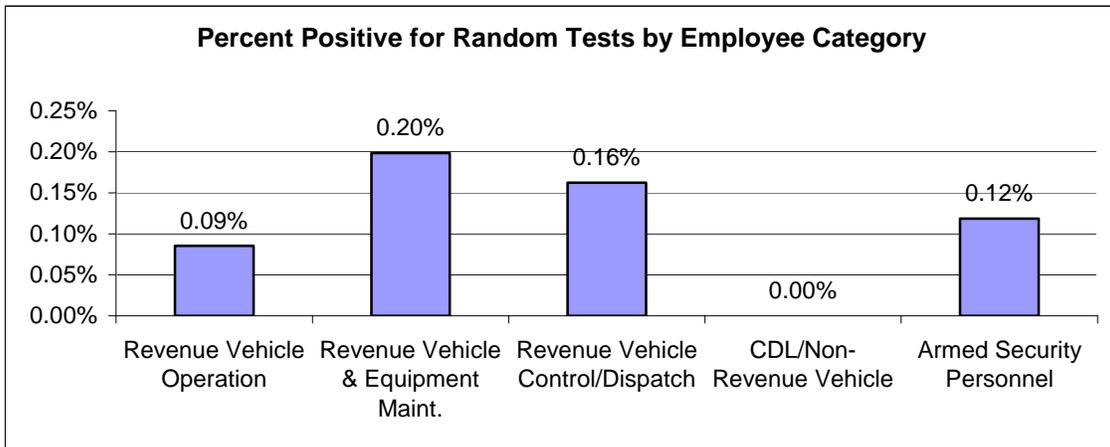
**Figure 31. Percent Positive for Pre-Employment Tests by Employee Category**

#### 4.1.2 Random Alcohol Test Results

Table 31 provides alcohol results for random tests for each employee category. Figure 32 illustrates the percent positive for random alcohol tests by employee category.

**Table 31. Random Alcohol Test Results by Employee Category**

| Employee Category                  | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|------------------------------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Revenue Vehicle Operation          | 29,265                                 | 29,197                                  | 61   | 44                                  | 21  | 18  | 2                                      | 5                                   | 27              |
| Revenue Vehicle & Equipment Maint. | 9,075                                  | 9,032                                   | 40   | 33                                  | 14  | 16  | 1                                      | 1                                   | 3               |
| Revenue Vehicle Control/Dispatch   | 3,079                                  | 3,067                                   | 8  | 3                                   | 1   | 1   | 1                                      | 3                                   | 1               |
| CDL/Non-Revenue Vehicle            | 1,204                                  | 1,203                                   | 1  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Armed Security Personnel           | 844                                    | 842                                     | 1  | 1                                   | 0   | 0   | 0                                      | 1                                   | 0               |
| <b>TOTAL</b>                       | <b>43,467</b>                          | <b>43,341</b>                           | <b>111</b>                                   | <b>81</b>                           | <b>36</b>                                     | <b>35</b>                                       | <b>4</b>                               | <b>10</b>                           | <b>31</b>       |



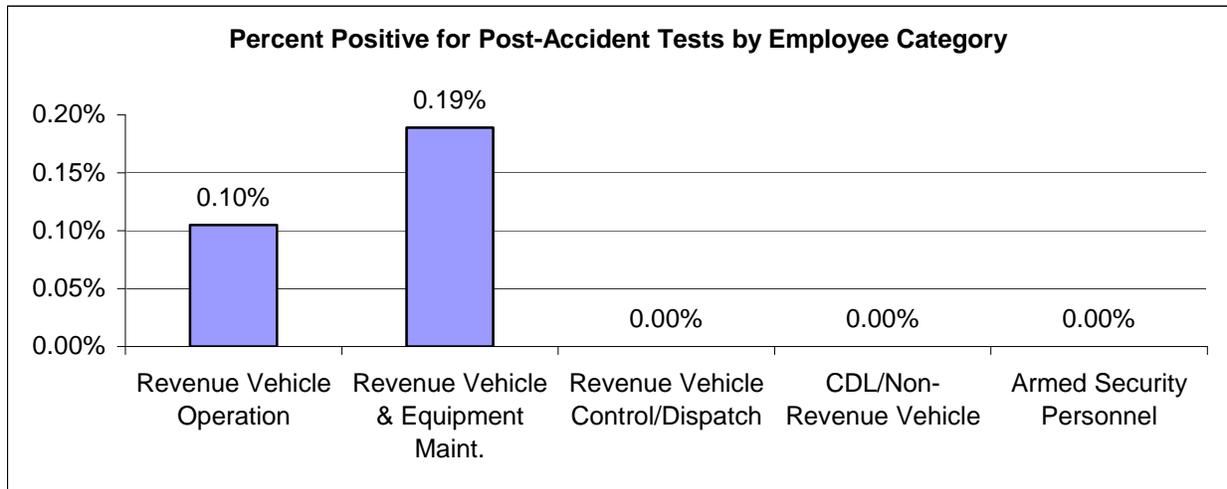
**Figure 32. Percent Positive for Random Tests by Employee Category**

### 4.1.3 Post-Accident Alcohol Test Results

Table 32 provides alcohol results for post-accident tests for each employee category. Figure 33 illustrates the percent positive for post-accident tests by employee category.

**Table 32. Post-Accident Alcohol Test Results by Employee Category**

| Employee Category                  | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|------------------------------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Revenue Vehicle Operation          | 12,398                                 | 12,378                                  | 15   | 11                                  | 2   | 8   | 1                                      | 4                                   | 14              |
| Revenue Vehicle & Equipment Maint. | 529                                    | 527                                     | 2  | 2                                   | 1   | 1   | 0                                      | 0                                   | 2               |
| Revenue Vehicle Control/Dispatch   | 135                                    | 135                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 1               |
| CDL/Non-Revenue Vehicle            | 114                                    | 113                                     | 1  | 1                                   | 1   | 0   | 0                                      | 0                                   | 0               |
| Armed Security Personnel           | 89                                     | 89                                      | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>                       | <b>13,265</b>                          | <b>13,242</b>                           | <b>18</b>                                    | <b>14</b>                           | <b>4</b>                                      | <b>9</b>  | <b>1</b>                               | <b>4</b>                            | <b>17</b>       |



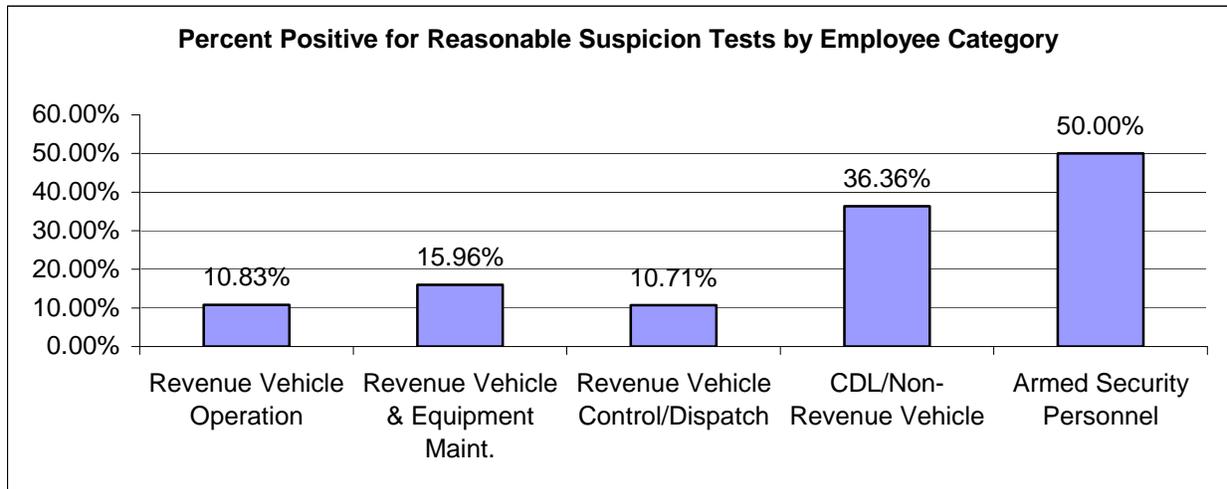
**Figure 33. Percent Positive for Post-Accident Tests by Employee Category**

#### 4.1.4 Reasonable Suspicion Alcohol Test Results

Table 33 provides alcohol results for reasonable suspicion tests for each employee category. Figure 34 illustrates the percent positive for reasonable suspicion tests by employee category.

**Table 33. Reasonable Suspicion Alcohol Test Results by Employee Category**

| Employee Category                  | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|------------------------------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Revenue Vehicle Operation          | 471                                    | 373                                     | 90   | 74                                  | 26  | 43  | 2                                      | 6                                   | 0               |
| Revenue Vehicle & Equipment Maint. | 94                                     | 76                                      | 14   | 12                                  | 1   | 11  | 0                                      | 4                                   | 1               |
| Revenue Vehicle Control/Dispatch   | 28                                     | 20                                      | 7  | 6                                   | 3   | 2   | 0                                      | 1                                   | 1               |
| CDL/Non-Revenue Vehicle            | 11                                     | 7                                       | 4  | 4                                   | 0   | 4   | 0                                      | 0                                   | 0               |
| Armed Security Personnel           | 2                                      | 0                                       | 2  | 2                                   | 1   | 1   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>                       | <b>606</b>                             | <b>476</b>                              | <b>117</b>                                   | <b>98</b>                           | <b>31</b>                                     | <b>61</b>                                       | <b>2</b>                               | <b>11</b>                           | <b>2</b>        |



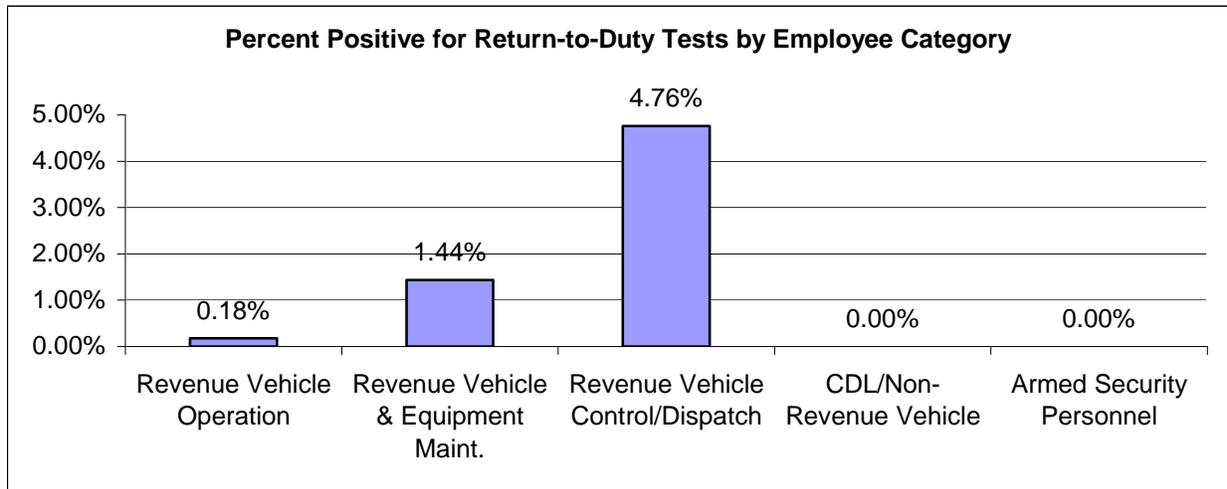
**Figure 34. Percent Positive for Reasonable Suspicion Tests by Employee Category**

#### 4.1.5 Return-to-Duty Alcohol Test Results

Table 34 provides alcohol results for return-to-duty tests for each employee category. Figure 35 illustrates the percent positive for return-to-duty tests by employee category.

**Table 34. Return-to-Duty Alcohol Test Results by Employee Category**

| Employee Category                  | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|------------------------------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Revenue Vehicle Operation          | 551                                    | 546                                     | 5  | 4                                   | 2   | 1   | 0                                      | 0                                   | 0               |
| Revenue Vehicle & Equipment Maint. | 139                                    | 137                                     | 2  | 2                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Revenue Vehicle Control/Dispatch   | 21                                     | 20                                      | 0  | 0                                   | 0   | 0   | 0                                      | 1                                   | 0               |
| CDL/Non-Revenue Vehicle            | 13                                     | 13                                      | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Armed Security Personnel           | 0                                      | 0                                       | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>                       | <b>724</b>                             | <b>716</b>                              | <b>7</b>                                     | <b>6</b>                            | <b>2</b>                                      | <b>1</b>  | <b>0</b>                               | <b>1</b>                            | <b>0</b>        |



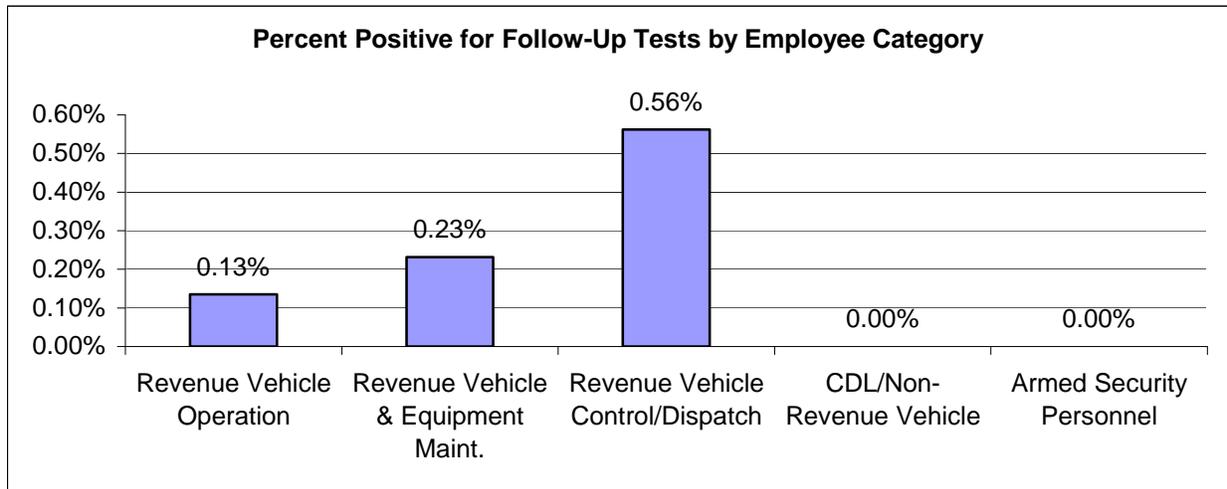
**Figure 35. Percent Positive for Return-to-Duty Tests by Employee Category**

#### 4.1.6 Follow-Up Alcohol Test Results

Table 35 provides alcohol results for follow-up tests for each employee category. Figure 36 illustrates the percent positive for follow-up tests by employee category.

**Table 35. Follow-Up Alcohol Test Results by Employee Category**

| Employee Category                  | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|------------------------------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Revenue Vehicle Operation          | 3,704                                  | 3,650                                   | 54   | 8                                   | 2   | 5   | 0                                      | 0                                   | 0               |
| Revenue Vehicle & Equipment Maint. | 1,725                                  | 1,716                                   | 9  | 8                                   | 3   | 4   | 0                                      | 0                                   | 0               |
| Revenue Vehicle Control/Dispatch   | 178                                    | 177                                     | 0  | 0                                   | 0   | 0   | 0                                      | 1                                   | 0               |
| CDL/Non-Revenue Vehicle            | 130                                    | 128                                     | 2  | 2                                   | 1   | 0   | 0                                      | 0                                   | 0               |
| Armed Security Personnel           | 0                                      | 0                                       | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>                       | <b>5,737</b>                           | <b>5,671</b>                            | <b>65</b>                                    | <b>18</b>                           | <b>6</b>                                      | <b>9</b>  | <b>0</b>                               | <b>1</b>                            | <b>0</b>        |



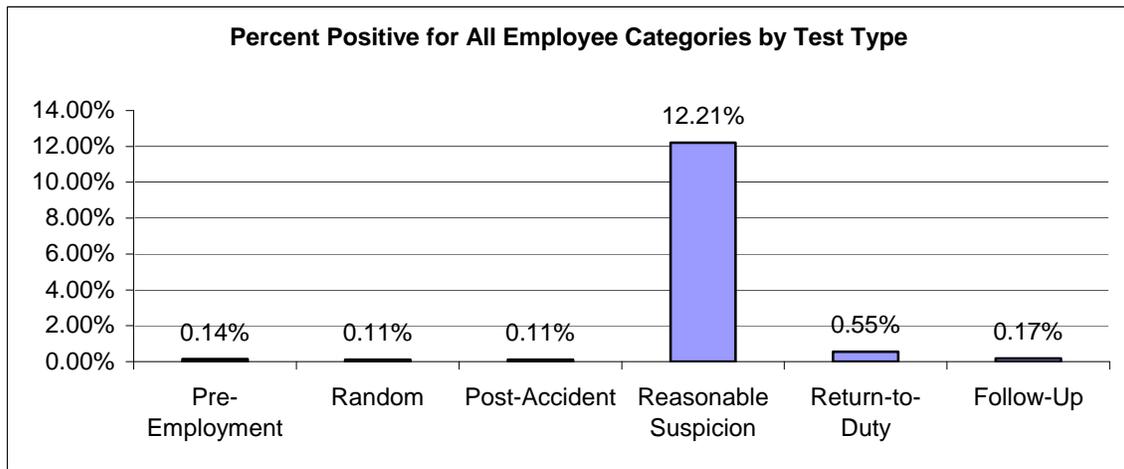
**Figure 36. Percent Positive for Follow-Up Tests by Employee Category**

#### 4.1.7 Alcohol Test Results for All Employee Categories by Test Type

Table 36 provides alcohol results for all employee categories by test type. Figure 37 illustrates the percent positive for all employee categories by test type.

**Table 36. Alcohol Test Results for All Test Types**

| Employee Category | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|-------------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Pre-Employment    | 10,395                                 | 10,374                                  | 16   | 16                                  | 3   | 10  | 1                                      | 4                                   | 1               |
| Random            | 43,466                                 | 43,341                                  | 111  | 81                                  | 36  | 35  | 4                                      | 10                                  | 31              |
| Post-Accident     | 13,265                                 | 13,242                                  | 18   | 14                                  | 4   | 9   | 1                                      | 4                                   | 17              |
| Reas. Suspicion   | 606                                    | 476                                     | 117  | 98                                  | 31  | 61  | 2                                      | 11                                  | 2               |
| Return-to-Duty    | 724                                    | 716                                     | 7  | 6                                   | 2   | 3   | 0                                      | 1                                   | 0               |
| Follow-up         | 5,737                                  | 5,671                                   | 65   | 18                                  | 6   | 9   | 0                                      | 1                                   | 0               |
| <b>TOTAL</b>      | <b>74,193</b>                          | <b>73,820</b>                           | <b>334</b>                                   | <b>233</b>                          | <b>82</b>                                     | <b>127</b>                                      | <b>8</b>                               | <b>31</b>                           | <b>51</b>       |



**Figure 37. Percent Positive for All Employee Categories by Test Type**

## 4.2 Alcohol Results by Employee Category

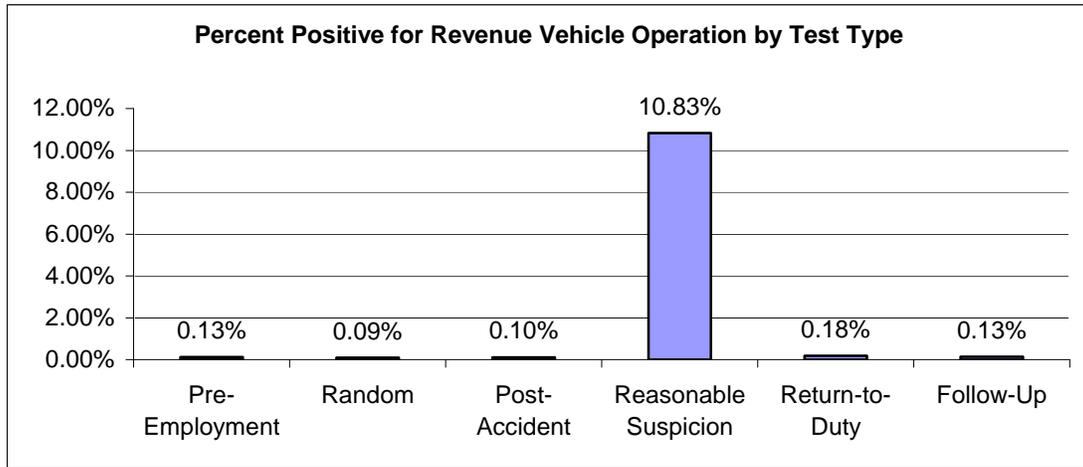
The following section provides alcohol test results for each employee category by type of test conducted.

### 4.2.1 Revenue Vehicle Operation

Table 37 provides alcohol results for the revenue vehicle operation employee category by test type. Figure 38 illustrates the percent positive for the revenue vehicle operation employee category by test type.

**Table 37. Alcohol Results for Revenue Vehicle Operation by Test Type**

| Test Type       | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|-----------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Pre-Employment  | 17,280                                 | 17,246                                  | 32   | 32                                  | 6   | 20  | 0                                      | 2                                   | 2               |
| Random          | 58,530                                 | 58,394                                  | 122  | 88                                  | 42  | 36  | 4                                      | 10                                  | 54              |
| Post-Accident   | 24,796                                 | 24,756                                  | 30   | 22                                  | 4   | 16  | 2                                      | 8                                   | 28              |
| Reas. Suspicion | 942                                    | 746                                     | 180  | 148                                 | 52  | 86  | 4                                      | 12                                  | 0               |
| Return-to-Duty  | 1,102                                  | 1,092                                   | 10   | 8                                   | 4   | 2   | 0                                      | 0                                   | 0               |
| Follow-Up       | 7,408                                  | 7,300                                   | 108  | 16                                  | 4   | 10  | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>    | <b>110,058</b>                         | <b>109,534</b>                          | <b>482</b>                                   | <b>314</b>                          | <b>112</b>                                    | <b>170</b>                                      | <b>10</b>                              | <b>32</b>                           | <b>84</b>       |



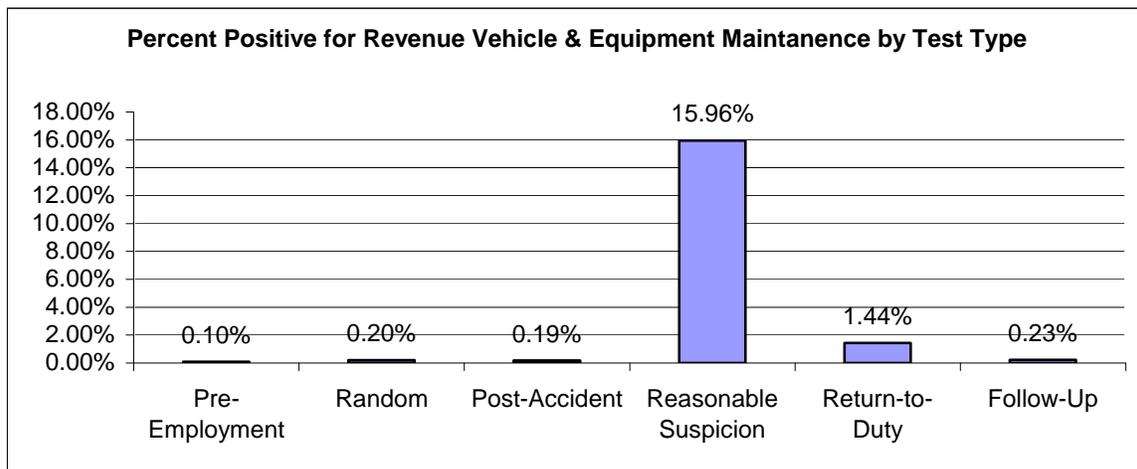
**Figure 38. Percent Positive for the Revenue Vehicle Operation Employee Category by Test Type**

#### 4.2.2 Revenue Vehicle and Equipment Maintenance

Table 38 provides alcohol results for the revenue vehicle and equipment maintenance employee category by test type. Figure 39 illustrates the percent positive for the revenue vehicle and equipment maintenance employee category by test type.

**Table 38. Alcohol Results for Revenue Vehicle and Equipment Maintenance by Test Type**

| Test Type       | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|-----------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Pre-Employment  | 2,044                                  | 2,042                                   | 0  | 0                                   | 0   | 0   | 2                                      | 0                                   | 0               |
| Random          | 18,148                                 | 18,064                                  | 80   | 66                                  | 28  | 32  | 2                                      | 2                                   | 6               |
| Post-Accident   | 1,058                                  | 1,054                                   | 4  | 4                                   | 2   | 2   | 0                                      | 0                                   | 4               |
| Reas. Suspicion | 188                                    | 152                                     | 28   | 24                                  | 2   | 22  | 0                                      | 8                                   | 2               |
| Return-to-Duty  | 278                                    | 274                                     | 4  | 4                                   | 0   | 4   | 0                                      | 0                                   | 0               |
| Follow-Up       | 3,450                                  | 3,432                                   | 18   | 16                                  | 6   | 8   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>    | <b>25,166</b>                          | <b>25,018</b>                           | <b>134</b>                                   | <b>114</b>                          | <b>38</b>                                     | <b>68</b>                                       | <b>4</b>                               | <b>10</b>                           | <b>12</b>       |



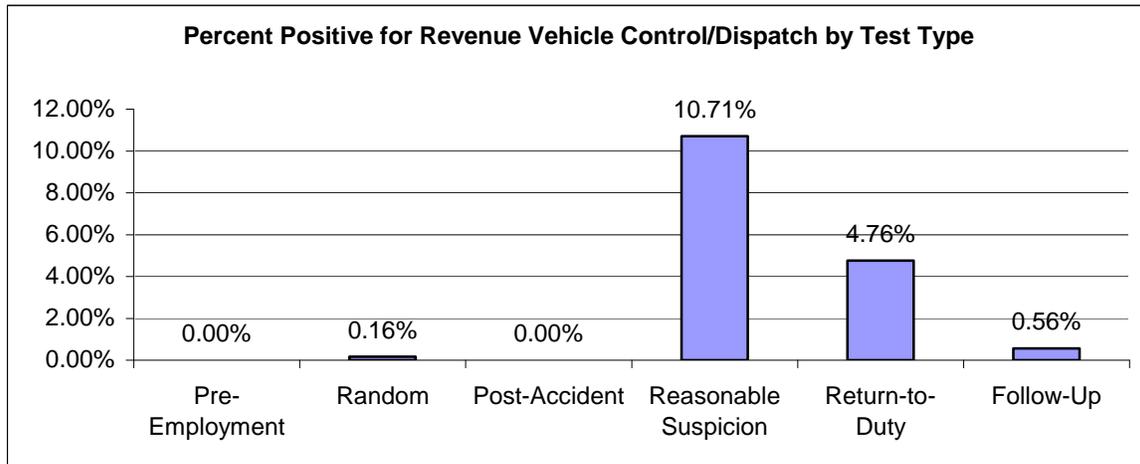
**Figure 39. Percent Positive for the Revenue Vehicle and Equipment Maintenance Employee Category by Test Type**

### 4.2.3 Revenue Vehicle Control/Dispatch

Table 39 provides alcohol results for the revenue vehicle control/dispatch employee category by test type. Figure 40 illustrates the percent positive for the revenue vehicle control/dispatch employee category by test type.

**Table 39. Alcohol Results for Revenue Vehicle Control/Dispatch by Test Type**

| Test Type       | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|-----------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Pre-Employment  | 654                                    | 654                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Random          | 6,158                                  | 6,134                                   | 16   | 6                                   | 2   | 2   | 2                                      | 6                                   | 2               |
| Post-Accident   | 270                                    | 270                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 2               |
| Reas. Suspicion | 56                                     | 40                                      | 14   | 12                                  | 6   | 4   | 0                                      | 2                                   | 2               |
| Return-to-Duty  | 42                                     | 40                                      | 0  | 0                                   | 0   | 0   | 0                                      | 2                                   | 0               |
| Follow-Up       | 356                                    | 354                                     | 0  | 0                                   | 0   | 0   | 0                                      | 2                                   | 0               |
| <b>TOTAL</b>    | <b>7,536</b>                           | <b>7,492</b>                            | <b>30</b>                                    | <b>18</b>                           | <b>8</b>                                      | <b>6</b>  | <b>2</b>                               | <b>12</b>                           | <b>6</b>        |



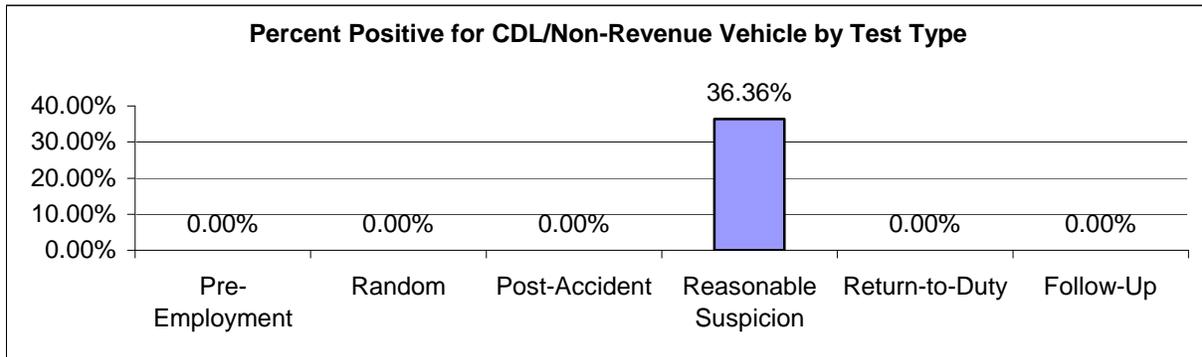
**Figure 40. Percent Positive for the Revenue Vehicle Control/Dispatch Employee Category by Test Type**

#### 4.2.4 CDL/Non-Revenue Vehicle

Table 40 provides alcohol results for the CDL/non-revenue vehicle employee category by test type. Figure 41 illustrates the percent positive for the CDL/non-revenue vehicle employee category by test type.

**Table 40. Alcohol Results for CDL/Non-Revenue Vehicle by Test Type**

| Test Type       | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|-----------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Pre-Employment  | 338                                    | 338                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Random          | 2,408                                  | 2,406                                   | 2  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Post-Accident   | 228                                    | 226                                     | 2  | 2                                   | 2   | 0   | 0                                      | 0                                   | 0               |
| Reas. Suspicion | 22                                     | 14                                      | 8  | 8                                   | 0   | 8   | 0                                      | 0                                   | 0               |
| Return-to-Duty  | 26                                     | 26                                      | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Follow-Up       | 260                                    | 256                                     | 4  | 4                                   | 2   | 0   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>    | <b>3,282</b>                           | <b>3,266</b>                            | <b>16</b>                                    | <b>14</b>                           | <b>4</b>                                      | <b>8</b>  | <b>0</b>                               | <b>0</b>                            | <b>0</b>        |



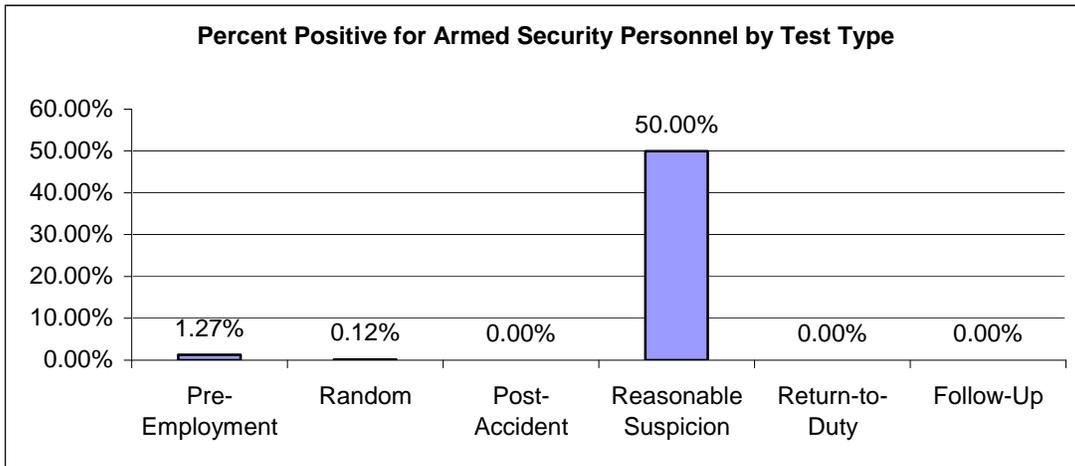
**Figure 41. Percent Positive for the CDL/Non-Revenue Vehicle Employee Category by Test Type**

#### 4.2.5 Armed Security Personnel

Table 41 provides alcohol results for the armed security personnel employee category by test type. Figure 42 illustrates the percent positive for the armed security personnel employee category by test type.

**Table 41. Alcohol Results for Armed Security Personnel by Test Type**

| Test Type       | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|-----------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Pre-Employment  | 474                                    | 468                                     | 0  | 0                                   | 0   | 0   | 0                                      | 6                                   | 0               |
| Random          | 1,688                                  | 1,684                                   | 2  | 2                                   | 0   | 0   | 0                                      | 2                                   | 0               |
| Post-Accident   | 178                                    | 178                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Reas. Suspicion | 4                                      | 0                                       | 4  | 4                                   | 2   | 2   | 0                                      | 0                                   | 0               |
| Return-to-Duty  | 0                                      | 0                                       | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Follow-Up       | 0                                      | 0                                       | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>    | <b>2,344</b>                           | <b>2,330</b>                            | <b>6</b>                                     | <b>6</b>                            | <b>2</b>                                      | <b>2</b>  | <b>0</b>                               | <b>8</b>                            | <b>0</b>        |



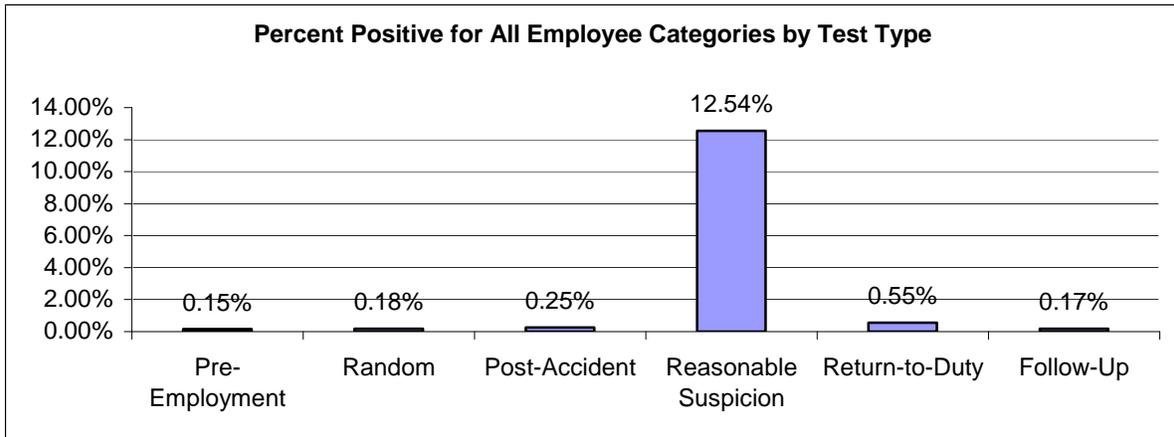
**Figure 42. Percent Positive for the Armed Security Personnel Employee Category by Test Type**

#### 4.2.6 Alcohol Test Results for All Employee Categories by Test Type

Table 42 provides alcohol results for all employee categories by test type. Figure 43 illustrates the percent positive for all employee categories by test type.

**Table 42. Alcohol Results for All Employee Categories by Test Type**

| Test Type       | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|-----------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Pre-Employment  | 20,790                                 | 20,748                                  | 32   | 32                                  | 6   | 20  | 2                                      | 8                                   | 2               |
| Random          | 86,932                                 | 86,682                                  | 222  | 162                                 | 72  | 70  | 8                                      | 20                                  | 62              |
| Post-Accident   | 26,530                                 | 26,484                                  | 36   | 28                                  | 6   | 18  | 6                                      | 8                                   | 34              |
| Reas. Suspicion | 1,212                                  | 952                                     | 234  | 196                                 | 62  | 122   | 4                                      | 22                                  | 4               |
| Return-to-Duty  | 1,448                                  | 1,432                                   | 14   | 12                                  | 4   | 6   | 0                                      | 2                                   | 0               |
| Follow-Up       | 11,474                                 | 11,342                                  | 130  | 36                                  | 12  | 18  | 0                                      | 2                                   | 0               |
| <b>TOTAL</b>    | <b>148,386</b>                         | <b>147,640</b>                          | <b>668</b>                                   | <b>466</b>                          | <b>162</b>                                    | <b>254</b>                                      | <b>20</b>                              | <b>62</b>                           | <b>102</b>      |



**Figure 43. Percent Positive for All Employee Categories by Test Type**

### 4.3 Alcohol Test Results by Region

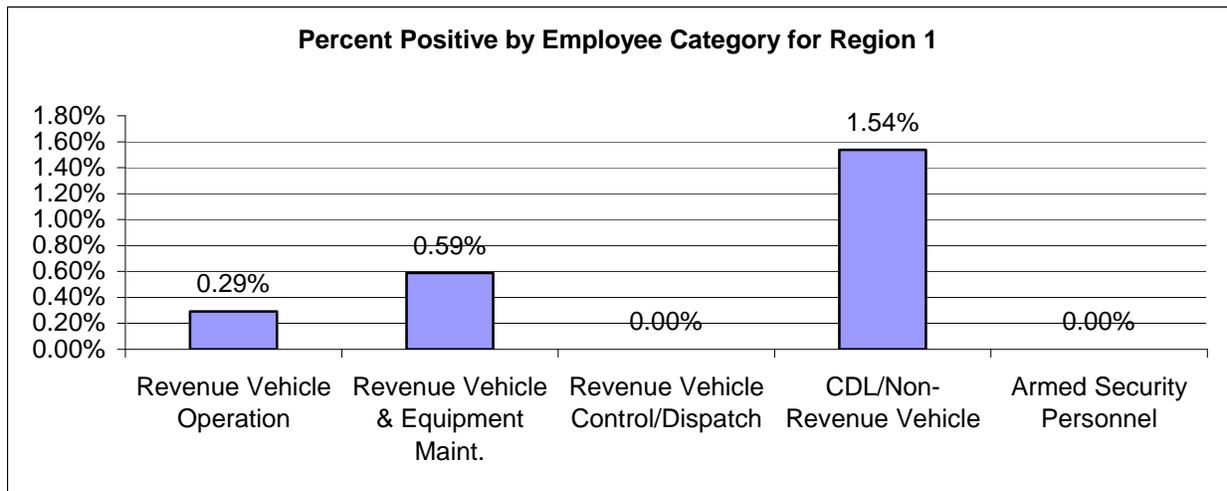
The following section provides alcohol test results for all test types, by each employee category, and for every region.

#### 4.3.1 Alcohol Test Results for Region 1

Table 43 provides alcohol test results by employee category for all test types within Region 1. Figure 44 illustrates the percent positive by employee category for all test types within Region 1.

**Table 43. Alcohol Results by Employee Category for All Test Types within Region 1**

| Employee Category                  | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|------------------------------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Revenue Vehicle Operation          | 3,434                                  | 3,418                                   | 14   | 10                                  | 2   | 8   | 0                                      | 2                                   | 2               |
| Revenue Vehicle & Equipment Maint. | 680                                    | 676                                     | 4  | 4                                   | 0   | 4   | 0                                      | 0                                   | 2               |
| Revenue Vehicle Control/Dispatch   | 242                                    | 240                                     | 2  | 2                                   | 0   | 0   | 0                                      | 0                                   | 2               |
| CDL/Non-Revenue Vehicle            | 130                                    | 128                                     | 2  | 2                                   | 0   | 2   | 0                                      | 0                                   | 0               |
| Armed Security Personnel           | 28                                     | 28                                      | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>                       | <b>4,514</b>                           | <b>4,490</b>                            | <b>22</b>                                    | <b>18</b>                           | <b>2</b>                                      | <b>14</b>                                       | <b>0</b>                               | <b>2</b>                            | <b>6</b>        |



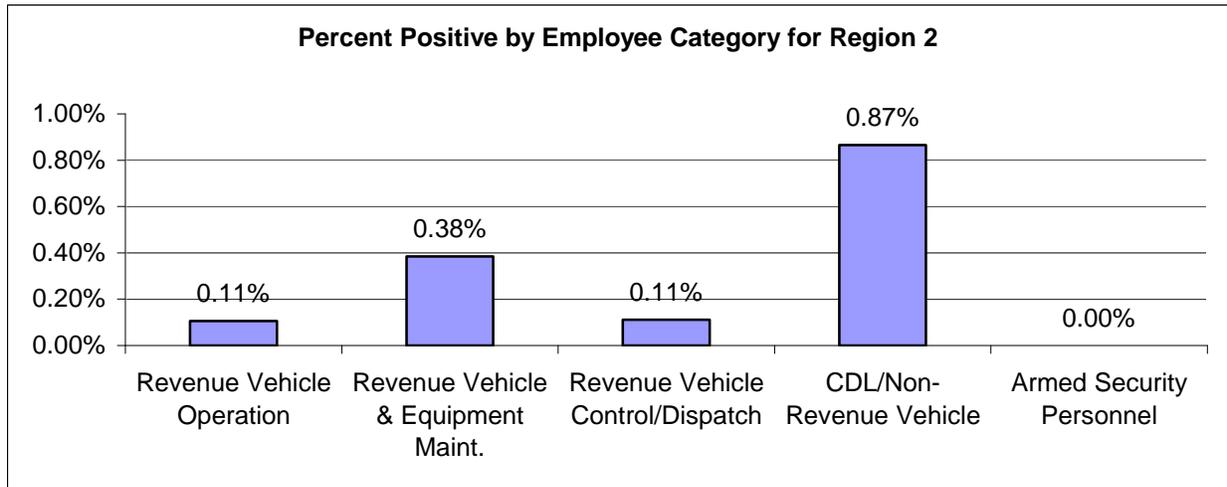
**Figure 44. Percent Positive by Employee Category for All Test Types within Region 1**

### 4.3.2 Alcohol Test Results for Region 2

Table 44 provides alcohol test results by employee category for all test types within Region 2. Figure 45 illustrates the percent positive by employee category for all test types within Region 2.

**Table 44. Alcohol Results by Employee Category for All Test Types within Region 2**

| Employee Category                  | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|------------------------------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Revenue Vehicle Operation          | 20,832                                 | 20,752                                  | 76   | 58                                  | 26  | 18  | 2                                      | 2                                   | 8               |
| Revenue Vehicle & Equipment Maint. | 6,770                                  | 6,720                                   | 44   | 42                                  | 14  | 20  | 2                                      | 4                                   | 0               |
| Revenue Vehicle Control/Dispatch   | 1,806                                  | 1,804                                   | 2  | 2                                   | 0   | 2   | 0                                      | 0                                   | 0               |
| CDL/Non-Revenue Vehicle            | 462                                    | 458                                     | 4  | 4                                   | 0   | 4   | 0                                      | 0                                   | 0               |
| Armed Security Personnel           | 582                                    | 580                                     | 2  | 2                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>                       | <b>30,452</b>                          | <b>30,314</b>                           | <b>128</b>                                   | <b>108</b>                          | <b>40</b>                                     | <b>44</b>                                       | <b>4</b>                               | <b>6</b>                            | <b>8</b>        |



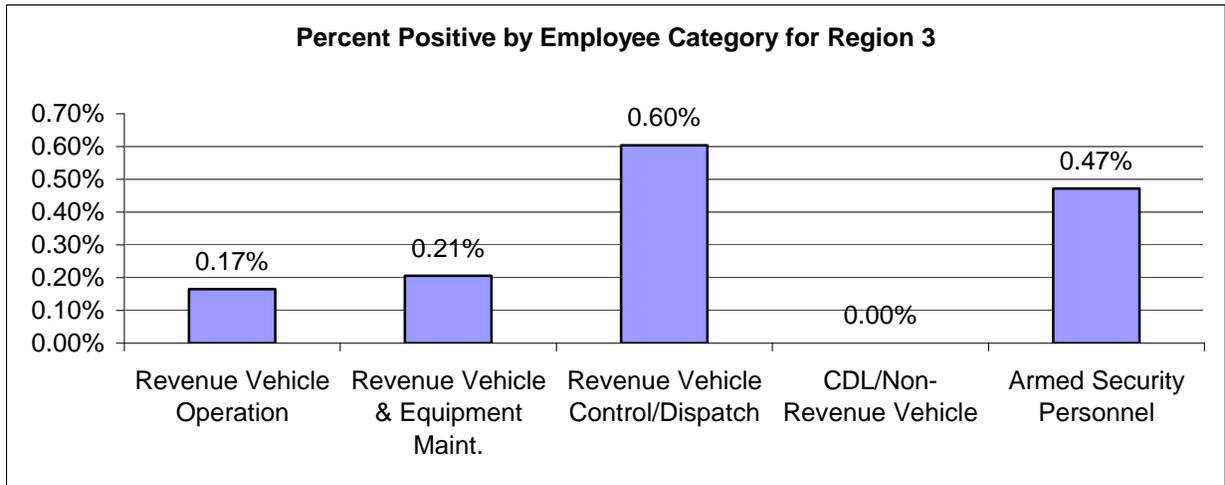
**Figure 45. Percent Positive by Employee Category for All Test Types within Region 2**

### 4.3.3 Alcohol Test Results for Region 3

Table 45 provides alcohol test results by employee category for all test types within Region 3. Figure 46 illustrates the percent positive by employee category for all test types within Region 3.

**Table 45. Alcohol Results by Employee Category for All Test Types within Region 3**

| Employee Category                  | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|------------------------------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Revenue Vehicle Operation          | 18,150                                 | 18,104                                  | 42   | 42                                  | 12  | 26  | 0                                      | 4                                   | 16              |
| Revenue Vehicle & Equipment Maint. | 3,894                                  | 3,880                                   | 14   | 14                                  | 6   | 8   | 0                                      | 0                                   | 4               |
| Revenue Vehicle Control/Dispatch   | 994                                    | 986                                     | 2  | 2                                   | 2   | 0   | 0                                      | 6                                   | 4               |
| CDL/Non-Revenue Vehicle            | 890                                    | 888                                     | 2  | 2                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Armed Security Personnel           | 424                                    | 422                                     | 2  | 2                                   | 0   | 2   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>                       | <b>24,352</b>                          | <b>24,280</b>                           | <b>62</b>                                    | <b>62</b>                           | <b>20</b>                                     | <b>36</b>                                       | <b>0</b>                               | <b>10</b>                           | <b>24</b>       |



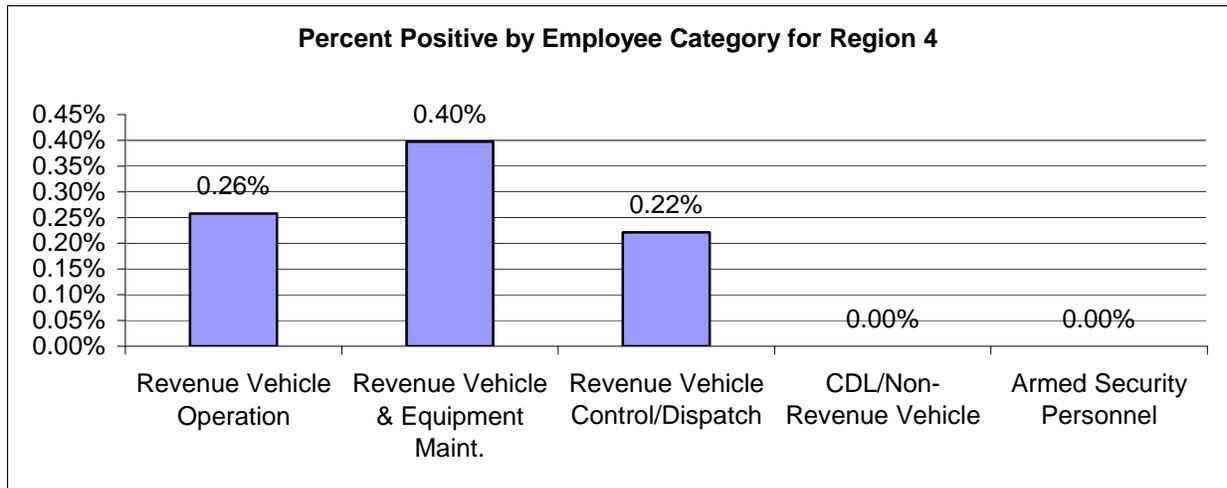
**Figure 46. Percent Positive by Employee Category for All Test Types within Region 3**

#### 4.3.4 Alcohol Test Results for Region 4

Table 46 provides alcohol test results by employee category for all test types within Region 4. Figure 47 illustrates the percent positive by employee category for all test types within Region 4.

**Table 46. Alcohol Results by Employee Category for All Test Types within Region 4**

| Employee Category                  | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|------------------------------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Revenue Vehicle Operation          | 14,754                                 | 14,686                                  | 52   | 42                                  | 18  | 22  | 6                                      | 10                                  | 14              |
| Revenue Vehicle & Equipment Maint. | 3,018                                  | 2,998                                   | 18   | 16                                  | 6   | 10  | 0                                      | 2                                   | 0               |
| Revenue Vehicle Control/Dispatch   | 904                                    | 900                                     | 2  | 0                                   | 0   | 0   | 2                                      | 0                                   | 0               |
| CDL/Non-Revenue Vehicle            | 384                                    | 384                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Armed Security Personnel           | 388                                    | 386                                     | 2  | 2                                   | 2   | 0   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>                       | <b>19,448</b>                          | <b>19,354</b>                           | <b>74</b>                                    | <b>60</b>                           | <b>26</b>                                     | <b>32</b>                                       | <b>8</b>                               | <b>12</b>                           | <b>14</b>       |



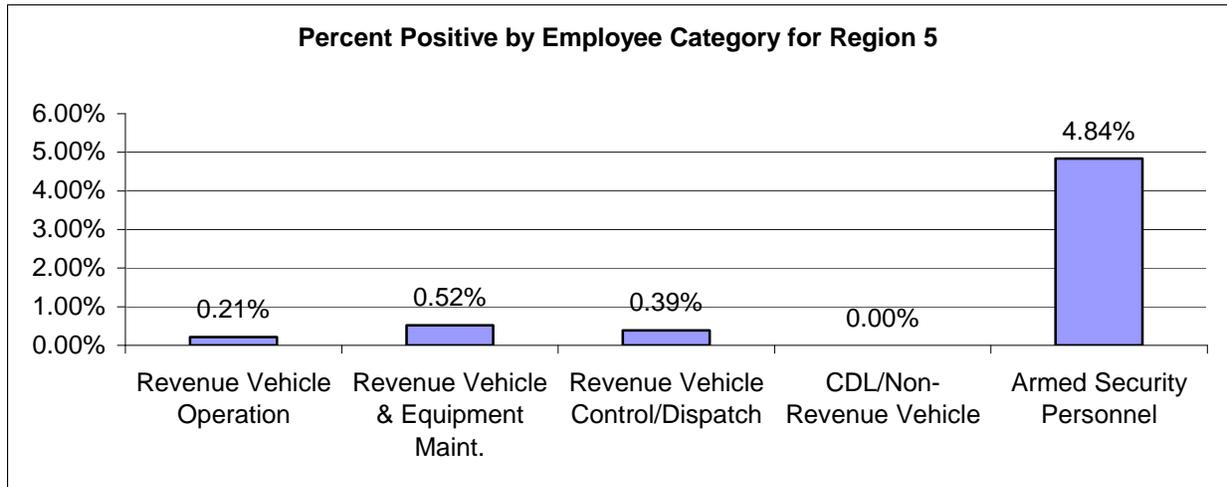
**Figure 47. Percent Positive by Employee Category for All Test Types within Region 4**

### 4.3.5 Alcohol Test Results for Region 5

Table 47 provides alcohol test results by employee category for all test types within Region 5. Figure 48 illustrates the percent positive by employee category for all test types within Region 5.

**Table 47. Alcohol Results by Employee Category for All Test Types within Region 5**

| Employee Category                  | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|------------------------------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Revenue Vehicle Operation          | 15,110                                 | 15,054                                  | 50   | 30                                  | 4   | 26  | 2                                      | 4                                   | 26              |
| Revenue Vehicle & Equipment Maint. | 3,092                                  | 3,072                                   | 16   | 16                                  | 4   | 12  | 0                                      | 4                                   | 0               |
| Revenue Vehicle Control/Dispatch   | 1,030                                  | 1,024                                   | 4  | 4                                   | 0   | 2   | 0                                      | 2                                   | 0               |
| CDL/Non-Revenue Vehicle            | 268                                    | 266                                     | 2  | 2                                   | 2   | 0   | 0                                      | 0                                   | 0               |
| Armed Security Personnel           | 124                                    | 118                                     | 0  | 0                                   | 0   | 0   | 0                                      | 6                                   | 0               |
| <b>TOTAL</b>                       | <b>19,624</b>                          | <b>19,534</b>                           | <b>72</b>                                    | <b>52</b>                           | <b>10</b>                                     | <b>40</b>                                       | <b>2</b>                               | <b>16</b>                           | <b>26</b>       |



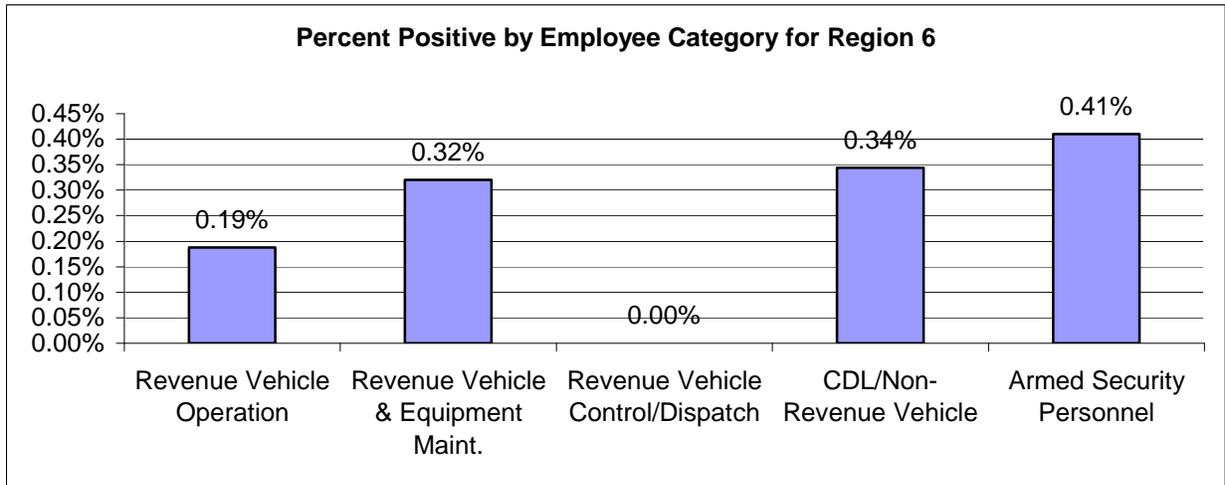
**Figure 48. Percent Positive by Employee Category for All Test Types within Region 5**

### 4.3.6 Alcohol Test Results for Region 6

Table 48 provides alcohol test results by employee category for all test types within Region 6. Figure 49 illustrates the percent positive by employee category for all test types within Region 6.

**Table 48. Alcohol Results by Employee Category for All Test Types within Region 6**

| Employee Category                  | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|------------------------------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Revenue Vehicle Operation          | 9,570                                  | 9,536                                   | 32   | 28                                  | 8   | 16  | 0                                      | 2                                   | 4               |
| Revenue Vehicle & Equipment Maint. | 2,500                                  | 2,488                                   | 10   | 8                                   | 2   | 6   | 2                                      | 0                                   | 0               |
| Revenue Vehicle Control/Dispatch   | 690                                    | 690                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| CDL/Non-Revenue Vehicle            | 582                                    | 580                                     | 2  | 2                                   | 0   | 2   | 0                                      | 0                                   | 0               |
| Armed Security Personnel           | 488                                    | 486                                     | 0  | 0                                   | 0   | 0   | 0                                      | 2                                   | 0               |
| <b>TOTAL</b>                       | <b>13,830</b>                          | <b>13,780</b>                           | <b>44</b>                                    | <b>38</b>                           | <b>10</b>                                     | <b>24</b>                                       | <b>2</b>                               | <b>4</b>                            | <b>4</b>        |



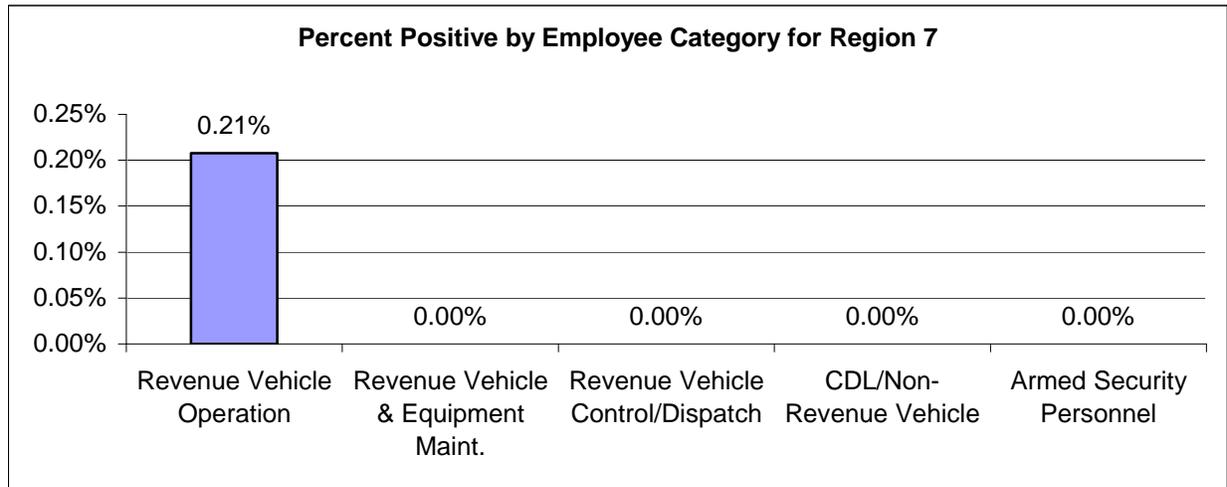
**Figure 49. Percent Positive by Employee Category for All Test Types within Region 6**

### 4.3.7 Alcohol Test Results for Region 7

Table 49 provides alcohol test results by employee category for all test types within Region 7. Figure 50 illustrates the percent positive by employee category for all test types within Region 7.

**Table 49. Alcohol Results by Employee Category for All Test Types within Region 7**

| Employee Category                  | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|------------------------------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Revenue Vehicle Operation          | 2,888                                  | 2,872                                   | 16   | 16                                  | 8   | 6   | 0                                      | 0                                   | 0               |
| Revenue Vehicle & Equipment Maint. | 300                                    | 300                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Revenue Vehicle Control/Dispatch   | 168                                    | 168                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| CDL/Non-Revenue Vehicle            | 40                                     | 38                                      | 2  | 2                                   | 2   | 0   | 0                                      | 0                                   | 0               |
| Armed Security Personnel           | 22                                     | 22                                      | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>                       | <b>3,418</b>                           | <b>3,400</b>                            | <b>18</b>                                    | <b>18</b>                           | <b>10</b>                                     | <b>6</b>  | <b>0</b>                               | <b>0</b>                            | <b>0</b>        |



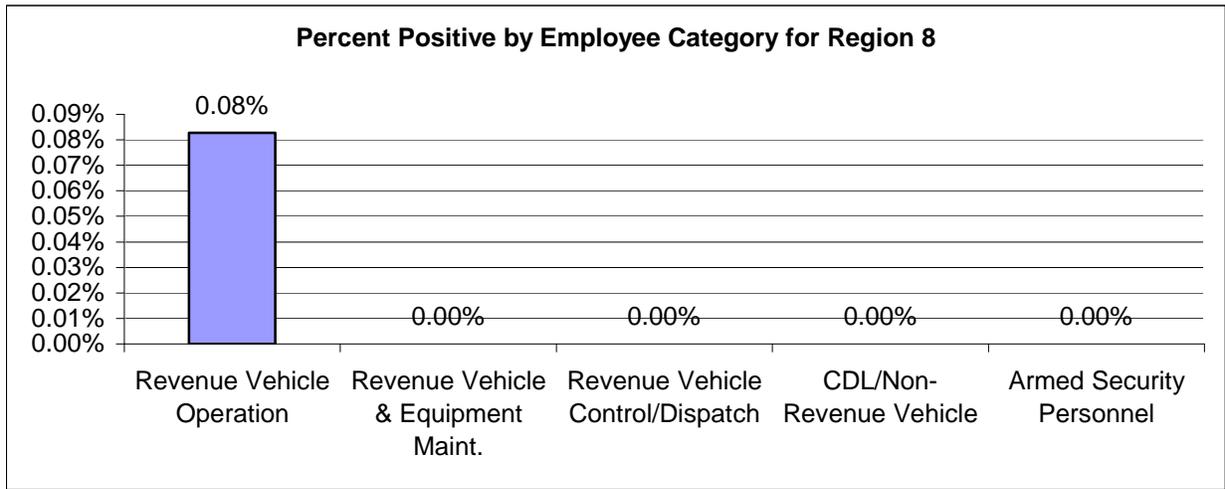
**Figure 50. Percent Positive by Employee Category for All Test Types within Region 7**

### 4.3.8 Alcohol Test Results for Region 8

Table 50 provides alcohol test results by employee category for all test types within Region 8. Figure 51 illustrates the percent positive by employee category for all test types within Region 8.

**Table 50. Alcohol Results by Employee Category for All Test Types within Region 8**

| Employee Category                  | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|------------------------------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Revenue Vehicle Operation          | 2,416                                  | 2,414                                   | 2  | 2                                   | 0   | 2   | 0                                      | 0                                   | 2               |
| Revenue Vehicle & Equipment Maint. | 562                                    | 562                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Revenue Vehicle Control/Dispatch   | 166                                    | 166                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| CDL/Non-Revenue Vehicle            | 96                                     | 96                                      | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Armed Security Personnel           | 22                                     | 22                                      | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>                       | <b>3,262</b>                           | <b>3,260</b>                            | <b>2</b>                                     | <b>2</b>                            | <b>0</b>                                      | <b>2</b>  | <b>0</b>                               | <b>0</b>                            | <b>2</b>        |



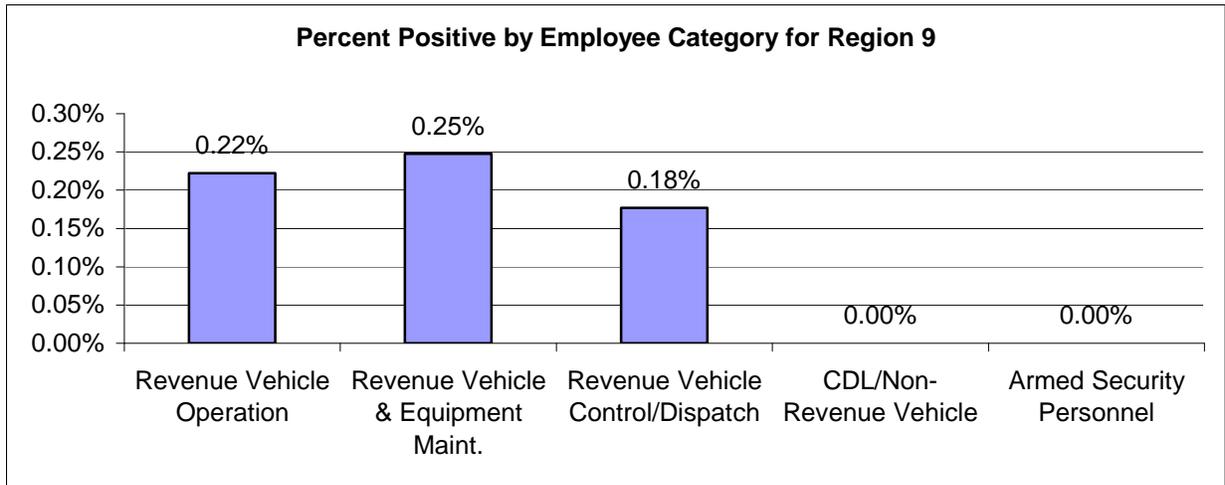
**Figure 51. Percent Positive by Employee Category for All Test Types within Region 8**

### 4.3.9 Alcohol Test Results for Region 9

Table 51 provides alcohol test results by employee category for all test types within Region 9. Figure 52 illustrates the percent positive by employee category for all test types within Region 9.

**Table 51. Alcohol Results by Employee Category for All Test Types within Region 9**

| Employee Category                  | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|------------------------------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Revenue Vehicle Operation          | 17,994                                 | 17,918                                  | 70   | 56                                  | 22  | 34  | 0                                      | 6                                   | 8               |
| Revenue Vehicle & Equipment Maint. | 3,234                                  | 3,210                                   | 24   | 12                                  | 4   | 8   | 0                                      | 0                                   | 6               |
| Revenue Vehicle Control/Dispatch   | 1,132                                  | 1,116                                   | 14   | 4                                   | 4   | 0   | 0                                      | 2                                   | 0               |
| CDL/Non-Revenue Vehicle            | 304                                    | 302                                     | 2  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Armed Security Personnel           | 266                                    | 266                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>                       | <b>22,930</b>                          | <b>22,812</b>                           | <b>110</b>                                   | <b>72</b>                           | <b>30</b>                                     | <b>42</b>                                       | <b>0</b>                               | <b>8</b>                            | <b>14</b>       |



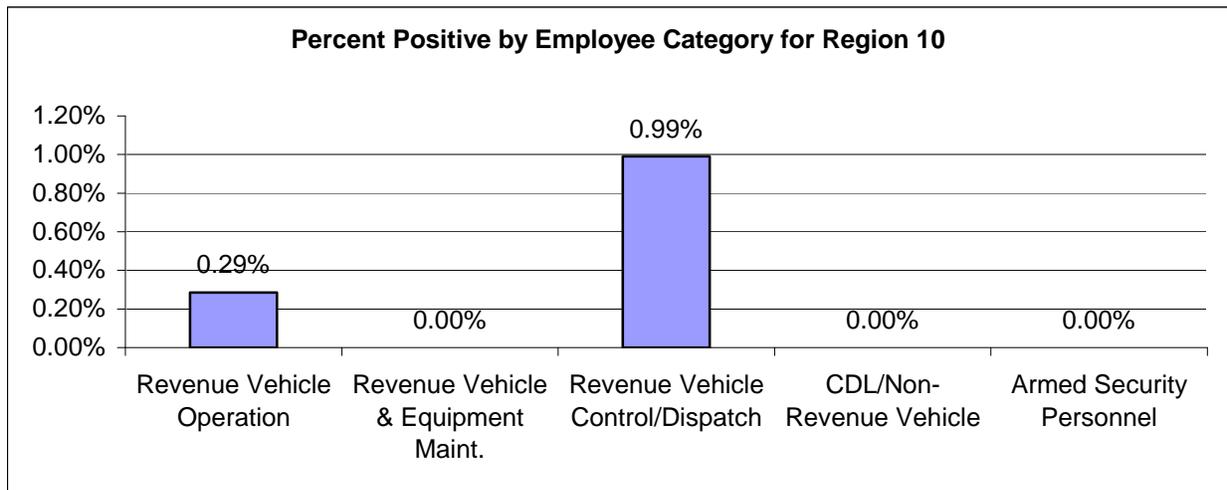
**Figure 52. Percent Positive by Employee Category for All Test Types within Region 9**

### 4.3.10 Alcohol Test Results for Region 10

Table 52 provides alcohol test results by employee category for all test types within Region 10. Figure 53 illustrates the percent positive by employee category for all test types within Region 10.

**Table 52. Alcohol Results by Employee Category for All Test Types within Region 10**

| Employee Category                  | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|------------------------------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Revenue Vehicle Operation          | 4,908                                  | 4,778                                   | 128  | 30                                  | 12  | 12  | 0                                      | 2                                   | 4               |
| Revenue Vehicle & Equipment Maint. | 1,116                                  | 1,112                                   | 4  | 2                                   | 2   | 0   | 0                                      | 0                                   | 0               |
| Revenue Vehicle Control/Dispatch   | 404                                    | 398                                     | 4  | 4                                   | 2   | 2   | 0                                      | 2                                   | 0               |
| CDL/Non-Revenue Vehicle            | 126                                    | 126                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Armed Security Personnel           | 0                                      | 0                                       | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>                       | <b>6,554</b>                           | <b>6,414</b>                            | <b>136</b>                                   | <b>36</b>                           | <b>16</b>                                     | <b>14</b>                                       | <b>0</b>                               | <b>4</b>                            | <b>4</b>        |



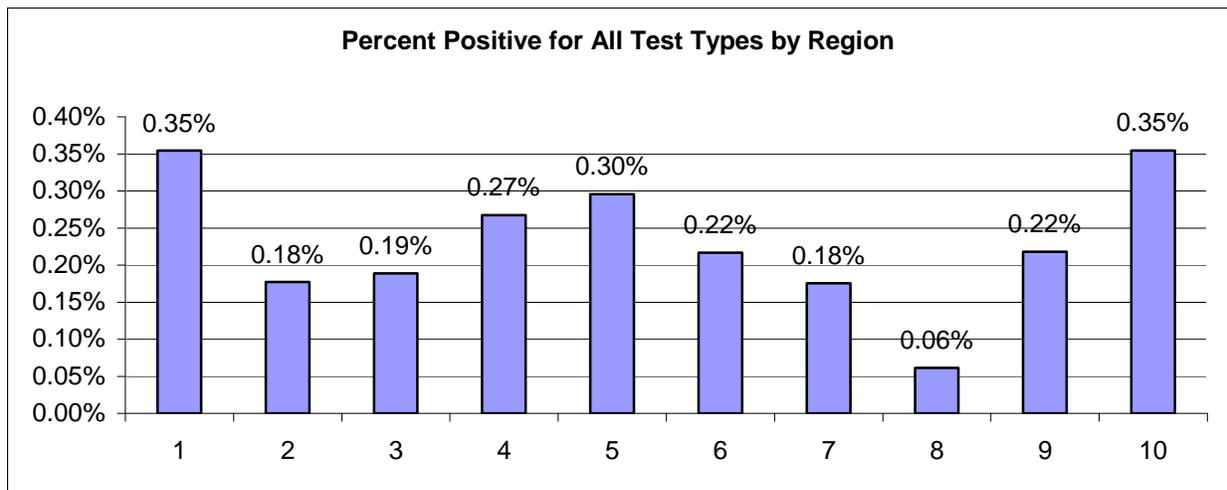
**Figure 53. Percent Positive by Employee Category for All Test Types within Region 10**

### 4.3.11 Alcohol Test Results for All Test Types by Region

Table 53 provides alcohol test results for all employee categories and test types for all of the regions. Figure 54 illustrates the percent positive for all employee categories and test types for each region.

**Table 53. Alcohol Results for All Employee Categories and Test Types by Region**

| Region       | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|--------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| 1            | 4,514                                  | 4,490                                   | 22   | 18                                  | 2   | 14  | 0                                      | 2                                   | 6               |
| 2            | 30,453                                 | 30,314                                  | 128  | 108                                 | 40  | 44  | 4                                      | 6                                   | 8               |
| 3            | 24,352                                 | 24,280                                  | 62   | 62                                  | 20  | 36  | 0                                      | 10                                  | 24              |
| 4            | 19,448                                 | 19,354                                  | 74   | 60                                  | 26  | 32  | 8                                      | 12                                  | 14              |
| 5            | 19,624                                 | 19,534                                  | 72   | 52                                  | 10  | 40  | 2                                      | 16                                  | 26              |
| 6            | 13,830                                 | 13,780                                  | 44   | 38                                  | 10  | 24  | 2                                      | 4                                   | 4               |
| 7            | 3,418                                  | 3,400                                   | 18   | 18                                  | 10  | 6   | 0                                      | 0                                   | 0               |
| 8            | 3,262                                  | 3,260                                   | 2  | 2                                   | 0   | 2   | 0                                      | 0                                   | 2               |
| 9            | 22,930                                 | 22,812                                  | 110  | 72                                  | 30  | 42  | 0                                      | 8                                   | 14              |
| 10           | 4,514                                  | 4,490                                   | 22   | 18                                  | 2   | 14  | 0                                      | 2                                   | 6               |
| <b>TOTAL</b> | <b>146,345</b>                         | <b>145,714</b>                          | <b>554</b>                                   | <b>448</b>                          | <b>150</b>                                    | <b>254</b>                                      | <b>16</b>                              | <b>60</b>                           | <b>104</b>      |



**Figure 54. Percent Positive for All Employee Categories and Test Types by Region**

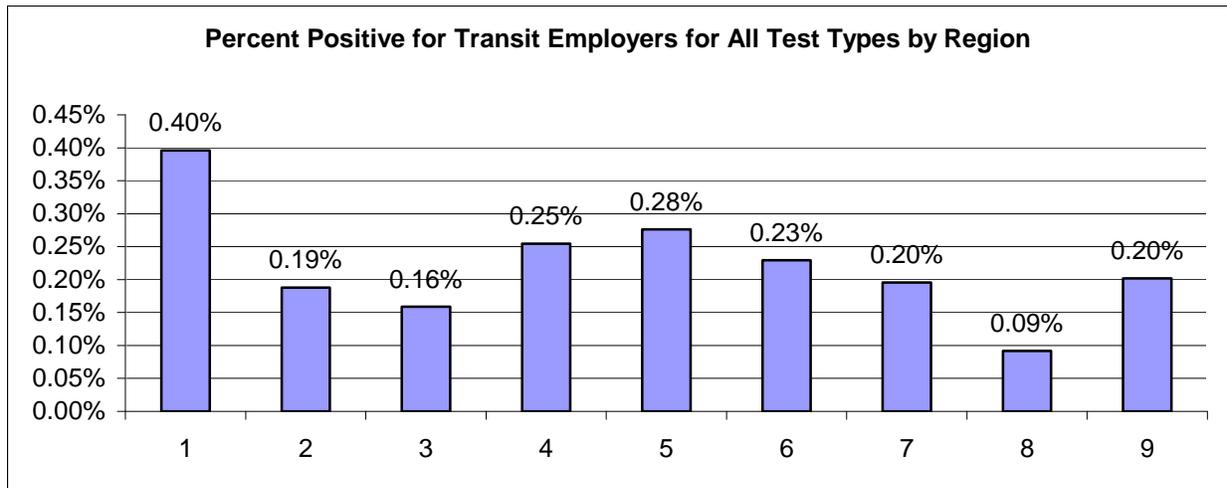
#### 4.4 Transit Employer and Contractor Alcohol Test Results

##### 4.4.1 Alcohol Test Results for Transit Employees for All Test Types by Region

Table 54 provides alcohol test results for transit employers for all test types by region. Figure 55 illustrates the percent positive for transit employers for all test types by region.

**Table 54. Alcohol Results for Transit Employers for All Test Types by Region**

| Region       | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|--------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| 1            | 3,534                                  | 3,514                                   | 18   | 14                                  | 2   | 12  | 0                                      | 2                                   | 6               |
| 2            | 23,454                                 | 23,342                                  | 106  | 102                                 | 40  | 38  | 4                                      | 2                                   | 8               |
| 3            | 18,902                                 | 18,856                                  | 42   | 42                                  | 12  | 26  | 0                                      | 4                                   | 24              |
| 4            | 16,500                                 | 16,426                                  | 62   | 50                                  | 18  | 30  | 4                                      | 8                                   | 6               |
| 5            | 15,214                                 | 15,160                                  | 42   | 38                                  | 8   | 30  | 2                                      | 10                                  | 26              |
| 6            | 12,216                                 | 12,170                                  | 42   | 38                                  | 10  | 24  | 2                                      | 2                                   | 4               |
| 7            | 3,070                                  | 3,052                                   | 18   | 18                                  | 10  | 6   | 0                                      | 0                                   | 0               |
| 8            | 2,180                                  | 2,178                                   | 2  | 2                                   | 0   | 2   | 0                                      | 0                                   | 2               |
| 9            | 16,836                                 | 16,762                                  | 68   | 54                                  | 26  | 28  | 0                                      | 6                                   | 4               |
| 10           | 5,548                                  | 5,424                                   | 124  | 26                                  | 12  | 8   | 0                                      | 0                                   | 4               |
| <b>TOTAL</b> | <b>117,454</b>                         | <b>116,884</b>                          | <b>524</b>                                   | <b>384</b>                          | <b>138</b>                                    | <b>204</b>                                      | <b>12</b>                              | <b>34</b>                           | <b>84</b>       |



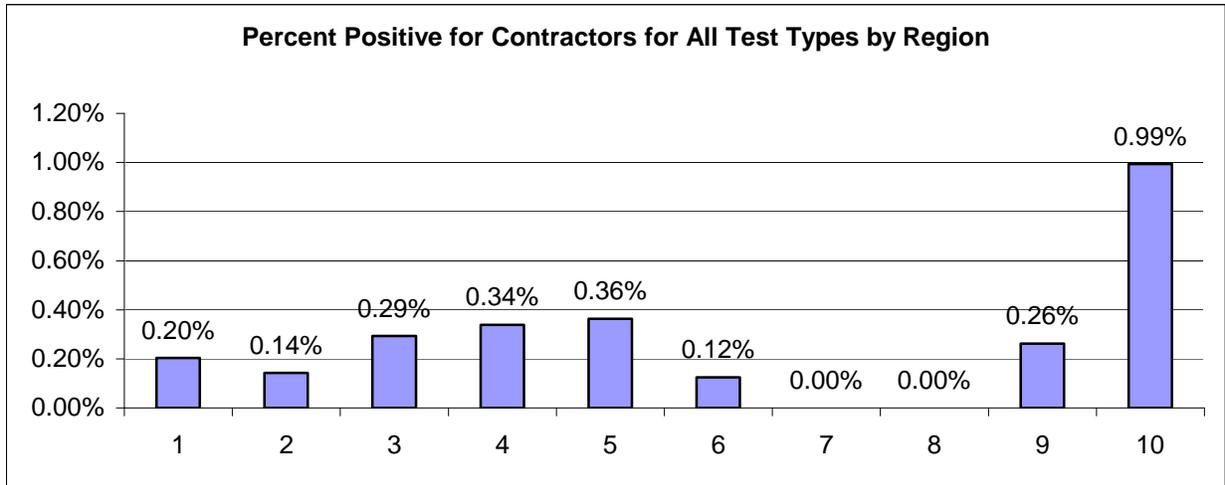
**Figure 55. Percent Positive for Transit Employers for All Test Types by Region**

#### 4.4.2 Alcohol Test Results for Contractors for All Test Types by Region

Table 55 provides alcohol test results for contractors for all test types by region. Figure 56 illustrates the percent positive for contractors for all test types by region.

**Table 55. Alcohol Results for Contractors for All Test Types by Region**

| Region       | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|--------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| 1            | 980                                    | 976                                     | 4  | 4                                   | 0   | 2   | 0                                      | 0                                   | 0               |
| 2            | 6,998                                  | 6,972                                   | 22   | 6                                   | 0   | 6   | 0                                      | 4                                   | 0               |
| 3            | 5,450                                  | 5,424                                   | 20   | 20                                  | 8   | 10  | 0                                      | 6                                   | 0               |
| 4            | 2,948                                  | 2,928                                   | 12   | 10                                  | 8   | 2   | 4                                      | 4                                   | 8               |
| 5            | 4,410                                  | 4,374                                   | 30   | 14                                  | 2   | 10  | 0                                      | 6                                   | 0               |
| 6            | 1,614                                  | 1,610                                   | 2  | 0                                   | 0   | 0   | 0                                      | 2                                   | 0               |
| 7            | 348                                    | 348                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| 8            | 1,082                                  | 1,082                                   | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| 9            | 6,094                                  | 6,050                                   | 42   | 18                                  | 4   | 14  | 0                                      | 2                                   | 10              |
| 10           | 1,006                                  | 990                                     | 12   | 10                                  | 4   | 6   | 0                                      | 4                                   | 0               |
| <b>TOTAL</b> | <b>30,930</b>                          | <b>30,754</b>                           | <b>144</b>                                   | <b>82</b>                           | <b>26</b>                                     | <b>50</b>                                       | <b>4</b>                               | <b>28</b>                           | <b>18</b>       |



**Figure 56. Percent Positive for Contractors for All Test Types by Region**

## 4.5 Alcohol Test Results by Test Type for Each Region

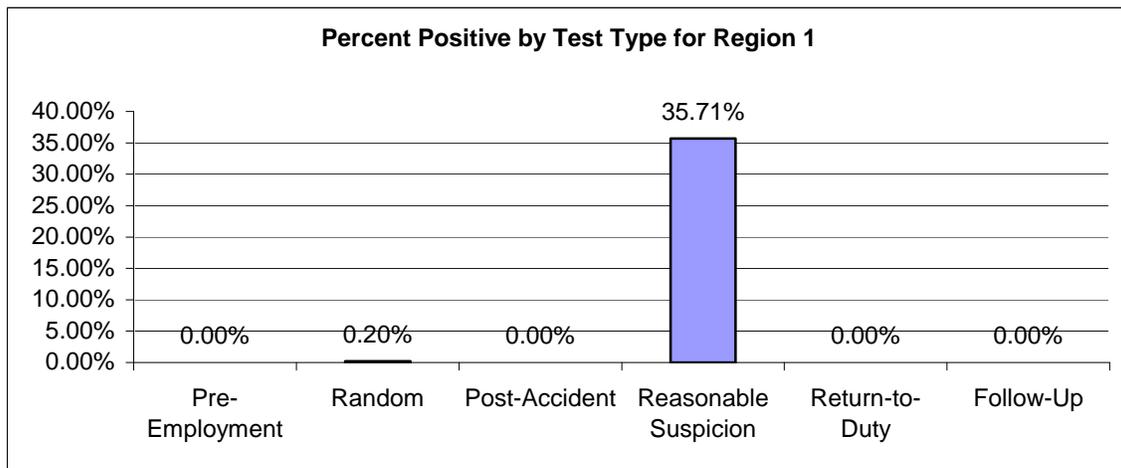
The following section provides alcohol test results by test type for all employee categories within each region.

### 4.5.1 Alcohol Test Results by Test Type for Region 1

Table 56 provides alcohol test results for all employee categories by test type within Region 1. Figure 57 illustrates the percent positive for all employee categories by test type within Region 1.

**Table 56. Alcohol Test Results for All Employee Categories by Test Type for Region 1**

| Test Type       | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|-----------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Pre-Employment  | 204                                    | 204                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Random          | 2,974                                  | 2,966                                   | 8  | 6                                   | 0   | 6   | 0                                      | 0                                   | 4               |
| Post-Accident   | 910                                    | 908                                     | 2  | 2                                   | 2   | 0   | 0                                      | 0                                   | 0               |
| Reas. Suspicion | 28                                     | 14                                      | 12   | 10                                  | 0   | 8   | 0                                      | 2                                   | 2               |
| Return-to-Duty  | 50                                     | 50                                      | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Follow-Up       | 348                                    | 348                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>    | <b>4,514</b>                           | <b>4,490</b>                            | <b>22</b>                                    | <b>18</b>                           | <b>2</b>                                      | <b>14</b>                                       | <b>0</b>                               | <b>2</b>                            | <b>6</b>        |



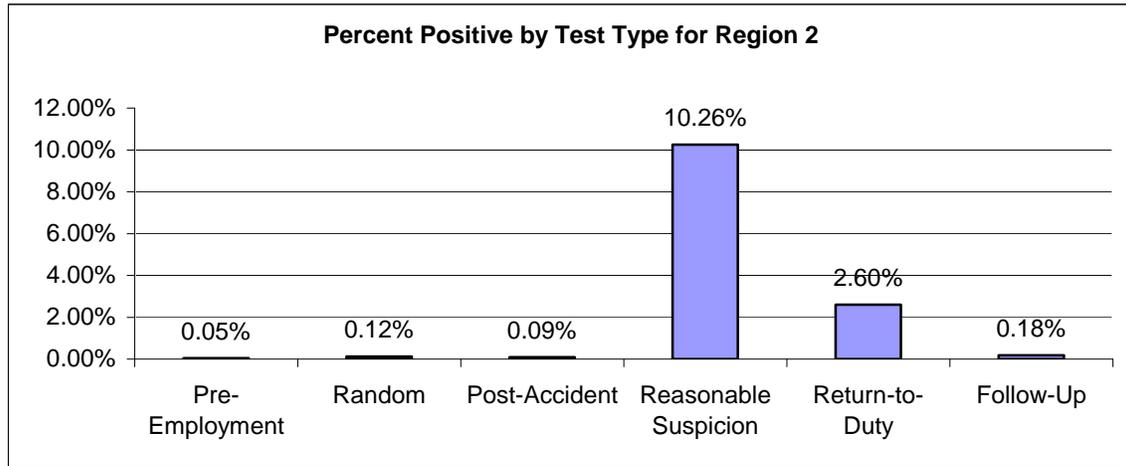
**Figure 57. Percent Positive for All Employee Categories by Test Type within Region 1**

#### 4.5.2 Alcohol Test Results by Test Type for Region 2

Table 57 provides alcohol test results for all employee categories by test type within Region 2. Figure 58 illustrates the percent positive for all employee categories by test type within Region 2.

**Table 57. Alcohol Test Results for All Employee Categories by Test Type for Region 2**

| Test Type       | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|-----------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Pre-Employment  | 3,920                                  | 3,916                                   | 2  | 2                                   | 0   | 0   | 2                                      | 0                                   | 2               |
| Random          | 18,518                                 | 18,438                                  | 78   | 70                                  | 36  | 20  | 2                                      | 0                                   | 6               |
| Post-Accident   | 4,288                                  | 4,280                                   | 6  | 4                                   | 0   | 2   | 0                                      | 2                                   | 0               |
| Reas. Suspicion | 156                                    | 130                                     | 22   | 14                                  | 2   | 12  | 0                                      | 4                                   | 0               |
| Return-to-Duty  | 154                                    | 148                                     | 6  | 6                                   | 0   | 4   | 0                                      | 0                                   | 0               |
| Follow-Up       | 3,416                                  | 3,402                                   | 14   | 12                                  | 2   | 6   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>    | <b>30,452</b>                          | <b>30,314</b>                           | <b>128</b>                                   | <b>108</b>                          | <b>40</b>                                     | <b>44</b>                                       | <b>4</b>                               | <b>6</b>                            | <b>8</b>        |



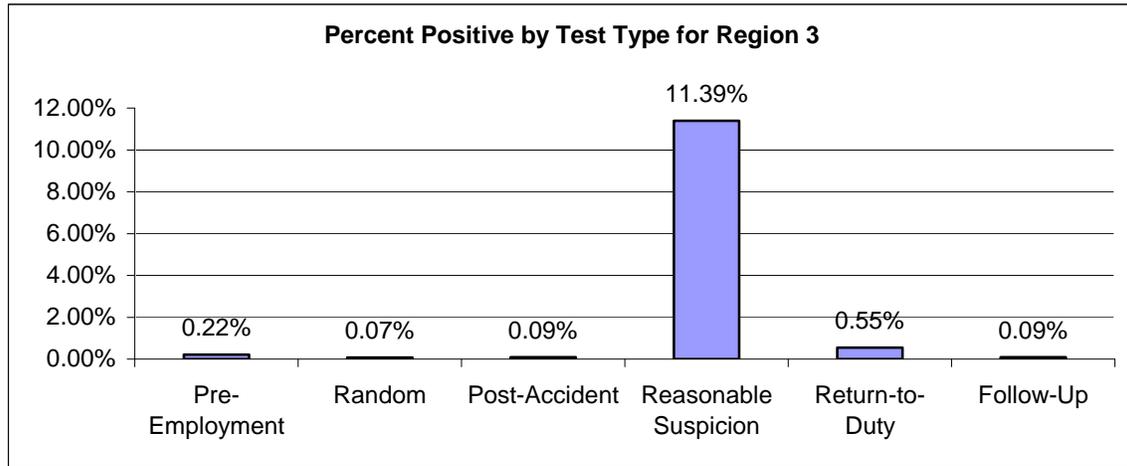
**Figure 58. Percent Positive for All Employee Categories by Test Type within Region 2**

### 4.5.3 Alcohol Test Results by Test Type for Region 3

Table 58 provides alcohol test results for all employee categories by test type within Region 3. Figure 59 illustrates the percent positive for all employee categories by test type within Region 3.

**Table 58. Alcohol Test Results for All Employee Categories by Test Type for Region 3**

| Test Type       | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|-----------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Pre-Employment  | 5,550                                  | 5,530                                   | 20   | 20                                  | 6   | 12  | 0                                      | 0                                   | 0               |
| Random          | 13,614                                 | 13,598                                  | 12   | 12                                  | 6   | 6   | 0                                      | 4                                   | 8               |
| Post-Accident   | 2,324                                  | 2,322                                   | 0  | 0                                   | 0   | 0   | 0                                      | 2                                   | 14              |
| Reas. Suspicion | 158                                    | 134                                     | 22   | 22                                  | 4   | 16  | 0                                      | 2                                   | 2               |
| Return-to-Duty  | 366                                    | 362                                     | 2  | 2                                   | 2   | 0   | 0                                      | 2                                   | 0               |
| Follow-Up       | 2,340                                  | 2,334                                   | 6  | 6                                   | 2   | 2   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>    | <b>24,352</b>                          | <b>24,280</b>                           | <b>62</b>                                    | <b>62</b>                           | <b>20</b>                                     | <b>36</b>                                       | <b>0</b>                               | <b>10</b>                           | <b>24</b>       |



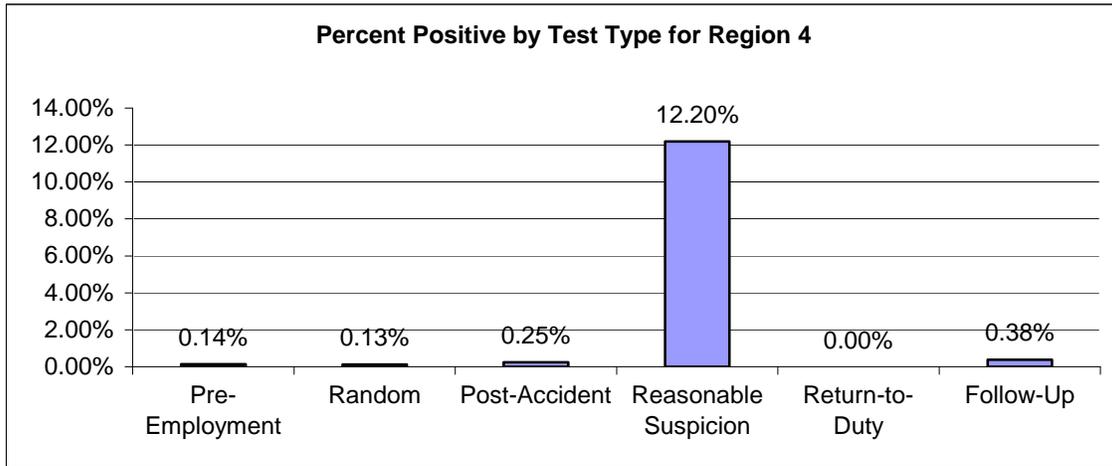
**Figure 59. Percent Positive for All Employee Categories by Test Type within Region 3**

#### 4.5.4 Alcohol Test Results by Test Type for Region 4

Table 59 provides alcohol test results for all employee categories by test type within Region 4. Figure 60 illustrates the percent positive for all employee categories by test type within Region 4.

**Table 59. Alcohol Test Results for All Employee Categories by Test Type for Region 4**

| Test Type       | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|-----------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Pre-Employment  | 2,860                                  | 2,856                                   | 2  | 2                                   | 0   | 2   | 0                                      | 2                                   | 0               |
| Random          | 10,884                                 | 10,848                                  | 28   | 22                                  | 16  | 6   | 4                                      | 4                                   | 14              |
| Post-Accident   | 4,806                                  | 4,792                                   | 10   | 10                                  | 2   | 8   | 0                                      | 4                                   | 0               |
| Reas. Suspicion | 164                                    | 126                                     | 32   | 24                                  | 8   | 14  | 4                                      | 2                                   | 0               |
| Return-to-Duty  | 212                                    | 212                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Follow-Up       | 522                                    | 520                                     | 2  | 2                                   | 0   | 2   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>    | <b>19,448</b>                          | <b>19,354</b>                           | <b>74</b>                                    | <b>60</b>                           | <b>26</b>                                     | <b>32</b>                                       | <b>8</b>                               | <b>12</b>                           | <b>14</b>       |



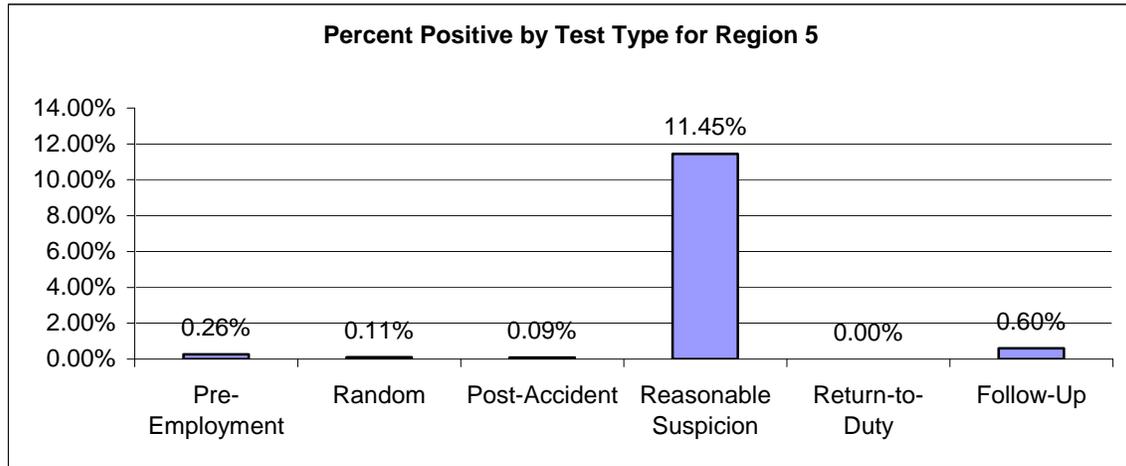
**Figure 60. Percent Positive for All Employee Categories by Test Type within Region 4**

#### 4.5.5 Alcohol Test Results by Test Type for Region 5

Table 60 provides alcohol test results for all employee categories by test type within Region 5. Figure 61 illustrates the percent positive for all employee categories by test type within Region 5.

**Table 60. Alcohol Test Results for All Employee Categories by Test Type for Region 5**

| Test Type       | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|-----------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Pre-Employment  | 2,318                                  | 2,312                                   | 0  | 0                                   | 0   | 0   | 0                                      | 6                                   | 0               |
| Random          | 11,158                                 | 11,138                                  | 18   | 14                                  | 2   | 10  | 0                                      | 2                                   | 6               |
| Post-Accident   | 4,660                                  | 4,654                                   | 4  | 4                                   | 2   | 2   | 2                                      | 0                                   | 20              |
| Reas. Suspicion | 262                                    | 216                                     | 40   | 26                                  | 2   | 24  | 0                                      | 6                                   | 0               |
| Return-to-Duty  | 228                                    | 228                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Follow-Up       | 998                                    | 986                                     | 10   | 8                                   | 4   | 4   | 0                                      | 2                                   | 0               |
| <b>TOTAL</b>    | <b>19,624</b>                          | <b>19,534</b>                           | <b>72</b>                                    | <b>52</b>                           | <b>10</b>                                     | <b>40</b>                                       | <b>2</b>                               | <b>16</b>                           | <b>26</b>       |



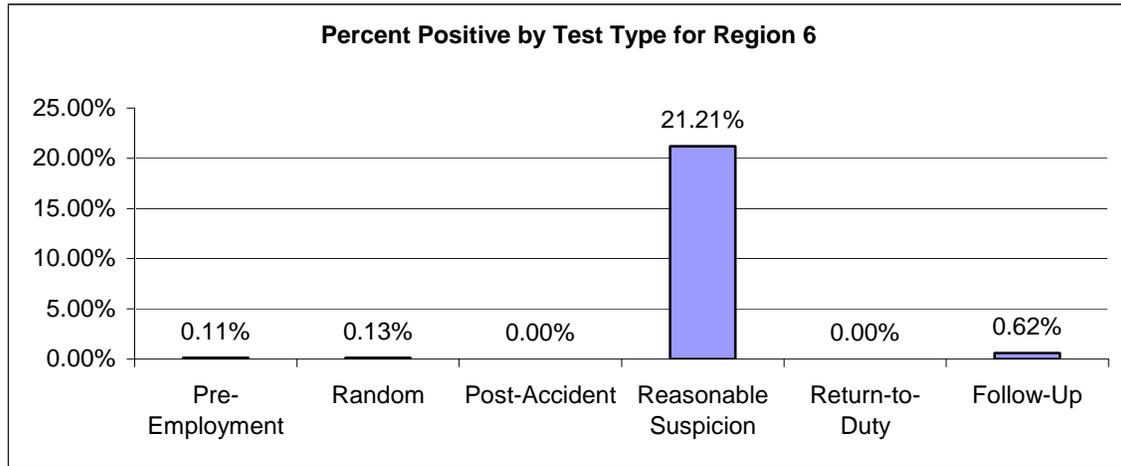
**Figure 61. Percent Positive for All Employee Categories by Test Type within Region 5**

#### 4.5.6 Alcohol Test Results by Test Type for Region 6

Table 61 provides alcohol test results for all employee categories by test type within Region 6. Figure 62 illustrates the percent positive for all employee categories by test type within Region 6.

**Table 61. Alcohol Test Results for All Employee Categories by Test Type for Region 6**

| Test Type       | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|-----------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Pre-Employment  | 1,760                                  | 1,756                                   | 4  | 4                                   | 0   | 2   | 0                                      | 0                                   | 0               |
| Random          | 9,356                                  | 9,340                                   | 12   | 12                                  | 4   | 8   | 2                                      | 2                                   | 4               |
| Post-Accident   | 2,264                                  | 2,262                                   | 2  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Reas. Suspicion | 66                                     | 42                                      | 22   | 20                                  | 6   | 12  | 0                                      | 2                                   | 0               |
| Return-to-Duty  | 60                                     | 60                                      | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Follow-Up       | 324                                    | 320                                     | 4  | 2                                   | 0   | 2   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>    | <b>13,830</b>                          | <b>13,780</b>                           | <b>44</b>                                    | <b>38</b>                           | <b>10</b>                                     | <b>24</b>                                       | <b>2</b>                               | <b>4</b>                            | <b>4</b>        |



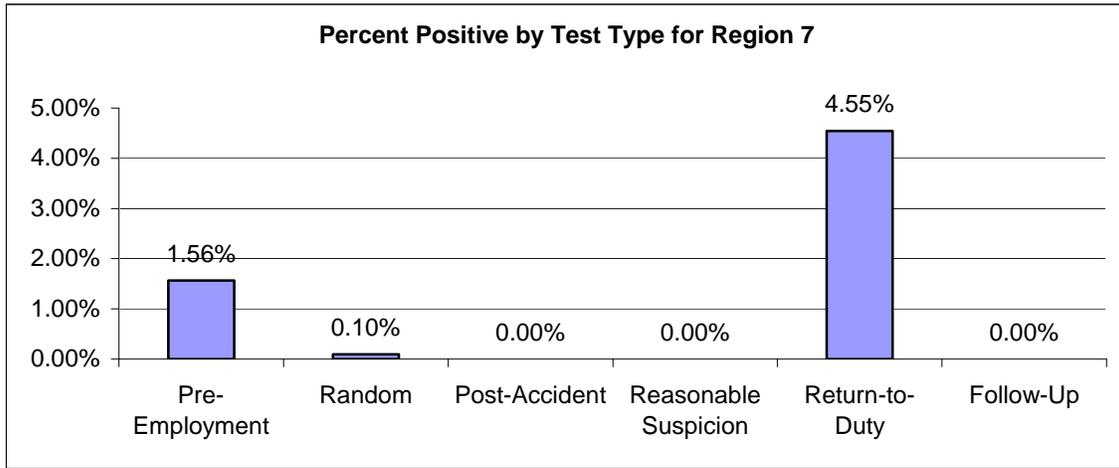
**Figure 62. Percent Positive for All Employee Categories by Test Type within Region 6**

#### 4.5.7 Alcohol Test Results by Test Type for Region 7

Table 62 provides alcohol test results for all employee categories by test type within Region 7. Figure 63 illustrates the percent positive for all employee categories by test type within Region 7.

**Table 62. Alcohol Test Results for All Employee Categories by Test Type for Region 7**

| Test Type       | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|-----------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Pre-Employment  | 128                                    | 126                                     | 2  | 2                                   | 0   | 2   | 0                                      | 0                                   | 0               |
| Random          | 2,080                                  | 2,076                                   | 4  | 4                                   | 0   | 2   | 0                                      | 0                                   | 0               |
| Post-Accident   | 860                                    | 860                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Reas. Suspicion | 62                                     | 56                                      | 6  | 6                                   | 6   | 0   | 0                                      | 0                                   | 0               |
| Return-to-Duty  | 44                                     | 40                                      | 4  | 4                                   | 2   | 2   | 0                                      | 0                                   | 0               |
| Follow-Up       | 244                                    | 242                                     | 2  | 2                                   | 2   | 0   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>    | <b>3,418</b>                           | <b>3,400</b>                            | <b>18</b>                                    | <b>18</b>                           | <b>10</b>                                     | <b>6</b>  | <b>0</b>                               | <b>0</b>                            | <b>0</b>        |



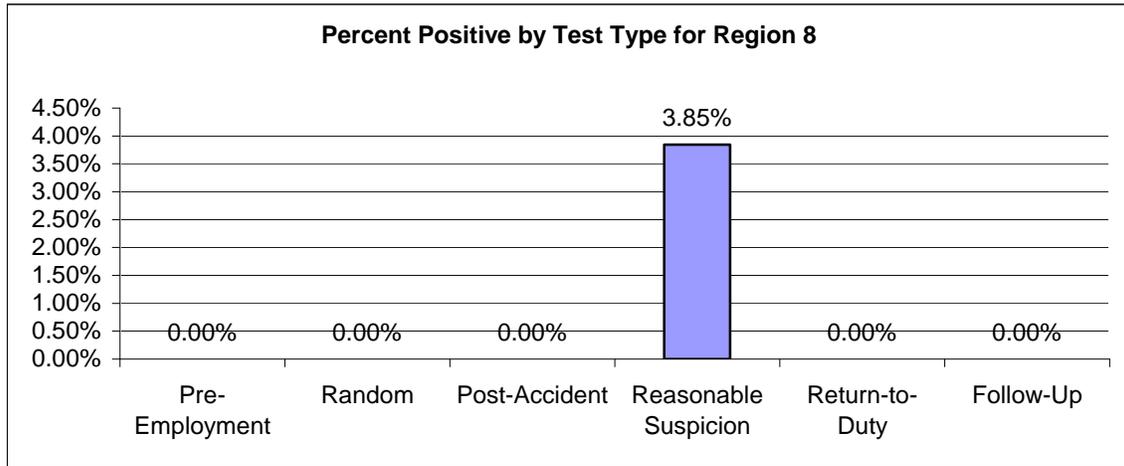
**Figure 63. Percent Positive for All Employee Categories by Test Type within Region 7**

#### 4.5.8 Alcohol Test Results by Test Type for Region 8

Table 63 provides alcohol test results for all employee categories by test type within Region 8. Figure 64 illustrates the percent positive for all employee categories by test type within Region 8.

**Table 63. Alcohol Test Results for All Employee Categories by Test Type for Region 8**

| Test Type       | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|-----------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Pre-Employment  | 120                                    | 120                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Random          | 2,400                                  | 2,400                                   | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 2               |
| Post-Accident   | 482                                    | 482                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Reas. Suspicion | 52                                     | 50                                      | 2  | 2                                   | 0   | 2   | 0                                      | 0                                   | 0               |
| Return-to-Duty  | 34                                     | 34                                      | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Follow-Up       | 174                                    | 174                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>    | <b>3,262</b>                           | <b>3,260</b>                            | <b>2</b>                                     | <b>2</b>                            | <b>0</b>                                      | <b>2</b>  | <b>0</b>                               | <b>0</b>                            | <b>2</b>        |



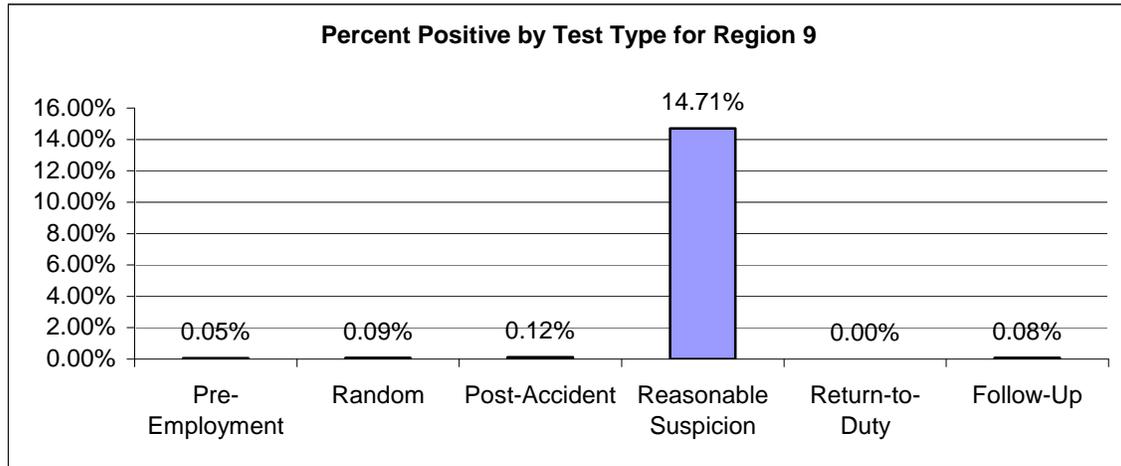
**Figure 64. Percent Positive for All Employee Categories by Test Type within Region 8**

#### 4.5.9 Alcohol Test Results by Test Type for Region 9

Table 64 provides alcohol test results for all employee categories by test type within Region 9. Figure 65 illustrates the percent positive for all employee categories by test type within Region 9.

**Table 64. Alcohol Test Results for All Employee Categories by Test Type for Region 9**

| Test Type       | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|-----------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Pre-Employment  | 3,698                                  | 3,696                                   | 2  | 2                                   | 0   | 2   | 0                                      | 0                                   | 0               |
| Random          | 11,282                                 | 11,238                                  | 40   | 10                                  | 4   | 6   | 0                                      | 4                                   | 14              |
| Post-Accident   | 4,968                                  | 4,956                                   | 12   | 8                                   | 2   | 6   | 0                                      | 0                                   | 0               |
| Reas. Suspicion | 204                                    | 150                                     | 50   | 48                                  | 22  | 26  | 0                                      | 4                                   | 0               |
| Return-to-Duty  | 268                                    | 266                                     | 2  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Follow-Up       | 2,510                                  | 2,506                                   | 4  | 4                                   | 2   | 2   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>    | <b>22,930</b>                          | <b>22,812</b>                           | <b>110</b>                                   | <b>72</b>                           | <b>30</b>                                     | <b>42</b>                                       | <b>0</b>                               | <b>8</b>                            | <b>14</b>       |



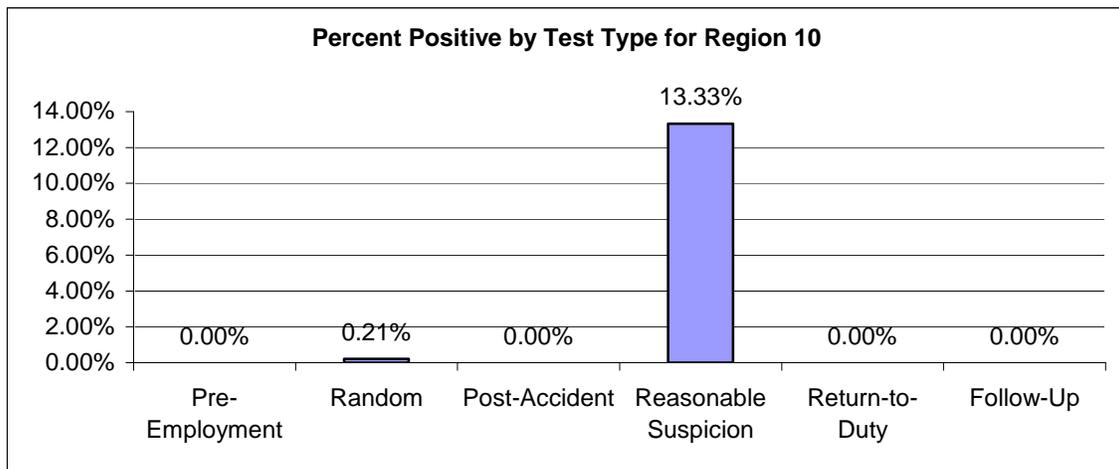
**Figure 65. Percent Positive for All Employee Categories by Test Type within Region 9**

#### 4.5.10 Alcohol Test Results by Test Type for Region 10

Table 65 provides alcohol test results for all employee categories by test type within Region 10. Figure 66 illustrates the percent positive for all employee categories by test type within Region 10.

**Table 65. Alcohol Test Results for All Employee Categories by Test Type for Region 10**

| Test Type       | Total Number of Screening Test Results | Screening Tests with Results Below 0.02 | Screening Tests with Results 0.02 or Greater | Number of Confirmation Test Results | Confirmation Tests with Results 0.02 to 0.039 | Confirmation Tests with Results 0.04 or Greater | "Shy Lung" with No Medical Explanation | Other Refusals to Submit to Testing | Cancelled Tests |
|-----------------|--|---|--|-------------------------------------|---|---|--|-------------------------------------|-----------------|
| Pre-Employment  | 232                                    | 232                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Random          | 4,664                                  | 4,638                                   | 22   | 12                                  | 4   | 6   | 0                                      | 4                                   | 4               |
| Post-Accident   | 968                                    | 968                                     | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Reas. Suspicion | 60                                     | 34                                      | 26   | 24                                  | 12  | 8   | 0                                      | 0                                   | 0               |
| Return-to-Duty  | 32                                     | 32                                      | 0  | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| Follow-Up       | 598                                    | 510                                     | 88   | 0                                   | 0   | 0   | 0                                      | 0                                   | 0               |
| <b>TOTAL</b>    | <b>6,554</b>                           | <b>6,414</b>                            | <b>136</b>                                   | <b>36</b>                           | <b>16</b>                                     | <b>14</b>                                       | <b>0</b>                               | <b>4</b>                            | <b>4</b>        |



**Figure 66. Percent Positive for All Employee Categories by Test Type within Region 10**

## **Appendix A. MIS Data Collection Form**





**PAPERWORK REDUCTION ACT NOTICE (as required by 5 CFR 1320.21)**

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 2105-0529. The Department of Transportation estimates that the average burden for this report form is 1.5 hours. You may send comments regarding this burden estimate or any suggestions for reducing the burden to: U.S. Department of Transportation, Office of Drug and Alcohol Policy and Compliance, Room 10403, 400 Seventh Street, SW, Washington, D.C. 20590; OR Office of Management and Budget, Paperwork Reduction Project, 725 Seventeenth Street, NW, Washington, D.C. 20503.

Title 18, USC Section 1001, makes it a criminal offense subject to a maximum fine of \$10,000, or imprisonment for not more than 5 years, or both, to knowingly and willfully make or cause to be made any false or fraudulent statements of representations in any matter within the jurisdiction of any agency of the United States.

## Appendix B. Contact Information

Please contact the following individuals for additional information regarding the FTA's drug and alcohol testing requirements.

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Transportation Industry Analyst  
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For assistance on accessing the FTA Office of Safety and Security Web site and using the Internet reporting system, contact the FTA DAMIS Project Office at (617) 494-6336 or via e-mail at [FTA.damis@volpe.dot.gov](mailto:FTA.damis@volpe.dot.gov). The FTA Safety and Security Clearinghouse can be reached at (617) 494-2116 for additional copies of this report as well as technical assistance materials, including the *Implementation Guidelines for Drug and Alcohol Regulations in Mass Transit* and *Best Practices Manual: FTA Drug and Alcohol Testing Program*. Documents can also be obtained from the FTA Safety and Security Clearinghouse Web site at: <http://transit-safety.volpe.dot.gov/publications/order/default.asp>. You may also send e-mail directly to [FTA.clearinghouse@volpe.dot.gov](mailto:FTA.clearinghouse@volpe.dot.gov).



## Appendix C. Glossary of Terms

**Amphetamines:** Includes racemic, amphetamine, extroamphetamine, and methamphetamine. They are potent stimulants that can be swallowed, snorted, or injected. They reduce the desire to sleep or eat and can induce a sense of aroused euphoria, accompanied by feelings of increased power, strength, energy, self-assertion, focus, and motivation. Because the body does not readily break down amphetamines, these feelings, which are often intense and ephemeral, may last several hours. Severe mental depression and fatigue can set in when the euphoric feelings wear off.

**Accident:** An occurrence associated with the operation of a vehicle, if as a result:

- (1) An individual dies;
- (2) An individual suffers a bodily injury and immediately receives medical treatment away from the scene of the accident;
- (3) With respect to an occurrence in which the mass transit vehicle involved is a bus, electric bus, van, or automobile, one or more vehicles incurs disabling damage as the result of the occurrence and is transported away from the scene by a tow truck or other vehicle; or
- (4) With respect to an occurrence in which the mass transit vehicle involved is a rail car, trolley car, trolley bus, or vessel, the mass transit vehicle is removed from revenue service.

**Alcohol Concentration:** The alcohol in a volume of breath, expressed in terms of grams of alcohol per 210 liters of breath as indicated by a breath test.

**Armed Security Personnel:** Employees who provide security and carry a firearm.

**Canceled or Invalid Test:** In drug testing, a drug test that has been declared invalid by a Medical Review Officer (MRO). In alcohol testing, a test that is deemed to be invalid. It is neither a positive nor a negative test.

**CDL/Non-Revenue Vehicle:** Job category including any transit employee who holds a Commercial Driver's License (CDL), performs a function requiring a CDL, and is not included in any other job category.

**Cocaine:** An addictive substance that comes from coca leaves or is made synthetically. It appears as a white powder that is snorted, ingested, injected, freebased (smoked), or applied directly to the nasal membrane or gums. Cocaine acts as a stimulant to the central nervous system. It gives the user a feeling of exhilaration. The chemicals in cocaine trick the brain into feeling it has experienced pleasure when in fact it has not.

**Confirmation (or Confirmatory) Test:** In drug testing, a second analytical procedure to identify the presence of a specific drug or metabolite that is independent of the screening test and uses a different technique and chemical principle from that of the screening test in order to ensure reliability and accuracy. In alcohol testing, a second test following a screening test with a result of 0.02 or greater that provides quantitative data of alcohol concentration.

**Consortium:** An entity, including a group or association of employers, operators, recipients, subrecipients, or contractors, which provides drug testing services and acts on behalf of the employer.

**Contractor:** A person or organization that provides a service for a recipient, subrecipient, employer, or operator consistent with a specific understanding or arrangement. The understanding can be a written contract or an informal arrangement that reflects an ongoing relationship between the parties.

**Covered Employee:** A person, including an applicant, transferee, or volunteer who perform a safety-sensitive function for a recipient, subrecipient, employer, or operator.

**Drug Metabolite:** The specific substance produced when the human body metabolizes a given prohibited drug as it passes through and is excreted in urine.

**Drug Test:** The laboratory analysis of a urine specimen collected in accordance with 49 CFR Part 40 and analyzed in a Department of Health and Human Services (DHHS)-approved laboratory.

**Education:** Efforts that include the display and distribution of informational materials, a community service hotline telephone number for employee assistance, and the transit entity policy regarding drug use and alcohol misuse in the workplace.

**Employee:** An individual designated in a Department of Transportation (DOT) agency regulation as subject to drug and/or alcohol testing. "Employee" includes an applicant for employment.

**Employer:** A recipient or other entity that provides mass transportation services or performs a safety-sensitive function for such recipient or other entity. This term includes subrecipients, operators, and contractors.

**Follow-Up Testing:** Drug or alcohol test that is required for an employee who is returned to safety-sensitive duty. The employee is subject to at least six unannounced tests for at least 12 months after returning to duty. The exact number and frequency of tests is prescribed by the Substance Abuse Professional (SAP), who may order tests for up to 60 months after return to duty. SAPs often require the employee to submit to both a drug and an alcohol test even if only one of the tests was at issue. Follow-up testing is separate from, and in addition to random testing. Part 655 incorporates follow-up testing under return-to-duty testing (i.e., return to duty/follow-up testing) as one of five required FTA tests. It was previously listed separately as one of six required Federal Transit Administration (FTA) tests.

**Marijuana:** Derived from the hemp plant and comes in a variety of colors such as green, brown, and a gray mixture of leaves. THC or (delta-9-tetrahydrocannabinol) is the primary active chemical in marijuana. It is absorbed quickly into fatty tissues and stored for a long time. The potency and strength of the chemical causes people to use the drug for the mildly tranquilizing,

mood and perception-altering effects it produces. The test for marijuana also includes its metabolites.

**Opiates:** Known as narcotic analgesics, includes heroin, morphine, and codeine. They are derived from a sap taken from a seedpod of the plant, “*papaver somniferum*” (or poppy plant). General effects include sedation, slowed reflexes, raspy speech, sluggish movements, slowed breathing, cold skin, and vomiting. The synthetic form of opiates, known as a designer drug, is even more deadly and addictive.

**Phencyclidine (PCP):** Originally developed as an anesthetic; has adverse side effects that limit its medical use to a tranquilizer for large animals. In people, PCP acts as both a depressant and a hallucinogen and sometimes as a stimulant. PCP can cause distorted bodily perceptions and a feeling of disassociation in which the mind feels separated from the body. These effects can be very upsetting to some people, who may panic as a result.

**Positive Rate:** The sum of the annual number of positive results for random drug tests conducted under 49 CFR Part 655 plus the annual number of refusals to submit to a random drug test, divided by the sum of the annual number of random drug tests conducted, plus the annual number of refusals to submit to a random drug test.

**Post-Accident Testing:** Tests required following an accident involving a fatality or an accident that meets any of three other criteria if the employee’s involvement cannot be completely discounted as a contributing factor: (1) when a person suffers a bodily injury and immediately receives medical attention away from the scene, (2) when any vehicle involved in the accident incurs damage requiring it to be transported away from the scene by a tow truck or other vehicle, or (3) when the mass transit vehicle involved is a rail car, trolley car, trolley bus, or vessel and is removed from revenue service due to the accident.

**Pre-Employment Testing:** Testing of candidates for a safety-sensitive position (including existing non-safety-sensitive employees as well as applicants for employment) and of employees who have not performed a safety-sensitive function for more than 90 consecutive calendar days, regardless of the reason, and were removed from the employer’s random selection pool during that time.

A negative pre-employment test for drugs is required by FTA as a condition for performing safety-sensitive duties under these circumstances. Pre-employment alcohol tests are not required but are permitted under Part 655 providing they are performed in accordance with the testing procedures in Part 40. The alcohol tests are included in the data presented in Chapter 3 because they are conducted per DOT standards and are required by many employers. The Omnibus Testing Act required a negative pre-employment alcohol test, but FTA suspended the requirement on May 10, 1995, as the result of a U.S. Court of Appeals decision. FTA decided to allow but not require pre-employment alcohol testing in Part 655.

**Random Testing:** Considered by FTA to be the most effective deterrent to drug use and alcohol misuse as well as the most reliable indicator of drug use and alcohol misuse within an employer and in the industry as a whole, provided it is unannounced and unpredictable. Selections for

testing must be based on a scientifically valid random-number selection method, to ensure that all safety-sensitive employees have an equal chance of being selected for testing.

**Random Testing Rate:** The number of drug tests equal to at least 50 percent of the total number of safety-sensitive employees and, of alcohol tests equal to at least 10 percent of the total number of safety-sensitive employees must be conducted each year by this method.

**Reasonable Suspicion Testing:** Required when an employer has reasonable suspicion that an employee has used a prohibited drug or has misused alcohol as defined in the regulations. Reasonable suspicion testing must be based on specific, contemporaneous, articulable observations made by a trained supervisor concerning the appearance, behavior, speech, or body odor of a safety-sensitive employee.

**Recipient:** An entity receiving federal financial assistance under Section 5307, 5309, or 5311 of the Federal Transit Act or under sections 103(e)(4) of Title 23 of the U.S. Code.

**Refusal to Submit (to an alcohol test):** When a covered employee fails to provide adequate breath for testing without a valid medical explanation.

**Refusal to Submit (to a drug test):** When a covered employee fails to provide a urine sample as required by 49 CFR Part 40 without a valid medical explanation after receiving notice of the requirement to be tested, or engages in conduct that clearly obstructs the testing process.

**Return-to-Duty Testing:** Drug and/or alcohol test that is required for a safety-sensitive employee who completes a course of treatment prescribed by a SAP after testing positive for drugs or alcohol or refusing to submit to a required test. A negative result for the type (drug or alcohol) of positive or refused test is required before the employee can be returned to duty. SAPs often require the employee to submit to both a drug and an alcohol test even if only one of the tests was at issue.

**Revenue Vehicle and Equipment Maintenance:** Includes employees who maintain revenue service vehicles or equipment, as well as many maintenance contract employees who perform routine, ongoing repair or maintenance for FTA recipients and subrecipients that have employees, including supervisors, who perform or could be called upon to perform any of the FTA safety-sensitive functions. Maintenance contractors of 5311 funding recipients are not subject to the testing regulations. Additionally, recipients that operate in areas with a population of 200,000 or less and contract out maintenance services are no longer required to comply.

**Revenue Vehicle Control/Dispatch:** Includes employees who control the movement of revenue service vehicles. The key consideration is the type of work performed rather than a particular job title. FTA decided not to attempt a universal definition of “dispatchers” in Part 655. Instead, each employer determines whether its particular dispatcher performs or may perform a safety-sensitive function.

**Revenue Vehicle Operation:** Safety-sensitive job category; includes employees who operate a revenue service vehicle, regardless of whether it is in service.

**Safety-Sensitive Function:** Any of the following duties:

- Operating a revenue service vehicle, including when not in revenue service;
- Operating a non-revenue service vehicle, when required to be operated by a holder of a CDL;
- Controlling dispatch or movement of a revenue service vehicle;
- Maintaining a revenue service vehicle or equipment used in revenue service, unless the recipient receives Section 5311 funding and contracts out such services; and/or
- Providing security and carrying a firearm.

**Screening Test (or Initial Test):** In drug testing, an immunoassay screen to eliminate "negative" urine specimens from further analysis. In alcohol testing, an analytical procedure to determine whether an employee may have a prohibited concentration of alcohol in a breath specimen.

**Transit System:** The public entity that receives the Federal grant (direct grant recipient), whether or not that recipient provides mass transit services directly.

**Violation Rate:** Refers to the sum of the annual number of results from random alcohol tests conducted under 49 CFR Part 655 that have alcohol concentrations of 0.04 or greater plus the annual number of refusals to submit to alcohol tests, divided by the sum of the annual number of random alcohol tests conducted, plus the annual number of refusals to submit to a drug test.

**Vehicle and Equipment Maintenance:** Function including any person repairing or maintaining revenue service vehicles or other equipment used in revenue service.

**Verified Negative (drug test result):** A drug test result reviewed by an MRO and determined to have no evidence of prohibited drug use.

**Verified Positive (drug test result):** A drug test result reviewed by an MRO and determined to have evidence of prohibited drug use.

